**A framework for supervised enhancer prediction with epigenetic pattern recognition and targeted validation across organisms**

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**Abstract**

Enhancers are important noncoding elements, but they have been traditionally hard to characterize experimentally. Only a few mammalian enhancers have been validated, making it difficult to train statistical models for their identification properly. Instead, postulated patterns of genomic features were used heuristically for identification. The development of massively parallel assay allows the characterization of large numbers of enhancers for the first time. Here, we develop a framework that uses them to create shape-matching filters based on enhancer-associated meta-profiles of epigenetic features. These features are combined with supervised machine learning algorithms (i.e., SVMs) to predict enhancers. We demonstrated that our model can be applied to predict enhancers in mammalian species (eg, mouse and human). The predictions are comprehensively validated using a combination of *in vivo* and *in vitro* assays (133 mouse transgenic enhancer assays in 6 different tissues and 25 human H1 hESC transduction-based reporterassays). The validation results confirm that our model can accurately predict enhancers in different species without re-parameterization. Finally, we predict enhancers in cell lines with many transcription-factor binding sites. This highlights distinct differences between the type of binding at enhancers and promoters, enabling the construction of a secondary model discriminating between these two.

**Introduction**

Enhancers are gene regulatory elements that activate expression of target genes from a distance [1]. Enhancers are turned on in a space and time-dependent manner contributing to the formation of a large assortment of cell-types with different morphologies and functions even though each cell in an organism contains a nearly identical genome [2-4]. Moreover, changes in the sequences of regulatory elements are thought to play a significant role in the evolution of species[5-9]. Understanding enhancer function and evolution is currently an area of great interest because variants within distal regulatory elements are also associated with various traits and diseases during genome-wide association studies [10-12]. However, the vast majority of enhancers and their spatiotemporal activities remain unknown because it is not easy to predict their activity based on DNA sequence or chromatin state [13, 14].

Traditionally, the regulatory activity of enhancers and promoters were experimentally validated in a non-native context using low throughput heterologous reporter constructs leading to a small number of validated enhancers that function in the same mammalian cell-type [15, 16]. In addition to the small numbers, the validated enhancers were typically selected based on conserved noncoding regions [17] with particular patterns of chromatin [18], transcription-factor binding, [19] or noncoding transcription [20]. The small number and biases within the validated enhancers make them inappropriate for parameterizing tissue-specific enhancer prediction models [16]. As a result, most theoretical methods to predict enhancers could not optimally parameterize their models using a gold-standard set of functional elements. Instead, most of these models were parameterized based on certain heuristic features associated with enhancers, which were then utilized to predict enhancers [19, 21-30]. For example, two widely used methods for predicting enhancers were based on the fact that these elements are expected to contain a cluster of transcription factor binding sites [24] and their activity is often correlated with an enrichment of particular post-translational modifications on histone proteins [27, 30]. These predictions could not be comprehensively assessed as few putative enhancers could be validated experimentally due to the low throughput of validation assays and it remains challenging to assess the performance of different methods for enhancer prediction.

In recent times, due to the advent of next-generation sequencing, a number of transfection and transduction-based assays were developed to experimentally test the regulatory activity of thousands of regions simultaneously in a massively parallel fashion [31-37]. In these experiments, several plasmids that each contains a single core promoter upstream of a luciferase or GFP gene are transfected or transduced into cells. These plasmids are used to test the regulatory activity of different regions by placing one region within the screening vector in each plasmid as differences in the gene’s expression occur due to the differences in the activity of the tested region. STARR-seq was one such massively parallel reporter assay (MPRA) that was used to test the regulatory activity of the fly genome by inserting candidate fragments from the genome within the 3’ untranslated region of the luciferase gene. STARR-seq identified thousands of cell-type specific enhancers and promoters within the fly genome [31, 38]. MPRAs have confirmed that active enhancers and promoters tend to be depleted of histone proteins and contain accessible DNA on which various transcription factors and cofactors bind [39, 40]. These regulatory regions also tend to be flanked by nucleosomes that contain histone proteins with certain characteristic post-translational modifications. These attributes lead to an enriched peak-trough-peak (“double peak”) signal in different ChIP-Seq experiments for various histone modifications such as acetylation on H3K27 and methylations on H3K4. The troughs in the double peak ChIP-seq signal represent the accessible DNA that leads to a peak in the DNase-I hypersensitivity (DHS) at the enhancer [41]. However, the optimal method to combine information from multiple epigenetic marks to make cell-type specific regulatory predictions remains unknown. For the first time, using data from several MPRAs, we have the ability to properly train our models based on a large number of experimentally validated enhancers and test the performance of different models for enhancer prediction using cross validation.

