# Using the ENCODE regulatory data to interpret non-coding somatic variants in cancer

# Long Abstract

We understand the impact of somatic mutations well in a very limited number of cancer genes; in contrast, the overwhelming number of mutations in cancer genomes occur in non-coding regions. The new release of the ENCODE data allows us to bridge these two facts. First, multiple layer of ENCODE data integration benefits somatic mutation burden analysis. On the raw signal level, we normalized raw signal tracks from comprehensive experiments to perform a genome-wide background mutation rate (BMR) calibration in a variety of tumors to separate the effects of well-known confounders, such as replication timing and chromatin status; then, on the annotation level, we create extended gene definitions, which link the ENCODE non-coding cis-acting regulatory elements (CREs) to annotated genes, as a whole burden test unit after integrating large scale ChIP-seq, DNase-seq, Enhancer-seq, Hi-C, and ChIA-PET data, Our analyses on various cancer types demonstrate that they are more sensitive than coding regions in terms of sensible hyper-mutated regions discovery. In particular in leukemia, in addition to well-known drivers such as TP53 and ATM, it also picks up other key genes such as BCL6, which can then be associated with patient prognosis. Second, we integrated the ENCODE data to build up a high confidence TF-gene regulatory network. This enabled us to identify highly rewired (i.e. target changing) TFs, such as NRF1 and MYC by rigorously comparing tumor and normal samples. By integrating large-scale chromatin features, we demonstrated that such massive rewiring events between tumor and normal cell lines are mainly attributable to the chromatin structure changes instead of direct mutational effect. Furthermore, we also performed hierarchical analysis on TF-TF networks and found that TFs on the top hierarchy are more associated with tumor-normal differential expression and TFs a the bottom hierarchy (e.g., EZH2 and NR2C2) are usually with more mutationally burdened binding sites: Third, using the ENCODE regulatory network, we developed a multi-scale scoring workflow to prioritize key <u>CREs/SNVs</u> according to their role in cancer and then validated these through different experimental assay In-particular, at the macro layer, we prioritized ZNF687 as a key TF for breast cancer and SUB1 as a key RNA binding protein for liver and lung cancer and validated them through siRNA knockdown experiments; at the middle layer, we identified several key enhancers and validated their effect on gene

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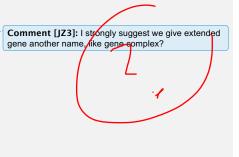
expression through luciferase assays, and finally picked up the most important SNV, in them in to check their mutational effect on these enhancers through luciferase assays. Our work demonstrates careful integration of the ENCODE resources offers unprecedented opportunity to accurately characterize oncogenic regulation and serves as a powerful tool to prioritize cell-type specific cancer variants.

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# Short Abstract

We understand the somatic mutations well in a very limited number of cancer genes; in contrast, the majority of mutations in cancer genomes occur in non-coding regions. The new release of the ENCODE data bridges these two facts. First, EXCODE data integration from multiple levels benefits mutation burden analysis. On the raw signal level, we normalized data from comprehensive experiments to precisely calibrate background mutation rate (BMR) calibration in a variety of tumors; on the annotation level, we created extended gene definitions to link the cisacting regulatory elements (CREs) to genes. Results demonstrate that our scheme can find sensible hyper-mutated regions. For example in leukemia, in addition to well-known drivers such as TP53 and ATM, it also discovered BCL6, which is proven to be associated with disease prognosis. Second, we build up a high confidence TF-gene regulatory network in a cancer specific way and identified highly rewired TFs, such as NRF1 and MYC. We also demonstrated that such massive rewiring events are mainly attributable to chromatin structure changes instead of direct mutational effect. Furthermore, we performed hierarchy analysis on TF-TF networks and found that TFs on the top layer are more associated with tumor/normal differential expression and TFs at the bottom layer (e.g., EZH2 and NR2C2) are usually with more mutationally burdened binding sites. Third, using the ENCODE regulatory network, we developed a multi-resolution scoring workflow to prioritize key CREs/SNVs. At the macro layer, we prioritized ZNF687 for breast cancer and SUB1 for liver and lung cancer and validated them through siRNA knockdown experiments; at the middle layer, we identified several key enhancers and validated them through luciferase assays; and we finally zoom in on the single nucleotide resolution to prioritize SNVs with validations.



