1 **Comparative transcriptomic network analysis reveals developmental hourglass patterns at**

- 2 **the molecular network structures**
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16 **Abstract**

- 17 Hourglass behaviors have previously been observed at gross morphological and single-gene
- 18 transcriptome levels during embryogenesis, with the largest constraint occurring at the
- 19 phylotypic stage (the "pinch" of the hourglass). In this paper, we also found developmental
- 20 hourglass patterns from the gene network structures. In particular, using the modENCODE
- 21 expression datasets for organism development, we clustered orthologous genes between worm
- 22 (C. elegans) and fly (D. mel) into gene co-expression modules based on the correlations of their
- 23 temporal gene expression profiles during embryonic development. Some modules exist in both 24 two organisms (i.e. conserved module), and others are more species-specific. We found that the
- 25 conserved modules achieve their highest network modularity near the phylotypic stage,
- 26 suggesting that various conserved functions start to become activated during the middle rather
- 27 than the early or late embryonic stages. Coincidentally, the transcription factors that potentially
- 28 regulate some of those modules are up-regulated at the onset of phylotypic stage. We also found
- 29 that the conserved modules are tightly connected with each other near the phylotypic stage,
- 30 suggesting that the conserved functions have to coordinate with each other at this middle stage.
- 31 Thus, our results reveal that the multi-gene conserved modules follow the hourglass patterns in
- 32 terms of their co-expression network connectivity in embryonic development. In contrast, we did
- 33 not see such hourglass patterns from species-specific gene co-expression modules.

34 **1. Introduction**

- 35 Nearly 200 years ago, Haeckel proposed the recapitulation theory that the embryogenesis of
- 36 animals resembles the successive evolutionary path from their ancestors (Hopwood). The limited
- 37 microscopic resolutions at that time did not enable biologists to gain a clear view of early
- 38 embryogenesis. Before gastrulation, embryos from different animals actually look more different
- 39 than they appear in later stages. The so-called 'ontogeny recapitulates phylogeny' is not accepted
- 40 by modern biology (Gould, 1977). However, the idea behind this theory persisted and shaped our
- 41 understanding of development (Irie and Kuratani, 2014). Currently, it is generally accepted that 42 animals of the same phylum share a common morphological stage (i.e. the phylotypic stage
- 43 during embryogenesis (Sander, 1983)). An 'hourglass' model was proposed to explain the
- 44 existence of this conserved stage (Duboule, 1994; Raff, 1996). Raff argued that the molecular
- 45 signaling between different developmental modules (e.g., limbs) is extensive and highly inter-

46 dependent at this stage. Any mutation in the genes that are functional during this time period

may lead to fatality, thereby rendering it conserved across different animals (Raff, 1996). In

order to find experimental evidence to support this hypothetic mechanism, homologous traits

 between different animals were quantitatively measured and compared (Richardson *et al.*, 1997; Galis and Metz, 2001; Bininda-Emonds *et al.*, 2003; Steven Poe and Marvalee H. Wake, 2004).

- This type of study was difficult because there was no universal standard to define homologous
- traits. Therefore, the proposed mechanism behind the hourglass behavior remains inconclusive
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- (Irie and Kuratani, 2014).
- The availability of genome-wide gene expression data allows us to study developmental
- processes at the molecular level. The divergence of gene expression follows an hourglass-like
- pattern in six Drosophila species, which have diverged over a course of 40 million years. The
- time-series microarray data of each species were first collected, and the smallest divergence of
- gene expression appeared at the mid-embryonic stage (Kalinka *et al.*, 2010). In addition to
- directly comparing gene expression, measuring the evolutionary age of a transcriptome also
- demonstrated that the mid-embryonic stage expresses more ancient genes than earlier or later
- stages (Domazet-Lošo and Tautz, 2010; Quint *et al.*, 2012). The hourglass-like pattern of conservation (in terms of conserved gene expression levels) holds true between different animals
- (Irie and Kuratani, 2011) and even between different phyla (Gerstein *et al.*, 2014). Those studies
- generally reveal that an hourglass pattern exists with respect to conserved gene expression
- (Richardson, 2012).