Our goal in this paper is to develop a framework for making supervised enhancer prediction models using MPRA datasets. We make use of all published data resources to provide a comprehensive model for enhancer prediction that can be applied across different contexts (i.e., different species and tissue types); we validate our model in a variety of different contexts. In particular, we utilized extensive datasets from STARR-seq experiments performed on fly cell lines to create and parameterize our model. Unlike previous prediction methods that focused on the enrichment (or signal) of different epigenetic datasets, we developed a method to also take into account the enhancer-associated pattern within different epigenetic signals. As the epigenetic signal around each enhancer is noisy, we aggregated the signal around thousands of enhancers identified using MPRAs to increase signal-to-noise ratio, and identified the shape associated with active regulatory regions. Previous ENCODE and modENCODE efforts showed that the chromatin modifications on active promoters and enhancers were remarkably conserved across evolution across higher eukaryotes \cite{}. In particular, the signal of different chromatin modifications upstream of a gene have been used to create a universal model for predicting its expression and the parameters of the model were transferable across humans, flies, and worms \cite{}. Here, we further explored this conservation of epigenetic signal shapes for constructing simple-to-use transferrable statistical models with six parameters that were used to predict enhancers and promoters in diverse eukaryotic species including fly, mouse, and human. We showed that the enhancer predictions from our transferrable model was comparable to the prediction accuracy of species-specific models.

Working across organisms also allowed us to take advantage of different assays to validate our predictions in a robust fashion using multiple experimental approaches. In the first stage, we predicted enhancers in six different embryonic mouse tissues and tested the activity of these predictions *in vivo* with transgenic mouse assays*.* Due to the obvious ethical considerations of performing such transgenic assays in human embryos, we then proceeded to test the activity of these elements in a human cell-line *in vitro.*

H1-hESC is a highly studied human cell-line in which a comprehensive set of transcription factor (TF) binding experiments are available. After validating our predictions, the many TFs provided us with the opportunity to differentiate between the enhancers and promoters. The pattern of TF and co-TF binding at active enhancers is much more heterogeneous than the corresponding patterns on promoters, which can be used to distinguish enhancers from promoters with high accuracy. Thus, our methods provide a framework that utilizes different epigenetic genomics datasets to predict active regulatory regions in a cell-type specific manner. Further functional genomics datasets can be utilized to identify key TFs associated with active regulatory regions within these cell types.

**Results**

**Aggregation of epigenetic signal (in fly) to create metaprofile:**

We developed a framework to predict active regulatory elements using the epigenetic signal patterns associated with experimentally validated promoters and enhancers [31]. We aggregated the signal of histone modifications on MPRA peaks to remove noise in the signal and created a metaprofile of the double peak signals of histone modifications flanking enhancers and promoters. MPRA peaks typically consist of a mixture of enhancers and promoters, and at this stage, we do not differentiate between the two sets of regulatory elements. These metaprofiles were then utilized in a pattern recognition algorithm for predicting active promoters and enhancers in a cell-type specific manner.

The STARR-seq studies on fly cell-lines provide the most comprehensive MPRA datasets as the whole genome was tested for regulatory activity within these assays and these assays were performed with multiple core promoters (cite31, 50). Hence, we chose to create metaprofiles using the histone modification H3K27ac at active STARR-seq peaks (see Figure 1 and Methods) identified within the S2 cell-line of the fly. Approximately 70% of the active STARR-seq peaks contain an easily identifiable double peak pattern even though there is a lot of variability in the distance between the two maxima of the double peak in the ChIP-chip signal (Figure S1). While the minimum tends to occur in the center of these two maxima on average, the distance between the two maxima in the double peaks can vary between 300 and 1100 base pairs. During aggregation, we aligned the two maxima in the H3K27ac signal across different STARR-seq peaks, followed by interpolation and smoothening the signal before calculating the average metaprofile. In addition, an optional flipping step was performed to maintain the asymmetry in the underlying H3K27ac double peak because it may be associated with the directionality of transcription [42]. We also calculated the dependent metaprofiles for thirty other histone marks and DHS signal by applying the same set of transformations to these datasets. The metaprofile for the histone marks associated with active regulatory regions were also double peak signals, and the maxima across different histone modification signals tended to align with each other on average (Figure S2). This indicates that a large number of histone modifications tend to simultaneously co-occur on the nucleosomes flanking an active enhancer or promoter. In contrast, as expected, the DHS signal displayed a single peak at the center of the H3K27ac double peak (Figure 1). In addition, repressive marks such as H3K27me3 were depleted in these regions, and the metaprofile for these regions did not contain a double peak signal (Figure S2).