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# Introduction

[JZ: why cancer community need ENCODE data: done!]

Recent developments of whole genome sequencing (WGS) and personal genomics have <u>opened</u> the opportunities to identify deleterious mutations that are important for tumor genesis, which in turn enable development of targeted therapies in clinical studies. However, although thousands

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of genomes' WGS data were provided through the collaborative effort of many consortia, the overwhelming number of mutations occur in noncoding regions, where their functional impact remains difficult to characterize. Hence, it is important to decipher how these noncoding regions interact and how they are perturbed in cancer us cells to better discet the somatic mutational landscape and provide personalized therapy for cancer patients. Since the inception of ENCODE project, deep sequencing of entire human genome from comprehensive functional characterization assays allowed us to identify numerous noncoding cis-acting regulatory elements (CREs). The ENCODE resources may potentially bridge the gap between the fast growing set of discovered noncoding variants with unknown functional impact and the limited number of well-known cancer genes for the cancer community.

### [JZ: challenges of directly using ENCODE data for the cancer community; Done!]

However, it is still challenging to directly incorporate the ENCODE data in an effective way for several reasons. First, cancer is such a highly heterogeneous disease that the variant impact evaluation should be carried in a tissue-specific way. However, despite the impressive coverage, the ENCODE resources are still far from perfect. Some tumor-normal paired tissues might be only loosely connected to each other, and no cancer type has the complete data set. There are lots of missing data from a certain experiment assay for some cancer type. Hence, it is key to harvest data from most relevant cell lines or even learning from other noncancerous tissue types; second, the various genome-wide signal tracks from different ENCODE experiments are in nature highly heterogeneous and it requires rigorous de-duplication and normalization to better serve the cancer community. Lastly, no all CREs annotations provided by ENCODE, for example the transcription factor binding sites (IFBSs) and enhancers, redirectly taked to a specific gene.

### [JZ: what we have done? Three layers of prioritization; Done!]

We here present an integrative framework to specifically tailor all ENCODE resources for cancer analysis and prioritize CREs and SNVs at multiple resolutions. First, we integrated the comprehensive set of ENCODE data to better analyze recurrence events for cancer genomes. We consolidated highly heterogeneous genomic features that confound the mutation process in cancer genomes to dissect the somatic mutational landscape and predict the true BMR, under local context. We also integrated the most comprehensive noncoding annotations and precisely linked them to well-known coding genes as whole unit to better quantify the recurrence level for each protein-coding gene. Second, we set up a loosely matched tumor and normal gene regulation network in a cancer specific way to identify regulatory elements that undergo dramatic changes during the transition from tumor to normal cells. Additionally, we aggregated numerous sources of expression data to further prioritize the key elements that driver tumor and normal differential expression. Lastly, we scrutinized to the single nucleotide variation resolution and prioritized those that potentially affect regulatory events the most. Finally, experimental validation at different scales demonstrated the effectiveness of our <u>multi-resolution</u> scheme to pinpoint the key elements and variants in various cancer types.

# Data summary

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[DL: emphasize how difficult to integrate missing/heterogeneous/incomplete tumor-normal data]

ENCODE includes the most comprehensive sets of functional annotations of the human genome to date, from transcription level to chromatin and nuclear organization level. Up to xxx percent of the cell lines in ENCODE are actually cancerous cells, leaving it as valuable resource for the cancer research. Here we synthesized the most comprehensive ray dataset to our best and places from EXCODE significantly resource list in Figure 1A. Besides, the norcoding annotations enlarges our understanding of mutations percent of the from 1~ whole genome n the coding regions to up to xx percent of the annotated regions, strongly benefits variant functional interpretation.

ty o other resources of ENCODE annotation to facilitate cancer In this paper, we also customized research. First, we proposed the extended gene concept by linking various noncoding CREs to genes with high confidence in various cell lines. In particular, we predicted high-quality enhancer targets using both computational methods and incorporating nuclear organization and 3D chromatin architecture using Hi-C and ChIA-PET. Then the distal (enhancers) and proximal (TFBS in promoters) CREs were assigned to each protein coding genes as a full category of regulation elements. Second, we built up gene expression regulatory network for in a cancerspecific way to facilitate regulatory network analysis.