66 R aff argued that the inter-dependent molecular signaling between different developmental 67 modules is the main reason for a conserved middle stage (Raff, 1996). Numerous studies tested 68 this hypothetic mechanism using molecular experimental data. However, those tests were not 69 focused on the modules or the interaction between them (Irie and Kuratani, 2014). The module in Raff's proposal can be considered as organs, such as limb, which consists of a group of discrete 71 cells (Raff, 2007). This modularity also exists among the gene regulatory networks (Davidson 72 and Erwin, 2006). A recent study analyzed the gene co-expression modules during each stage of 73 zebrafish embryogenesis and found the expression of genes within each module is most similar 74 to their mouse orthologous genes at the early stages of embryogenesis (Piasecka, et al., 2013), 75 which however did not study the interactions between various modules during embryonic 76 development. In this paper, in order to test Raff's hypothetic mechanism of the hourglass model, we used gene co-expression modules during embryogenesis that had been detected in our previous study to represent the developmental module. In particular as shown in Figure 1, we analyzed the conservations of gene co-expression connectivity for these modules across developmental stages, and found that they also achieved the maximum conservations at the phylotypic stage. This represents a developmental hourglass pattern of developmental gene co- expression network structures, whereas our previous analysis revealed the hourglass patterns of modular expression differences; i.e., minimum expression level differences at the phylotypic stage.

2. Results

- Gene regulation determines the attributes of an organism's phenotype, such as morphology, so
- conserved gene regulatory mechanisms controlling the developmental hourglass behaviors might
- exist. In this paper, we are interested in finding the gene regulatory patterns that drive
- developmental hourglass behaviors. It is known that if genes are co-expressed in a biological
- process, it is highly likely that they are all controlled by similar gene regulatory mechanisms
- (Kim *et al.*, 2001). Moreover, clustering the gene co-expression network into gene co-expression
- modules reveals the functional grouping of genes (Stuart *et al.*, 2003). Thus, we use the gene co-
- expression network connectivity between and among various gene modules to represent the gene
- regulatory patterns. In addition, since we found that the orthologous genes have developmental
- hourglass behaviors, as well as conserved genomic functions, we first try to identify a set of
- evolutionarily conserved and species-specific gene modules from worm and fly developmental gene co-expression networks (Gerstein *et al.*, 2014), and then analyze their network
- characteristics to see if any hourglass patterns exist.

2.1 Identification of conserved and species-specific gene modules between worm and fly during embryonic development

- We used our recent cross-species clustering algorithm (Yan *et al.*, 2014) to cluster worm and fly
- gene co-expression networks in embryonic development, and obtained 29 conserved gene
- modules that mainly consist of both worm and fly orthologous genes, 108 worm-specific gene
- modules and 52 fly-specific gene modules (see methods). The conserved gene modules have
- worm-fly orthologous genes with conserved functions. The species-specific gene modules
- contain the genes that have the functions specific to worm or fly (see Table S1).
- We found that the enriched gene ontology terms of those gene modules indeed represent the
- conserved or species-specific functions. Here, we use worm gene modules as case studies. As
- shown in Figure 2, a conserved gene module (i.e. c4) is highly expressed around 3.5 hours after
- fertilization, when the zygotic genome forms (Tadros and Lipshitz, 2009). It is not surprising that
- most of the genes within c4 are ribosomal genes (p-value = 0, Table S1), since huge volumes of
- proteins are synthesized during cell division. Another conserved gene module (c6) is only highly
- expressed at the beginning and then quickly down-regulated, which is a typical pattern of
- maternal gene expression (Figure 2) (Baugh, 2003). The 'proteasome complex' is over-115 represented in this gene module (p-value $\leq 10^{-10}$), which is consistent with the knowledge that
-
- maternal proteins need to be cleared during embryogenesis (Du *et al.*, 2015). One should note that the gene modules mentioned here are conserved between distantly related species (Gerstein
- *et al.*, 2014). Unlike general gene co-expression modules in which genes are co-regulated, our
- modules contain genes that are also conserved between worm and fly. Those conserved gene
- modules very likely represent the basic components of embryogenesis (Davidson and Erwin,
- 2006; Raff, 2007).
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Two worm-specific gene modules were shown in Figure 2. The w10 is enriched with the gene

