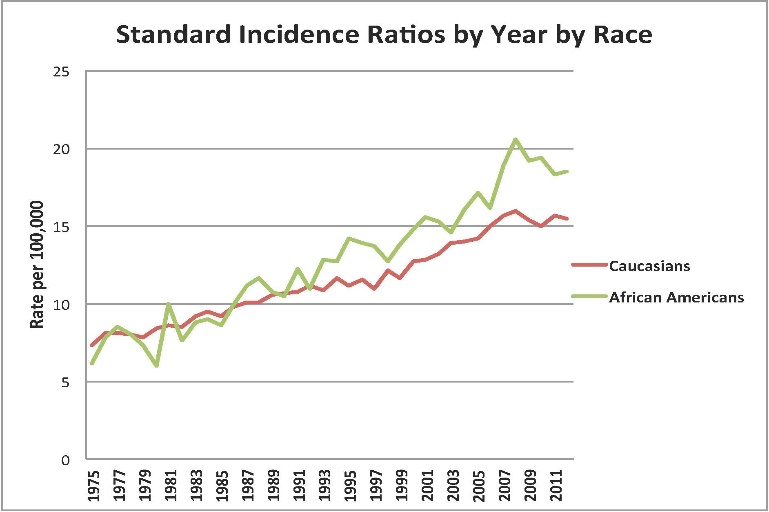
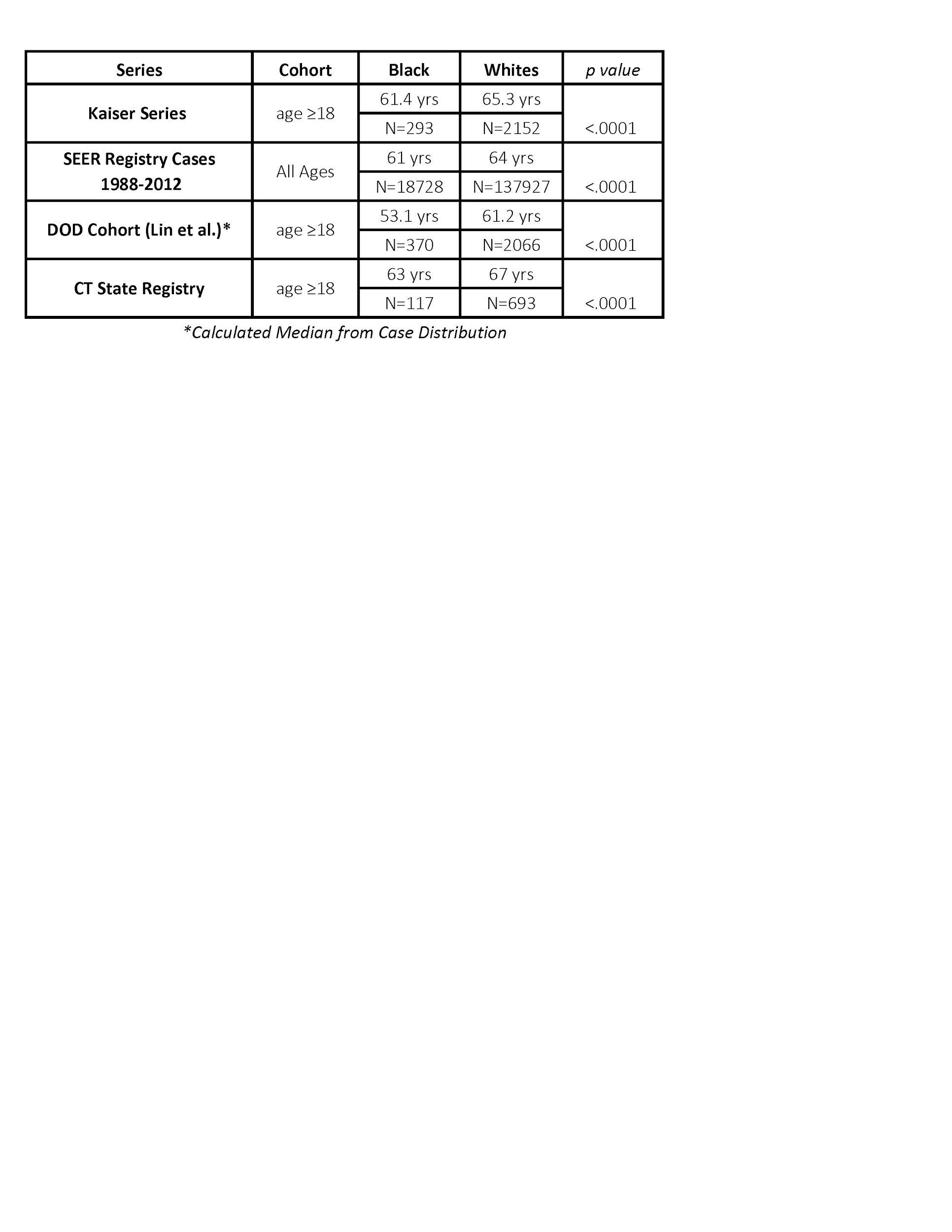
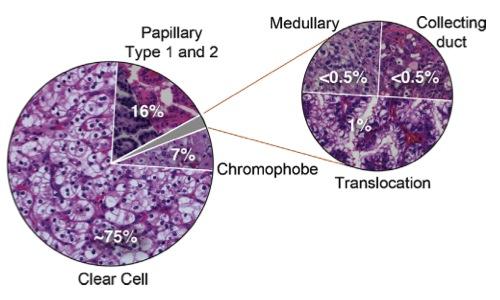
**SIGNIFICANCE**