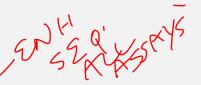
# Multi-level data integration from ENCODE benefits variants recurrence analysis in cancer

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Recurrence analysis, which properly looks for regions mutated more frequently than expected, is one of the most powerful ways to identify key elements and deleterious mutations for cancer. Two main difficulties in such analysis are: the mutation process is severely confounded by both external genomic factors and local context effects, which will result in numerous false positives and negatives if uncorrected; second, traditional burden tests are performed on separate groups based on their regulatory categories, such as promoter or enhancer list, which completely ignores the interaction among different groups and impairs immediate functional interpretation of the results.

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Deleted: , Despite this impressive coverage, the ENCODE resources are still far from perfect. First, some tumor-normal paired tissues might be only loosely connected to each other For example, K562 can be loosely paired with GM12878 for CML, HepG2 to liver for liver cancer, A549 to IMR-90 for lung cancer, and MCF-7 to MCF-10A for breast adenocarcinoma. Second, no cancer type has the complete data set. There are lots of missing data from a certain experiment assay for some cancer type. In summary, we have a lot of issues in these cell lines and we further synthesized the most comprehensive dataset to our best and places resource list in Figure 1A. Deleted: Recurrence

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As a contrast, here we integrated the ENODE resources at two levels for better recurrence analysis. We first normalized and summarized data from comprehensive experiments in ENCODE into a covariate matrix to precisely predict the local BMR through regression in a cancer specific way. Different from other methods that use the same data for all cancer types, our result indicates that matched data usually provides better BMR prediction. For example, in CLL, using Repli-seq signals from K562 increases the correlation of predicted vs observed mutation counts over 1mb bins from XX to XXX relative to using data from HeLa-S3 cell lines (XX to XXX in HeLa). In addition, despite the possibility of high inter-correlation, various functional characterization assays usually represent different biological mechanisms of mutation genesis progress, so it is important to integrate these features to collaboratively predict BMR. For example, the correlation among expected and observed mutation counts per 1mb bins is only from xxx to xxx using one replication timing, but increased to 0.88 to 0.95 by adding other feature in various types of cancers, which will significantly benefit the following burdening analysis.

Second, instead of testing noncoding annotation categories separately, we proposed an extended gene concept. We deeply integrated the ENCODE noncoding annotations and provided their high confidence gene linkage by integrating evidence for various experiment assay, such as ChIP-seq, Hi-C, and ChIA-pet. It incorporates both protein-coding exons and noncoding CREs for a gene as the burden test unit. It allows us to pickup weak mutation signals from individual CREs/coding exons and jointly evaluates the mutation burden for improved statistical power and better functional interpretation. For example, in CLL the extended gene analysis not only detects almost all genes burdened by either CDS or TSS regions, but also pinpoint other gene candidates with burdening on key regulatory regions. Among them, BCL6, which is missed using either TSS and CDS analysis, is identified as burdened in our method and its expression in CLL has been demonstrated to be significantly associated with patient survival. We also performed burdening analysis in breast and liver cancers and burdened regions were given in Fig 2.

# Tissue specific network analysis helps to pinpoint key CREs for cancer

The human regulatory network specifies the combinatorial control of gene expression states, from various regulatory elements, and constitute the wiring diagram for a cell. To examine the principles of the tumor transcriptional regulatory network, and decipher the consequences of rewiring events during the transition from normal to tumor cells, we integrated xxx transcription-related factors in over xxx distinct experiments and xxx cell lines for different cancer types to seek for master regulators for multiple cancer types.