- 124 ontology (GO) term 'sensory perception of chemical stimulus'(p-value $\leq 10^{-10}$) and w101 is
- 125 enriched with the GO term 'neuropeptide signaling pathway' (p-value = 10^{-7}). Both show a
- gradually increased expression level during embryogenesis, indicating that the interaction
- between embryo and environment becomes more intensive as the embryo develops (Perrimon *et al.*, 2012).
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2.2 Conserved gene modules are highly inter-connected with each other at the mid-embryonic stage

- As proposed by Raff in 1996, a developmental module should be able to interact with other
- developmental modules in a hierarchically organized and genetically discrete way. A
- developmental module is an independent functional unit, such as a limb bud (Raff, 1996). This
- definition of a module at the anatomical level can be leveraged to the partitioning of a
- developing embryo (Reno *et al.*, 2008). At the genetic level, a group of genes that are under the
- same regulatory control can also be considered to constitute a module (Arnone and Davidson,
- 1997), such as well-characterized protein complexes (e.g. ribosomes) (Lacquaniti *et al.*, 2013).
- Omics data are an ideal start for detecting those subcellular organizational patterns (Barabási and Oltvai, 2004). Using traditional mathematical methods, it is easy to detect groups of genes that
- are tightly connected with each other. Biological modules are usually enriched among those
- network clusters (Zhu *et al.*, 2007). Raff argued the increased inter-connection between modules
- leads to the conservation of the phylotypic stage. Here, we use our gene modules to represent the
- organizational groups and want to check their inter-connections. Since these gene modules are
- measured by correlating their expression profiles during embryogenesis (Gerstein *et al.*, 2014),
- 146 the 'inter-connection' between modules can be measured by the co-expression degree; e.g., correlation between the eigengenes of two modules. $\bigcap_{n=1}^{\infty} \bigcap_{n=1}^{\infty} \bigcap_{n=1}^{\infty} \bigcap_{n=1}^{\infty} \bigcap_{n=1}^{\infty} \bigcap_{n=1}^{\$
- correlation between the eigengenes of two modules.

 We calculated the correlation coefficient between pairs of module eigengenes at different time 149 periods of embryogenesis (see Methods). For example, two conserved gene modules (c2 and c4) 150 are most correlated around 360 minutes after fertilization (the $12th$ time window), which coincide with the phylotypic stage (Levin *et al.*, 2012) (Figure S1a). The c2 is enriched for the GO term 152 'transmembrane transporter activity' ($p = 10^{-16}$) while c4 is enriched for the term 'ribosome' ($p <$ 2.2x10⁻¹⁶). Although these two gene modules usually play a role independently, they seem to be under the same regulatory control during the worm phylotypic stage. This unusual increased correlation may lead to the hourglass pattern of development (Raff, 1996). On the other hand, a 156 pair of worm-specific gene modules (w10 and w13) show relatively low correlation during the phylotypic stage (Figure S1b), suggesting that species-specific gene modules may be under different regulatory controls at this stage. We further checked all pairwise correlations between

- conserved gene modules and worm-specific modules, respectively.
- As shown in Figure 3a and Figure 4, the correlations between 29 conserved gene modules
- achieve their highest values at the phylotypic stage, which means Raff's proposed mechanism for
- the hourglass model can be observed using gene expression networks. However, the 108 worm-
- specific gene modules do not have an increased inter-connection during mid-embryogenesis
- (Figure 3b). Levin et al. showed that the distance between gene expression patterns between different worm species follows an hourglass-like pattern, where the most conserved expression
- patterns appeared during mid-embryogenesis (Levin *et al.*). Our analysis demonstrated that mid-
- embryogenesis also has the most inter-connections between different modules that are conserved
- between fly and worm. During the middle (phylotypic) stage, the conserved modules start to
- form due to the high modularity, but because they have to work together for conserved
- developmental functions, they retain high inter-connectivity.