Renal cell carcinoma (RCC) makes up over 90% of kidney cancers and is the most lethal genitourinary malignancy \cite{25559415}. The incidence of RCC has tripled in recent years in all races; however, the most dramatic increase is seen in African-Americans relative to other populations \cite{25559415, 10235157}. According to the NCI Surveillance and Epidemiology End Results (SEER) Cancer Program [[http://seer.cancer.gov/csr/1975\_2013/]], the age-adjusted incidence of kidney cancers compared to Caucasians is 30% greater (18.5 and 15.5 cases per 100,000 persons, respectively) (Figure 1). To date, research has not fully explained increased susceptibility to RCC among African-Americans \cite{23147245}. Known RCC risk factors include male gender, increased age, hypertension, obesity, chronic kidney disease, and smoking history \cite{17704406, 18343443, 18343443, 14665346}. Some of these risk factors may be more commonly observed in African Americans. However, differences in these risk factors are unlikely to fully explain observed disparity. Known risk factors are likely contributory in <50% of RCC cases \cite{21486465, 9737554}, and measured associations between known risk factors and RCC are modest. Additional genetic risk factors have been postulated to play an important role in RCC racial disparity \cite{21741761,20448658,21486465, 18343443}.[[spec. aims]]

**Figure 1: Standardized incidence ratios of cancer of the kidney and renal pelvis for Caucasians (Green) and African Americans (Red). Data from the Surveillance Epidemiology and End Result program from 1975-2011, [[http://seer.cancer.gov/csr/1975\_2013/]])**

In addition to higher incidence of RCC among African-Americans, several other racial disparities have been described, that suggest a genetic contribution to racial differences. Age of onset is a major criterion for genetic testing, as many hereditary cancers develop at a younger age than observed in the generation population \cite{24378414}. Some studies have demonstrated that African-Americans have a younger median age of RCC presentation, between 3-8 years earlier than Caucasians (Table 1). Although a small proportion of RCC cases occur in hereditary form, RCC shows high heritability. Case-control studies and meta-analysis prove having first-class relatives with RCC increases disease risk by 2-to-5 fold, with a possibly stronger effect in African Americans \cite{19240244, 20442711}. While over a dozen known RCC syndromes exist, inherited risk and early disease onset may be more frequently related to a complex inheritance pattern. Specific risk alleles may contribute to the racial disparity in kidney cancer perhaps, predisposing to an earlier age of onset.

**Table 1: Age distribution of kidney cancer by race from a prior series \cite{27228559} and ongoing work from the Yale Kidney Cancer group**

An additional disparity in RCC is the large racial difference in distribution of histologic subtypes. RCC is a group of cancers arising from the nephron with the two most common subtypes, clear cell RCC (ccRCC) and papillary RCC (pRCC) accounting for 85% of all cases. Although pRCC is reported to account for 10-15% of renal tumors (Figure 2), several published and ongoing studies demonstrate this subtype is three-fold more common in African-Americans, accounting for 35-40% of cases (Table 2) \cite{23179659, 23150424, 21385477}. The reason for the increased pRCC frequency in African-Americans is currently unknown. Unfortunately, metastatic pRCC has an abysmal prognosis with limited therapeutic options.