**Match of a metaprofile is predictive of regulatory activity:**

We evaluated whether these metaprofiles can be utilized to predict active promoters and enhancers using matched filters, a well-established algorithm in template recognition. A matched filter is the optimal pattern recognition algorithm that uses a shape-matching filter to recognize the occurrence of a template in the presence of stochastic noise [43]. We evaluated whether the occurrence of the epigenetic metaprofiles identified for the histone marks and DHS can be used to predict active enhancers and promoters using receiver operating characteristic (ROC) and precision-recall (PR) curves. PR curves are particularly useful to assess the performance of classifiers in skewed or imbalanced data sets in which one of the classes is observed much more frequently compared to the other class, as it plots the fraction of true positives among all predicted positives. If the area under a PR curve is higher, the corresponding model has a low false discovery rate and can easily distinguish between the positives from the negatives. On the other hand, in skewed datasets, the area under ROC curves could be high even when the FDR is high even. This is because, in these cases, even if a small fraction of negatives are predicted to be positive by the model, the false discovery rate can be high as the total number of true positives are much smaller than the total number of true negatives [44]. The matched filter score is higher in genomic regions where the template pattern occurs in the corresponding signal track while it is low when only noise is present in the signal (Figure 1). Due to the aforementioned variability in the double peak pattern, the H3K27ac signal track is scanned with multiple matched filters with templates that vary in width between the two maxima in the double peak and the highest matched filter score with these matched filters is used to rate the regulatory potential of this region (see Methods). The dependent profiles are then used on the same region with the matched filter to score the corresponding genomic tracks.

We used 10-fold cross validation to assess the performance of matched filters for individual histone marks to predict active STARR-seq peaks. In Figure 2, we observe that the H3K27ac matched filter is the single most accurate feature for predicting active regulatory regions (AUROC=0.92, AUPR=0.72) identified using STARR-seq. This is consistent with the literature as H3K27ac enriched peaks are often used to predict active promoters and enhancers [23, 45, 46]. In general, several histone acetylations (H3K27ac, H3K9ac, H4K12ac, H2BK5ac, H4K8ac, H4K5ac, H3K18ac) marks as well as the H1, H3K4me2, and DHS are the most accurate prediction features (Table S1) because the matched filter scores for these features are higher on the STARR-seq peaks. The degree to which the matched filter scores for promoters and enhancers are higher than the matched filter scores for the rest of the genome is a measure of the signal to noise ratio for regulatory region prediction in the corresponding feature’s genomic track. The larger the separation between positives and negatives, the greater the accuracy of the corresponding matched filter for predicting active regulatory regions. Interestingly, the distribution of matched filter scores for STARR-seq peaks are unimodal for each histone mark except for H3K4me1, H3K4me3, and H2Av, which are bimodal (Figure S3). We also show that the matched filter scores are more accurate for predicting active STARR-seq peaks than the enrichment of signal alone as they outperform histone peak calling on ROC and PR curves (Figure S4).

While a single STARR-seq experiment identifies thousands of active regulatory regions, these regions display core-promoter specificity, and different sets of enhancers are identified when different core promoters are used in the same cell-type [47-51]. As we wanted to create a framework to predict all the enhancers and promoters active in a particular cell type, we combined the peaks identified from multiple STARR-seq experiments in the S2 cell-type and reassessed the performance of the matched filters at predicting these regulatory regions. Merging the STARR-seq peaks from multiple core promoters in the S2 cell-type leads to higher AUROC and AUPR for the matched filters from most histone marks (Figure 2 and Table S2).

**Machine learning can combine matched filter scores from different epigenetic features**

We built\*\*\* an integrated model with combined matched filter scores of the most informative epigenetics marks (H3K27ac, H3K4me1, H3K4me2, H3K4me3, H3K9ac, and DHS) associated with active regulatory regions using a linear SVM [54]. The selection of six features ensures that the integrated model can be applied to a variety of cell lines and tissues, as many relevant ChIP-seq and DNase experiments have been performed by the Roadmap Epigenomics Mapping [52]\*\*\* and the ENCODE [53] Consortia in a wide variety of samples. We also assessed the performance of other statistical approaches including a\*\*\* nonlinear SVM for combining the features. While all these approaches performed similarly (Figure S5), a\*\*\* linear SVM is used in our framework for its better interpretability.

During integration, the normalized matched filter score for each epigenetic feature in a particular region is scaled by its optimized weight and added together to form a\*\*\* discriminant function. The sign of the discriminant function is then used to predict whether the region is regulatory. The features with large positive and negative weights are predicted to be important for discriminating regulatory\*\*\* from non-regulatory regions\*\*\*. The optimized weights can also be used to measure the amount of non-redundant information added by each feature in the integrated model. According to the model, the acetylations (H3K27ac and H3K9ac) are the most important feature for predicting active regulatory regions\*\*\*. The DHS matched filter performed well as an individual feature (AUPR in Figure 2) to predict enhancers and can be highly predictive of regulatory activity in combination with other marks such as H3K27ac (maybe cite other ENCODE paper)\*\*\*\*\*. However, in the integrated model, the information in DHS is redundant with the information contained within the five histone marks as indicated by the fact that it has the lowest weight among the six features in the integrated model. The integrated model, as expected, achieved a higher accuracy than the individual matched filter scores (Figure 2), as they can leverage information from multiple epigenetic marks. We also trained a 6-parameter SVM model using STARR-seq data in BG3 cell-line. The model is highly accurate at predicting active enhancers and promoters in the S2-cell line (Figure S6), indicating\*\*\* our framework of combining epigenetic features with a\*\*\* linear SVM model to predict enhancers is conserved across great evolutionary distance\*\*\*.