2.3 Conserved gene modules showed highest preservation score at the mid-embryonic stage

- The classical definition of a biological module is usually an embryonic structure that has a clear
- morphological organization (Bolker, 2000). The early embryonic stage does not have this kind of
- individualization (Sulston *et al.*, 1983). It is argued that early embryogenesis only contains a
- simple molecular network that lacks clear modularity (Irie and Kuratani, 2014). While it is
- difficult to test this idea using empirical data, we can evaluate the modularity of our gene
- modules using WGCNA in different time periods of embryogenesis (see Methods). The Z-score
- was used to represent the how well a gene module is preserved in a subset of our data
- 179 (Langfelder *et al.*, 2011). A Z-score higher than 4 generally represents a module is preserved,

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- whereas Z-scores below 2 indicate that no module can be detected (Langfelder *et al.*, 2011).
- It is interesting to know whether the gene modules can be reproducibly detected at a specific
- stage of embryogenesis. Again, using a continuous time window of 6 time points (i.e., 3 hours),
- 183 we calculated the preservation score (i.e. Z-score) for all of the gene modules. For example, c1 (a
- 184 conserved gene module) shows the highest expression abundance at the ϕ nd of embryogenesis 185 (Figure S2a), however, its preservation score is largest in the middle (Figure S2b). The module
- 186 c1 is enriched with the GO terms on cell-cell signaling ($p = 1.16 \times 10^{-15}$). Since its preservation
- 187 score is the highest near the phylotypic stage, the associated biological functions are most
- 188 activated during this time period. On the other hand, a worm-specific gene module (w10), which
- 189 is enriched with the GO term 'sensory perception of chemical stimulus' ($p = 1x10^{-15}$) shows
- 190 relatively low preservation score during the phylotypic stage, although its expression abundance
- 191 is relatively high during this time period (Figure S3). Based on the observation of those two gene
- 192 modules, we speculate that the activation of evolutionarily conserved gene modules may be
193 associated with the phylotypic stage (Raff, 1996).
- associated with the phylotypic stage (Raff, 1996).
- 194 We further checked the preservation of all gene modules containing at least 50 genes during $N_{\text{C}}^{\text{tot}}$ genes
- 195 different time periods of embryogenesis. As expected, the conserved gene modules show the
- 196 highest preservation score at mid-embryogenesis which follows an hourglass-like pattern
- (Figure 5a). The worm-specific gene modules do not have this characteristic (Figure 5b),
- indicating that the hourglass pattern of embryo development is driven by evolutionarily
- conserved modules only.

 In addition, we identified a group of TFs co-regulating the conserved modules and potentially drive the hourglass patterns. Because the genes in a same co-expression module are very likely co-regulated by similar gene regulatory programs, the high degree of preservation of multiple conserved gene co-expression modules at the middle embryonic stages imply that they are co- regulated specifically at mid-embryogenesis. As such, we identified potential transcription 205 factors (TFs) regulating conserved modules from ChIP-seq data, i.e., they are found to have 206 significantly a variety of target genes in conserved modules (See methods). For example, we found that five TFs (C04F5.9, CEH-90, DPL-1, F23B12.7 and MES-2), critical factors for

- embryonic development (Howe, et al., 2016), co-regulate four conserved modules (c4, c7, c15
- and c17). The DPL-1 is essential for the embryonic asymmetry (i.e. body plan). Three targeted
- gene modules of those TFs are enriched for 'embryo development' (p-value = 1.39*10-40 for
- C4, 1.27*10-3 for C7, 9.26*10-5 for C15). As shown in Figure 6, these TFs are particularly
- upregulated at the beginning of the phylotypic stage (Fig. 6a), suggesting that they play potential
- regulatory roles driving the co-expression across these conserved modules at the phylotypic stage
- (Fig. 6b).

3. Conclusion

- Our previous work identified gene modules during worm embryogenesis. Some modules are
- conserved between worm and fly, while others are species-specific. Using those gene modules as
- an approximation to developmental modules, we tested the proposed hypothetical mechanism for
- the hourglass model (Raff, 1996; Irie and Kuratani, 2014). Our results support the notion that the
- conservation of the phylogenetic stage can be observed at the level of molecular networks.
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- Embryo development is a cell differentiation process. The conserved gene modules are not yet
- formed at early stages based on our calculation of preservation (Figure 5). In later stages, the
- cells become differentiated and tissues/organs are relatively separated (these different
- tissues/organs are called 'modules' by developmental biologists). The expression data we
- measured is taken from a combination of all the cells. For example, if a gene is highly expressed
- in muscle but lowly expressed in skin, our data (based on the whole embryo) cannot catch such signals.
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- In this paper, we studied the developmental gene co-expression networks that connect potentially co-regulated genes. Next generation sequencing data on gene regulation, including ChIP-seq and CLIP-seq, however, have directly provided the regulatory binding relationships between the gene regulatory factors and their target genes (Boyle *et al.*, 2014). In addition, the developmental gene regulatory circuits were systematically discovered in simple organisms (Davidson and Erwin, 235 2006). In the future, one can thus construct the developmental gene regulatory networks and try
- to discover the regulatory circuits that potentially drive the developmental hourglass patterns.
-