[JZ: Peng and Loregic part here!]

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To investigate the network topology of TF regulation, we first <u>form the transcriptional regulatory</u> network into hierarchy with TFs at different levels reflecting the degree to which they regulate other TFs. In this representation, we can see two patterns readily emerge <u>The top-level regulator</u>. TFs more strongly influence the tumor/normal differential expression than others. The average Pearson correlation of the binding events of TFs and gene expression changes was as high as 0.270 in the top layer, but it drops to 0.125 in the bottom layer. In contrast, the TFs at the bottom layer of the hierarchy were more frequently associated with burdened binding sites in general <u>perhaps reflecting their increased resilience to cellular mutation</u>.

Regulatory network rewiring between tumor and normal cells suggest changes in control of gene expression status, which could result in massive gain or loss functions during the cell cycle. Here, we carefully investigated edge loss and gain events by comparing the regulatory network in loosely matched tumor and normal for different cancers. Across all tumor types, we observed frequent rewiring events relative to each reference (normal) state. There's a variety of ways of formulating this rewiring. First we counted the number of edges that remain the same to rank the TF with the raw gain/loss events and found that different TFs show rewiring disparate patterns. For example, several oncogenes, such as RCOR1, REST, and ZBTB33, were among the top gainer TFs; Some other TFs, such as the tumor related gene HDGF, lost up to xxx percent of edges during the transition from tumor to normal cells. On the contrary, some non-specific cell type TFs such as RAD21 and YY1 maintained most common edges in the network of K562 and GM12878 (as show in Fig3 X). We further used a mixed membership model to look more abstractly at the local neighborhood of all the connections to re-rank the TFs, and similar pattern was found and the well-known oncogene MYC become the top gainer. Survival analysis showed that the highly rewired TFs are significantly associated with tumor progression JZ: CC's data to come in!]

Upon further investigation, we aim to explore the reason of network rewiring in tumor/cancer pairs and check the degrees of direction mutational effect during this process. We found that the majority of rewiring events were due to chromatin status change rather than from motif loss or gain events due to mutations. For example, JUND is a top rewiring TF that gained a huge number of targets in K562. We found that up to 30.5 and 58.1 percent of the gain/loss events are associated with at least 2-fold expression change, and xxx percent is has huge chromatin changes. Among those edges, only xxx variants were found in 100 CLL sample and among these up to xxx motif gain/loss variants could potentially affect rewiring events. All these analysis indicates the jimited role of mutational effect during the transition from normal to cancer cells.

The combinatorial regulation of many TFs jointly determines the ON and OFF states of all genes to maintain the correct biological processes of normal cells. The disruption of co-regulatory relationships of key elements in cancer cell lines will result in erroneous gene expression pattern. We quantified the co-association status of each TF and observed huge co-association changes in some of the key TFs when comparing the regulatory network of K562 and GM12878. For

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example, ZNFXXX is a suppressor TF that shows only marginal co-binding events in GM12878. However, it not only increases its binding sites from xxx to xxx in K562, but also up to xxx percent of its binding sites co-bind with other TFs. Such unique patterns of co-association in cancer cell lines indicates differential combinatorial code.

# Validation results

Here we proposed a multi-resolution prioritization scheme to pinpoint from the key CREs, to SNVs that are important for tumorigenesis. At the large scale, we selected the key CREs, such as transcription factor and RNA binding proteins, that <u>underwent</u> either dramatic <u>regulatory</u> network rewiring and differential expression aggregation between tumor and normal states. At the middle level, we use mutation-burdening analysis to find those important ones with more mutations for prioritization. At the micro level, we zoomed into base pair resolution, utilizing comparative genomic features like conservation scores and transcription factor binding profiles to assess motif gain and loss, to pinpoint the impactful SNVs for functional characterization of cancer.