To assess the information contained in other epigenetic marks, we combined the matched filters from all 30 measured histone marks along with the DHS matched filter in separate statistical models (Figure S7) and these models displayed higher accuracy (AUROC=0.97, AUPR=0.93 for SVM model with multiple core promoters) than the 6 feature model presented in Figure 2. The feature weights in this model indicated that H3K27ac contains the most information regarding the activity of regulatory regions. However, we found that a few other acetylations such as H2BK5ac, H4ac, and H4K12ac contain additional non-redundant information regarding the activity of these regulatory regions and might improve the accuracy of promoter and enhancer prediction from machine learning models.

**Distinct epigenetic signals associated with promoters and enhancers**

We proceeded to create individual metaprofiles and machine learning models for the two classes of regulatory activators – promoters (or proximal) and enhancers (or distal). We divided all the active STARR-seq peaks into promoters or enhancers based on their distance to the closest transcription start site (TSS) to delineate their likely function in the native context. Due to the conservative distance metric used in this study (1kb upstream and downstream of TSS in fly), the enhancers are regulatory elements that are not close to any known TSS and could be considered to enhance gene transcription from a distance. However, a few of the promoters may also regulate distal genes in addition to their promoter activity. We then created metaprofiles of the different epigenetic marks on the promoters and enhancers and assessed the performance of the matched filters for predicting active regulatory regions within each category (Figure 3). The highest matched filter scores are typically observed on promoters, and the matched filters for each of the six features tended to perform better for promoter prediction. The H3K27ac matched filter continues to outperform other epigenetic marks for predicting active promoters and enhancers (Figure 3). In addition, the DHS, H3K9ac, and H3K4me2 matched filters also performed reasonably for promoter and enhancer prediction. Similar to previous studies [55, 56], we observed that the H3K4me1 metaprofile performs better for predicting enhancers while it is close to random for predicting promoters. In contrast, the H3K4me3 metaprofile can be utilized to predict promoters and not enhancers. The histogram for matched filter scores shows that H3K4me1 matched filter score is higher near enhancers while the H3K4me3 matched filter score tends to be higher near promoters (Figure S7). The mixture of these two populations lead to bimodal distributions for H3K4me1 and H3K4me3 matched filter scores when calculated over all regulatory regions (Figure S3).

We created two different integrated models to learn the combination of features associated with promoters and enhancers. These integrated models outperformed the individual matched filters at predicting active enhancers and promoters (Figures 3 and S8). In addition, the weights of the individual features identified the difference in roles of the H3K4me1 and H3K4me3 matched filter scores at discriminating active promoters and enhancers from inactive regions in the genome. The promoter-based (enhancer-based) model performed much more poorly at predicting enhancers (promoters) indicating the unique properties of these regions (Figures S10 and S11). We also created two integrated models utilizing matched filter scores of all thirty histone marks as features for predicting enhancers and promoters. The additional histone marks provided independent information regarding the activity of promoters and enhancers as these features increased the accuracy of these models (Figure S9). The weights of different features indicate that H2BK5ac again displays the most independent information for accurately predicting active enhancers and promoters (Figure S9). We observe similar trends and accuracy with several different machine learning models (Figures S8 and S9).

**Application of STARR-seq model to predict enhancers in mammalian species**

One of the important findings of previous ENCODE and model organism ENCODE efforts is the conservation of chromatin marks close to regulatory elements across hundreds of millions of years of evolution.\cite{} The relationship of chromatin marks to gene expression was very similar, for instance, in worms, flies, mice and human, so much that one could build a statistical model relating chromatin modification to gene expression that would work without re-parameterization across different organisms. This motivated\*\*\* us to apply our well-parameterized model based on the STARR-seq data from flies to mammalian systems -- e.g. mouse and human -- and test our model performance.

We started with genome-wide predictions of regulatory regions in mouse. Tissue-specific epigenetic signals were processed and applied to our model to account for the tissue specificity of enhancers. Predictions are made in six different tissues (forebrain, midbrain, hindbrain, limb, heart and neural tube) at mouse e11.5 stage (Data available through our website, see XXX). These tissues are selected as their epigenetic signals are highly studied in mouse ENCODE, providing us with a rich source of raw data that can be utilized for making enhancer and promoter predictions. In addition, the VISTA database contains close to 100 validated enhancers that can be used for test for each of these tissues. Using our model, we predicted 31K to 39K regulatory regions in individual tissues in mouse, with each region ranging from 300bp to 1100bp. Notably, a consistent proportion of two-thirds of these predicted regulatory regions are distal regulatory elements for all six tissues (66%-70%), with the other one-third (30%~34%) being proximal regulators (Table S3). These numbers agree with a previous enhancer evolution study (\cite Villar,D et al), and suggest that the amount of enhancers and promoters are likely comparable in different tissues.