4. Methods

4.1 Worm and fly gene expression data in embryonic development

- The time-series gene expression data from worm and fly in embryonic development were
- generated by the modENCODE consortium using RNA-Seq (Gerstein *et al.*, 2014). The
- expression values from worm and fly were measured across 24 and 12 embyornic developmental
- stages, respectively. The total 10,031 worm-fly orthologous pairs (including one-to-one, one-to-
- many, many-to-many relationships from 5,769 unique worm orthologous genes and from 5,507
- unique fly orthologous genes) between worm and fly were downloaded from the modENCODE
- website as they were compiled by the consortium (Gerstein *et al.*, 2014). In total, there are 20,377 worm genes and 13,623 fly genes. For each species, expression values in different
- developmental stages or cell lines were log-transformed and standardized and Spearman
- correlation coefficients were calculated for each pair of genes.
-

4.2 Conserved and species-specific gene co-expression modules

- We constructed gene co-expression networks for worm and fly separately (nodes are genes, and edges connect genes if their spearman correlation coefficients exceed 0.9), and then applied
- OrthoClust to simultaneously cluster two networks to obtain the conserved and species-specific
- gene co-expression modules (Yan *et al.*, 2014). In total, we obtained 29 conserved gene modules
- that consist of both worm and fly genes, 108 worm-specific gene modules and 52 fly-specific
- gene modules.
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4.3 Eigengenes of modules

- The eigengene of a gene module is represented by the first right singular vector of singular value
- decomposition (SVD) of gene expression data matrix (genes by times) in this gene module, and
- is calculated using the svd() function in R. The expression value (at time *t*) of the eigengene in
- 264 the i^{th} module is denoted as $m_i(t)$.

4.4 Selection of sliding windows

- 266 Each sliding window has six adjacent time points in worm embryo development. The k^{th} sliding
- 267 window starts at the k^{th} time point, and ends at the $(k+5)^{\text{th}}$ time point in worm embryo
- 268 development.

269 **4.5 Correlations of modules**

- The correlation between gene modules *i* and *j* for the k^{th} sliding window, consisting of time
- 271 points t_{k1} , t_{k2} , ..., t_{k6} is calculated as $C_k(i,j)$ = Spearman correlation of two vectors: $(m_i(t_{k1}))$
- 272 $m_i(t_{k2}),..., m_i(t_{k6})$ and $(m_i(t_{k1}), m_i(t_{k2}),..., m_i(t_{k6})).$

273 **4.6 Distances of correlation matrices**

- 274 The correlation matrix across the conserved gene modules at the k^{th} sliding window is denoted as
- 275 C_k . The element in the *i*th row and *j*th column is denoted by $C_k(i,j)$. The distance between
- correlation matrices at two sliding windows, *k* and *k'*, is equal to $|| C_k C_k||_{L^2}$, i.e., the L₂ norm
- 277 of $C_k C_k$ ['].

278 **4.7 Calculating preservation score of modules using WGCNA**

- 279 The preservation score of gene module was calculated using the modulePreservation package
- 280 within WGCNA (Langfelder *et al.*, 2011). For genes in a group, the average density and average
- 281 connectivity were first computed. Using 100 randomized groups, the background distribution of
- 282 those parameters was generated (i.e., a randomized group contains the same number of genes,
- 283 which are randomly selected from the worm genome). Based on the background distribution α
- 284 Z-score can be determined. As recommended by the original authors, a module with a Z-score
- 285 exceeding 4 means it can be reproducibly detected among different datasets (Langfelder *et al.*,
- 286 2011). Therefore we used this Z-score as preservation score in our paper.