First, shRNA RNA-seq experiments were used to evaluate the gene expression level change before and after knocking down key transcriptional or RNA-level regulators. Specifically, the TF ZNF678 was discovered to significantly drive the tumor and cancer differential expression in the majority of breast cancer samples (Fig 5A, p=xxxx for two sided t-test). Similarly, we found the RNA-binding protein SUB1 to significantly up-regulate various target genes' expression in both lung and liver cancers. siBNA knockdown RNA-seq experiments also validated its regulatory role (Figure 5 A). In addition, we found that the activity level of SUB1 is closely associated with patient survival data, further indicating its prognostic role in liver and lung cancers.

Second, we identified several candidate enhancers that are based on computational predictions and targeted Enhancer are experiment in the noncoding regions and validated their potential to initiate the transcription process using Juciferase assay. Of xxx candidate regions we identified as functional, a decent amount of expression has been observed, demonstrating the effectiveness of our method.

In addition, we further selected key SNVs within the functional cis-regulatory elements that are key for gene expression control. Of 8 motif-disrupting SNVs we tested, we observed 6 variants that were consistently up or down-regulated activity relative to the wild type. One particularly interesting region is chromosome 6, 13.5xxx. The enhancer region nearby is in the intergenic region and has been predicted as strong enhancers both in normal (HMEC) and tumor cells (MCF-7) in breast. It has been shown to be regulating an upstream oncogene SGK1, which is key to the tumorigenesis in breast cancer. The SNV we selected in this region has strong motif

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breaking effect for a series of TFs such as xxx, and we observed various TF binding sites overlapping it.  $_{\rm x}$ 

# Conclusion

In this paper, we demonstrated the effectiveness of using ENCODE data to prioritize key regulatory elements/SNVs at different scales that are important for cancer genesis. Our scheme can be immediately applied to interpret the noncoding variants from large cohorts, and pinpoint key elements for detailed functional characterization.

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Second, due to the heterogeneous nature of various cancer types, it is important to harvest data from most relevant cell line when evaluating the variant effect in different cancer types. However, tissue matching is still a challenging problem. Lastly, none of the available cancer genomics data is complete in any cancer cell line. Hence, maximizing the utility of ENCODE data, and learning from other noncancerous tissue types, is an important topic.

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[JZ: evaluate the TF classification according to rewiring events]

While it may be rare to have mutations that directly affect TF binding sites, we hypothesized that mutations could have an indirect effect on key regulators of cancer. To further assess the mutational effects on regulatory element rewiring and selection bias, we focused on K562 and GM12878 pair and compared the pool of real CLL mutations to simulated sets of randomized mutations of the same size. Relative to random mutations, CLL mutations were more likely to cause motif loss in CEBPG, IRF1, MAX, and NR2F1. In contrast, the real mutations were found to increase the likelihood of TF binding in JUND, MAFF, MAFG, and NRF1.,,,,todisc

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significantly drives tumor/norm	al		
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Then among the many functional regions regulated by these key CREs			
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We have so far integrated extensive ENCODE annotations to define key regulatory elements to impactful noncoding SNVs in these regions based on our multi-level prioritization scheme. To asses the performance, we selected several examples at different scales and used various experimental assays to validate our predictions. At macro-level, we identified key transcriptional regulators (TFs) that drive tumor-normal differential expression. Specifically, we predicted ZNF687 and SUB1 as the most impactful regulators in MCF-7 and both HepG2 and A549, respectively, and we validated their significance using RNAi-based knockdown experiments. At micro-level, we validated 10 motif-breaking noncoding SNVs in key regulatory regions of MCF-7 using luciferase assay.

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also use middle-scale assays to v after combining various chromatin		egulatory elements. For example,	
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noncoding a match-filter based cis-regulatory element prediction method to find the key			
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In order to evaluate the effect of n	nutation on regulatory region,	we used luciferase reporter assay	

to quantify the activity of cis-RE containing motif-breaking mutation relative to wildtype in MCF-7.

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In order to evaluate the effect of mutation on regulatory region, we used luciferase reporter assay to quantify the activity of cis-RE containing motif-breaking mutation relative to wildtype in MCF-7.

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