Similarly, we did genome wide prediction of regulatory regions in ENCODE top tier human cell lines, including H1-hESC, GM12878, K562, HepG2 and MCF-7 (all available through our website). For each cell line, we utilized the 6-parameter integrated model to predict active enhancers and promoters based on the epigenetic datasets measured by the ENCODE consortium [53]. In H1-hESC, for example, we predicted 43463 active regulatory regions, of which 22828 (52.5%) are within 2kb of the TSS and are labeled as promoters. A large proportion of the predicted enhancers are found in the introns (30.41%) and intergenic regions (13.93%) (Figure *S14).* The predicted promoters and enhancers are significantly closer to active genes than might be expected randomly (Figure S15).

**Comparison of STARR-seq model to mammalian models for enhancer prediction**

We next tried to evaluate how well the STARR-seq model did on predicting mammalian enhancers. Particularly, we want to compare the current mouse enhancer predictions with predictions from models directly trained on mouse data. The relatively large number of known mouse enhancers from VISTA database enabled us to parameterize a model in a same way as what we did with the fly STARR-seq data. However, the VISTA database is not nearly at the same scale as the fly STARR-seq dataset. In total, we pulled together 1253 tissue specific positive regions and 8631 tissue specific negative regions from the assays.

With VISTA database, we trained four models based on four sets of available E11.5 mouse tissue-specific enhancers (hindbrain, limb, midbrain and neural tube), and assessed them using 10-fold cross-validation respectively. (There are no DHS data available for E11.5 forebrain and heart thus these two tissues are excluded for fair comparison). The average AUROC value is compared to the AUROC of testing STARR-seq trained model on the same VISTA enhancer data. Despite the significantly unbalanced negative to positive ratios of mouse enhancers in the database, the 6-parameter integrative SVM models learned using balanced fly STARR-seq data were highly accurate at predicting active enhancers and promoters in mouse (Figure S16 A). The cross-validated mouse model, while it did well, performed no better on predicting mouse tissue specific enhancers. We found that the best performing one among the mouse models is for tissue midbrain, likely due to the fact that the number of validated midbrain enhancers is the largest. To construct a larger training sample for mouse, we pooled together the normalized z-scores of matched filter scores for six epigenetic signals of all four tissues, and parameterized a model using this larger set of data. Again, we observed that the original model trained with fly STARR-seq data performed equally well on predicting mouse enhancers and much better in predicting fly enhancers (Figure S16 B). Overall, the result suggests that using the larger and more comprehensive STARR-seq data set for parameter tuning was superior to using the smaller mouse data set, even on mouse.

In human we did not have an extensive amount of validated enhancer data to allow us to re-parameterize our model and compare to the STARR-seq model. Instead, we compared our predicted enhancers to the enhancer predictions from popular segmentation-based algorithms in human cells, ie chromHMM and SegWay (refs). We observe that a majority of the predicted enhancers and promoters are also predicted to be enhancers and promoters by chromHMM and SegWay respectively (Figures *S17 to S20).*

Given the above overall statistical and computational evaluations, we are confident that the STARR-seq parameterized model. We then set out to do targeted unbiased validations of the mammalian enhancers predicted, which is described in the next two sections.

**Validation in vivo in Mouse**

To test the activity of predicted mouse enhancers in vivo, we performed transgenic mouse enhancer assay in e11.5 mice for 133 regions in heart and forebrain, including 102 regions selected based on the H3K27ac signals rank of corresponding mouse tissues, and 31 regions selected by an ensemble approach from human homolog sequences (See Methods and Supplement Table S4, S5). In addition, we obtained another set of transgenic mouse enhancer assay results from ENCODE Phase III Encyclopedia [Reference to the main encyclopedia paper], which assessed 151 regions in mouse e11.5 hindbrain, midbrain and limb. The combined results from these two large sets of validations, as well as any previously tested tissue-specific e11.5 enhancers from VISTA database, allow us to comprehensively evaluate our enhancer predictions in all six e11.5 mouse tissues.

Among the first 102 tested regions, 62 are selected based on forebrain H3K27ac signal rank, with 20, 22, 20 regions being in the top, middle and bottom rank respectively. Another 40 regions are selected by heart H3K27ac signal rank with half of them coming from the top rank and the other half coming from the middle rank. The bottom ranked regions were skipped because the activity of middle ranked regions dropped off so much. Consistently, the observed active rate of assessed regions decrease from top tier to bottom tier. The validation result suggested a great prediction accuracy of our model: 61% predicted active rate versus 70% observed active rate for top tier, 45% predicted active rate versus 32% observed active rate for middle tier, and 34% predicted active rate versus 35% observed active rate for bottom tier in forebrain, etc (See supplement table S6). For the other 31 human homolog sequences, 12.9% and 9.7% of the assessed regions are active in heart and forebrain respectively. The lower active rate is likely due to the fact that these human sequences are less well behaved in mouse tissues compared to their original native environment.