287 **4.8 Identification of transcription factors (TFs) regulating gene co-expression modules**

- 288 The potential target genes of transcription factors (TFs) are found if TFs have high binding
- 289 signals at target gene promoter regions from TFs ChIP-seq experiments. The TFs regulating a
- 290 gene co-expression module are the ones that have significantly numbers of target genes in the
- 291 module (hypergeometric test p<0.05).
- 292 **Figures**

293

 Figure 1. The history of developmental hourglass model. The concept that the early stage of different animals share similar characters was proposed in the early 19th centuries. In the 1990s, the developmental hourglass model was supported by modern technics. One hypothesis from Rudolf A. Raff attributed it to the complex molecular interactions in the middle stage of embryogenesis cells (Raff, 2007). Recently, a series of work, such as (Kalinka *et al.*, 2010), discovered that gene expression profiles of different animals are the most conserved at the phylotypic stage, supporting the hourglass model at the molecular level. In this work, we compared the gene co-expression modules for embryonic development between worm and fly,

302 further supporting the hourglass model at the level of gene network.

303

304 **Figure 2. Expression profiles of selected gene modules.** The w10 and w101 are two worm-305 specific gene modules, whereas c4 and c6 are two gene modules that are conserved between 306 worm and fly. The representative enriched biological processes for each gene module are shown 307 in the legend (see Supplemental Table 1 for detail). The eigengene of each gene module is used 308 to represent the mRNA abundance (Y-axis). The X-axis represents the sampling time points 309 (hours) of the RNAseq data.

310

312 **Figure 3. Correlation of expression profiles (eigengene) of gene modules during different**

313 **time periods.** All pairwise Spearman correlation coefficients among gene modules are shown in

314 each time window of 3 hours during the worm embryogenesis for a) conserved gene modules

315 and b) worm-specific gene modules. The red-colored boxes indicates the phylotypic stage. The

316 Y-axis is the spearman correlation relationship.

317

319 Figure 4. Similarity of expression profiles between different conserved gene modules in 320 **each time window of 3 hours during worm embryogenesis.** As shown in the scale bar (top 321 left), blue represents a positive correlation, yellow represents negative correlation, and green 322 represents weak (i.e., close to 0) correlation. The time windows covering phylotypic stages are 319 hours during Paris et a <mark>di</mark>
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323 highlighted in brown boxes.

Figure 5. Preservation score among different time periods. Z-scores from

'modulePreservation' of WGCNA were used to evaluate preservation of gene modules. A Z-

score exceeding 4 indicates the gene module can be detected. The X-axis represents time-

windows (of 3 hours) during worm embryogenesis. a) conserved gene modules; b) worm-

specific gene modules. Only modules with at least 50 genes are shown here.

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 Figure 6. A case study of potential regulatory factors of conserved modules. Based on chip- seq data, the potential regulatory factors of each module were identified. Here, 4 conserved modules were significantly co-regulated by 5 TFs. a) The expression pattern of TFs during embryogenesis, which was calculated as log2(fold change) between consecutive time points; b) The correlation of expression profiles (i.e. eigengene) in each time window for 4 conserved modules.

Supplemental materials

 Figure S1 a) Correlation between a pair of conserved gene modules (c2 and c4) in different time periods; b) correlation between a pair of worm-specific gene modules (w10 and w13) in different time periods. The X-axis is the time window of 3 hours (including 6 sampling time points). The Y-axis is the Pearson correlation coefficient between the eigengene of a pair of gene modules.

 Figure S2. a) The expression profile of c1 during worm embryogenesis. The X-axis represents the 23 sampling time points. The Y-axis represents the eigengene of the gene module. b) The preservation score of c1 in different time windows of worm embryogenesis. The X-axis is the time windows of 6 sampling points. The Y-axis is the preservation score of the gene module in each time window.

 Figure S3. a) The expression profile of w10 during worm embryogenesis. The X-axis represents the 23 sampling time points. The Y-axis represents the eigengene of the gene module. b) The

preservation of w10 in different time window of worm embryogenesis. The X-axis represents the

time windows of 6 sampling points. The Y-axis represents the preservation score of the gene

module in each time window.

Table S1. The gene list and GO enrichment of each gene module.

- We used Fisher's exact test followed by Benjamini–Hochberg correction to identify the enriched GO terms (FDR < 0.05). Only the most enriched terms are shown.
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