For systematic comparison, we evaluate the predictability of our matched filter model for each individual histone marks and DHS, as well as the integrated SVM model (Figure 4). Consistent with previous result from STARR-seq data, H3K27ac signal is the single best performed histone marks for predicting enhancers, while DHS signal performs well as an independent source. The integrated model, as expected, out-performs the individual histone mark models. We then did similar evaluation using the regulatory elements identified by the transduction-based FIREWACh assay in mouse embryonic stem cells (mESC) [36]. With the same metaprofiles, the predictions are based on epigenetic signals of mESC available from ENCODE website. Again, we observe similar results for individual histone marks and combined SVM model (Figure S12). As the *in vivo* and FIREWACh assays utilized a single core promoter to validate regulatory regions, the performance of the different models in Figures 4 and S12 are probably underestimated.

**Validation in human cell lines**

We proceeded to validate our STARR-seq based model for predicting human enhancers using an in vitro transduction assay. A third generation, self-inactivating HIV-1 based vector system in which the eGFP reporter was driven by the DNA element of interest was used to validate putative enhancers after stable transduction of various cell lines, including H1 hESC (Figure 5). The predicted enhancers, ranging from 650 to 2500 bp, were PCR amplified from human genomic DNA and inserted just upstream of a basal Oct-4 promoter of 142 bp (a housekeeping promoter is used so that the activity of the putative enhancers should be similar across different cell lines). VSV G-pseudotyped vector supernatants from each were prepared by co-transfection of 293T cells, and these were used to transduce the various cell lines, with empty vector and FG12 vector serving as negative and positive controls, respectively. Putative enhancer activity was assessed by flow cytometric readout of eGFP expression 48-72 h post-transduction, normalized to the negative control.

A total of 25 predicted intergenic enhancers were randomly selected for validation (Supplementary Table S6). These predictions were chosen randomly to ensure that these truly represented the whole spectrum of predicted enhancers and not just the top tier of predicted enhancers. Of these 25 putative enhancers, 23 were successfully amplified and cloned into the HIV vector.  To measure the distribution of gene expression in the absence of enhancer, we also amplified and cloned 25 non-repetitive elements with similar length distribution that were predicted to be inactive using the same HIV vector.  All positive and negative DNA elements were transduced and tested for activity in both forward and reverse strand orientations since enhancers are thought to function in an orientation-independent manner. Functional testing was performed in HOS, TZMBL, and A549 cell lines in addition to H1-hESCs.

Insertion of twelve of the 23 putative enhancers into the HIV vector resulted in a significant increase in eGFP expression (P-value < 0.05 over the distribution of gene expression for negative elements) in the H1-hESCs (Supplementary Table S6). While most of the positive enhancers displayed a significant increase in gene expression irrespective of their orientation, a few elements showed significantly higher levels of gene expression in one of the orientations (Supplementary Table S7).  In contrast, the negatives displayed much lower levels of gene expression typically (Figure 5 and Supplementary Figure S20). In addition, most of these elements increased gene expression of GFP in the four different cell lines even though some of the elements were preferentially active in one of the cell lines. Overall, 16 of the 23 tested predictions displayed a statistically significant increase in gene expression of the reporter gene in at least one of the cell lines (Supplementary Table S6 and Supplementary Figure S20). Given the promoter specificity of enhancers in such assays, we would anticipate that some of the elements that could not be validated in this particular vector would function as enhancers in a more natural biological context.

**Integrative analysis in human cell-lines: Different Transcription Factors bind to enhancers and promoters**

We further studied the differences in TF binding at promoters and enhancers (Figure 6 and Figure S21). We focussed on the human H1-hESC cell line as there is large amount of functional genomic assays from the ENCODE and Roadmap Epigenomics Mapping Consortium (citations) within these cell lines. Together, the consortia have generated ChIP-Seq data for 60 transcription related factors in H1-hESC cell line, including a few chromatin remodelers and histone modification enzymes. Collectively we call all these transcription related factors "TF"s for simplicity.

Most promoters and enhancers contain multiple TF-binding sites. However, the TF-binding of enhancers is more heterogeneous than promoters: more than 70% of the promoters bind to the same set of 2-3 sequence-specific TFs, which is not observed for enhancers. The majority of the promoters also contain peaks for several TATA-associated factors (TAF1, TAF7, and TBP). Overall, the high heterogeneity associated with enhancer TF-binding is consistent with the absence of a sequence code (or grammar) which can be utilized to easily identify active enhancers on a genome-wide fashion.

In Figure 6, we show that the patterns of TF binding within regulatory regions can be utilized in a logistic regression model to distinguish active enhancers from promoters with high accuracy (AUPR = 0.89, AUROC = 0.87). We were also able to identify the most important features that distinguish promoters from enhancers. In addition to TATA-box associated factors such as TAF1, TAF7, and TBP, the RNA polymerase-II binding patterns as well as chromatin remodelers such as KDM5A and PHF8 are some of the most important factors that distinguish promoters from enhancers in H1-hESC. This provides a framework that can be utilized to identify the most important TFs associated with active enhancers and promoters in each cell-type.

In Figure 6A, we show that the pattern of TF binding at promoters is different from that at enhancers and that TF-binding at enhancers displays more heterogeneity. As the set of TFs binding promoters is fairly uniform, the same pairs of TF also tend to bind together on promoters. In contrast, for enhancers, the patterns of TF co-binding are much more heterogeneous, and different enhancers tend to contain different TF-pairs. This can be observed in the patterns of TF co-binding in Figures 6C and S22. These TF co-associations could lead to mechanistic insights of cooperativity between TFs. For example, similar to a previous study [57], CTCF and ZNF143 may function cooperatively as they are observed to co-occur frequently at distal regulatory regions in this study.

**Discussion**

In this paper, we have developed a framework using transferable supervised machine learning models trained on regulatory regions identified by MPRAs to accurately predict active enhancers in a cell-type specific manner. Current, most existing methods were parameterized (not properly “trained”) on regions that had various features associated with promoters and enhancers and only a small number of these regions were typically tested for regulatory activity experimentally in an *ad hoc* manner. The rich amount of whole-genome STARR-seq experiments can now establish the characteristic pattern flanking active regulatory regions within certain histone modifications. This motivated us to train a shape-matching and filtering model that can be used to identify these patterns within the shape of the ChIP-seq signals. As the chromatin marks and epigenetic profiles associated with active regulatory regions are highly conserved among organisms, we showed that a well parameterized model in one model organism can be transferred to another with high prediction accuracy.

In the model, we compared close to 30 epigenetic signals for their ability to predict regulatory elements individually. The H3K27ac matched filter remains the single most important feature for predicting active regions while H3K4me1 and H3K4me3 are shown to distinguish promoters and enhancers. We characterized the amount of redundant information within the metaprofile of different epigenetic features and showed that the\*\*\* ChIP-seq signals of H2BK5ac, H4ac and H2A provide independent information that helps to improve the accuracy of promoter and enhancer predictions. In addition to these 30-feature models, we also provide a simple to use six-parameter SVM model for combining H3K27ac, H3K9ac, H3K4me1, H3K4me2, H3K4me3, and DHS to predict active promoters and enhancers in a cell-type specific manner. These six histone marks have been measured for a number of different tissues and cell-types by the Roadmap Epigenomics Mapping Consortium [39], the ENCODE Consortium\*\*\*[53], and the modENCODE Consortium [58]. Based on these signals, our model could be applied in a tissue and cell-type specific fashion in other organisms like mouse and human. We trained our models with datasets from different species and demonstrated that the high-quality\*\*\* STARR-seq data from fly is sufficient to train a well transferable model. We also compared our result with chromHMM and SegWay predictions and observed the majority of them overlap (Figure S17 to S20).

To avoid potential biases\*\*\*, we chose to validate our model using multiple regulatory assays including in vivo transgenic assays and in vitro transductions assays, in which the predicted region is \*\*\*tested for regulatory activity in the native chromatin environment. The transgenic assays are performed in E11.5 mice for 133 regions of three rank tiers predicted active in mouse heart and forebrain. The experiment is supplemented by another set of 151 assayed regions predicted active in mouse hindbrain, midbrain and limb in ENCODE Phase III Encyclopedia [Reference to the main encyclopedia paper]. Together with other validated regulatory regions from VISTA database, we were able to comprehensively validate our tissue-specific predictions in six different tissues in mouse. As we show in figure 4, the H3k27ac and DHS signals continue to be the highest predictive signals in mouse\*\*\*\*. [[STOPPED \*\*\*]] We also did a similar evaluation with publicly available FIREWACh assay data in mouse, and the results are consistent. Taken together, we showed that the matched filter model is transferable with high accuracy in predicting active enhancers in mouse tissues.

The human cell-line specific regulatory elements predictions are validated through in vitro transduction assays in human H1-hESC cells. The majority of the predicted elements displayed a significant increase in expression of the reporter gene, further confirming the predictability of our model in mammalian organisms. H1-hESC is a highly studied cell line, allowing us to analyze the differences in the patterns of TF binding at proximal and distal regulatory regions. The TF binding and co-binding patterns at enhancers are much more heterogeneous than that at promoters. This heterogeneity in TF binding patterns makes it more difficult to predict enhancers due to the absence of obvious sequence patterns in distal regulatory regions. However, we were able to create accurate machine learning models that can distinguish proximal promoter regions from distal enhancers based on the patterns of TF ChIP-seq peaks within these regulatory regions. The conservation of the epigenetic underpinnings underlying active regulatory regions sets the stage for our method to study the evolution of tissue-specific enhancers and their genomic properties across different eukaryotic species.

Our results echo to the previous findings that the epigenetic profiles associated with active enhancers and promoters are highly conserved in evolution. Therefore, our framework of integrating shape-matching epigenetic scores using fly STARR-seq enhancers can be applied to predict on a variety of tissues and cell lines in other species. In the cross-comparison of our model, we show that the six-parameter integrated model trained in STARR-seq data performs equally well at predicting mouse tissue enhancers with a model trained in VISTA mouse enhancer data. This highlights the advantage of modeling based on a comprehensive genome-wide experimental assay. In the future, we expect that more extensive whole-genome STARR-seq dataset will become available on mammalian systems. It could thus be advantageous to re-train the matched filter model on the state-of-art datasets. With the well set up framework we presented in the paper ([[[code link goes elsewhere]] code available at https://github.com/gersteinlab/MatchedFilter), re-training the model with newly generated datasets should be straightforward.

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**Figure Captions**

**Figure 1: Creation of metaprofile.** A) We identified the “double peak” pattern in the H3K27ac signal close to STARR-seq peaks. The red triangles denote the position of the two maxima in the double peak. B) We aggregated the H3K27ac signal around these regions after aligning the flanking maxima, using interpolation and smoothing on the H3K27ac signal, and averaged the signal across different MPRA peaks to create the metaprofile in C). The exact same operations can be performed on other histone signals and DHS to create metaprofiles in other dependent epigenetic signals. D) Matched filters can be used to scan the histone and/or DHS datasets to identify the occurrence of the corresponding pattern in the genome. E) The matched filter scores are high in regions where the profile occurs (grey region shows an example) and it is low when only noise is present in the data. The individual matched filter scores from different epigenetic datasets can be combined using integrated model in F) to predict active promoters and enhancers in a genome wide fashion.

**Figure 2: Performance of matched filters and integrated models for predicting MPRA peaks.** The performance of the matched filters of different epigenetic marks and the integrated model for predicting all STARR-seq peaks is compared here using 10-fold cross validation. A) The area under the receiver-operating characteristic (AUROC) and the precision-recall (AUPR) curves are used to measure the accuracy of different matched filters and the integrated model. B) The weights of the different features in the integrated model are shown and these weights may be used as a proxy for the importance of each feature in the integrated model. C) The individual ROC and PR curves for each matched filter and the integrated model are shown. The performance of these features and the integrated model for predicting the STARR-seq peaks using multiple core promoters and single core promoter are compared. The numbers within the parentheses in A) refer to the AUROC and AUPR for predicting the peaks using a single STARR-seq core promoter while the numbers outside the parentheses refers to the performance of the model for predicting peaks from multiple core promoters.

 **Figure 3: Performance of matched filters and integrated models for predicting promoters and enhancers.** The performance of the matched filters of different epigenetic marks and the integrated model for predicting active promoters and enhancers are compared here using 10-fold cross validation. A) The numbers within parentheses refer to the AUROC and AUPR for predicting promoters while the numbers outside parentheses refer the performance of the models for predicting enhancers. B) The weights of the different features in the integrated models for promoter and enhancer prediction are shown. C) The individual ROC and PR curves for each matched filter and the integrated model are shown. The performance of these features and the integrated model for predicting the active promoters and enhancers using multiple core promoters are compared.

**Figure 4: Conservation of epigenetic features.** The performance of the fly-based matched filters and the integrated model for predicting active enhancers identified by transgenic mouse enhancer assays at 6 different tissues in E11.5 mice. A) Average AUROC and AUPR for predicting enhancers by different features and by the integrated model. The weights of the different features in the integrated model is the same as the weights shown in Figure 3 for enhancers. B) The individual ROC curves of each feature and the integrated model for each tissue are shown. C) The individual PR curves of each feature and the integrated model for each tissue are shown.

**Figure 5: Enhancer Validation Experiments.** A) A schematic of the enhancer validation scheme is show**.** At top is third generation HIV-based self-inactivating vector (deletion in 3’ LTR indicated by red triangle), with PCR-amplified test DNA (blue, two-headed arrow indicates fragment was cloned in both orientations), inserted just 5’ of a basal (B) Oct4 promoter driving IRES-eGFP (green). Vector supernatant was prepared by plasmid co-transfection of 293T cells and used to transduce cellular targets and analyzed by flow cytometry a few days later. B) The fold change of gene expression of eGFP is compared between negative elements and putative enhancers chosen for experiments. The p-Value of the difference in activity is measured using a Wilcoxon signed-rank test.

**Figure 6: Differences in TF binding patterns at enhancers and promoters.** A) The fraction of predicted promoters and enhancers that overlap with ENCODE ChIP-seq peaks for different TFs in H1-hESC are shown. The names of all TFs in the figure can be viewed in Figure S20. B) The AUROC and AUPR for a logistic regression model created using the pattern of TF binding at each regulatory region to distinguish enhancers from promoters are shown. The weight of each feature in the logistic regression model can be used to identify the most important TFs that distinguish enhancers from promoters. C) The patterns of TF co-binding at active promoters and enhancers are shown. The names of all the TFs in this graph can be viewed in Figure S21.