**Figure 2: Histologic distribution of kidney cancer ([60])**

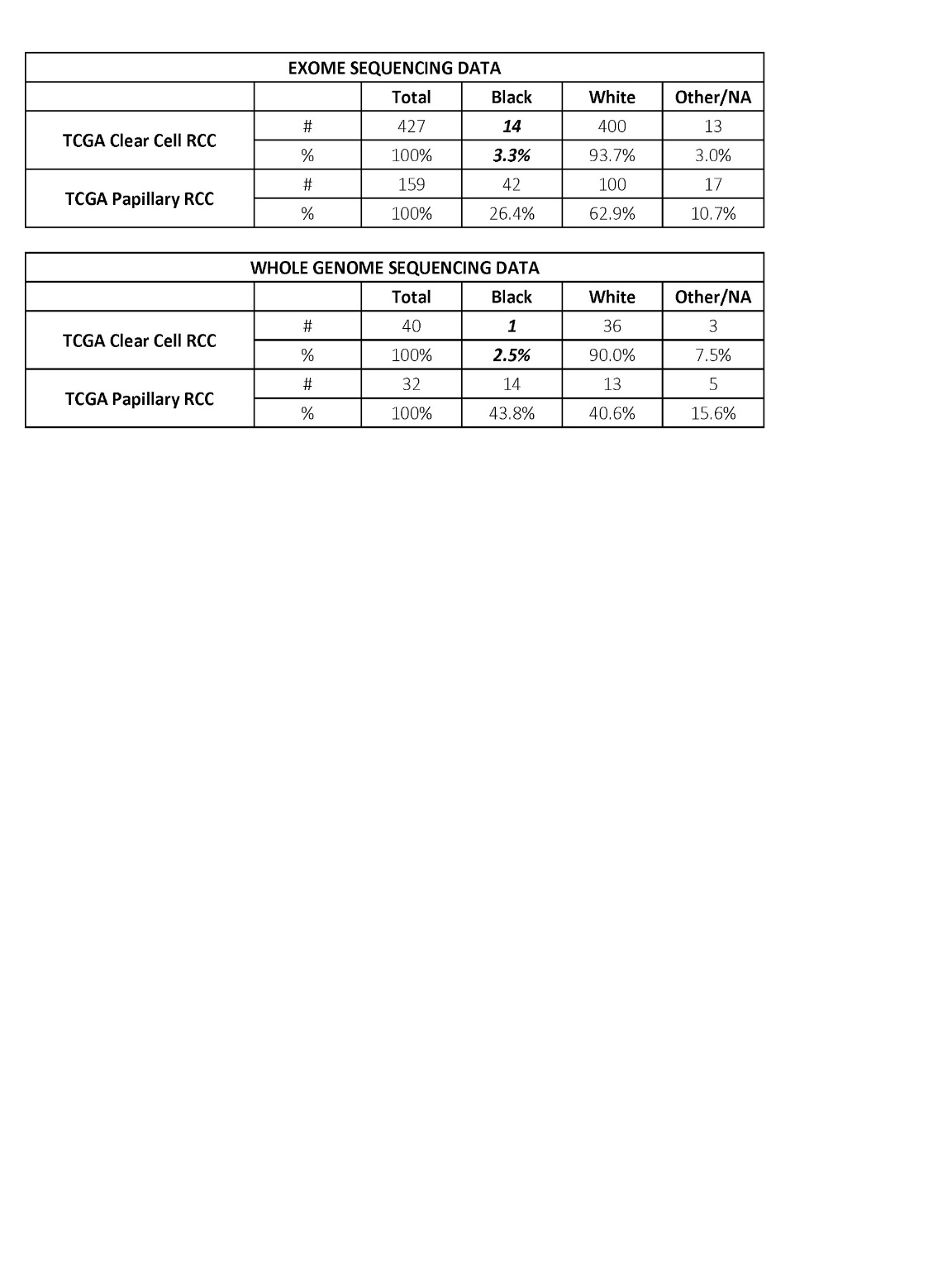
Another racial disparity in kidney cancer is that survival is significantly worse among African-Americans. One explanation is that African-Americans less frequently receive standard treatments in the United States. However even controlling for treatment and tumor characteristics including, stage, grade, and subtype, survival is still significantly worse \cite{23147245, 19070518, 22651971}. Similar to prostate cancer where African-Americans patients have a more aggressive disease biology \cite{15570072}, it has been proposed that differences in molecular biology are involved racial disparities in kidney cancer \cite{23147245, 24403240, 21633203, 21486465}. A recent report suggests that *VHL* loss and resultant HIF pathway dysregulation, are less frequently observed in African-Americans with ccRCC.

While significant racial differences exist in incidence, mortality, age of onset, subtype distribution, and survival of RCC, no comprehensive study has specifically focused on deciphering genetic mechanisms associated with racial disparity while controlling for specific comorbidities known to influence kidney cancer development. While the Cancer Genome Atlas (TCGA) and other sequencing efforts have analyzed hundreds of kidney cancer specimens, the majority of tumors are from Caucasian patients. Without tumors from a diverse cohort of subjects it is difficult to explore the reasons for these racial disparities. It is not known whether clinical differences are based on varying genomic backgrounds or specific driver alterations. We set out to identify possible coding and non-coding alterations explaining the genomic basis of kidney cancer racial disparity.

**B. INNOVATION**

In this work, we are interested in identifying key genomic alterations, which contribute to greater incidence, earlier age of onset, and different histologic distribution of kidney cancer in African-Americans compared to Caucasians. This study will be the first comprehensive assessment of somatic and germline genomic alterations in kidney cancer by race. We expand upon prior work from TCGA, by including an additional cohort of African-Americans with ccRCC matched by kidney cancer risk factors. By including these samples and performing secondary data analysis of the existing ccRCC and pRCC datasets, we can compare differences in risk variants, driver mutations, and driver copy number alterations by race. Using our novel bioinformatic tools to analyze whole genome data and power our study by mining bioinformatic databases, we will define and then validate driver alterations important in kidney cancer risk and progression. The non-coding analysis here is particularly important given that only a small amount of "genic" landscape (e.g. MET, VHL) is known to be strongly associated with kidney cancer drivers. This study will be the initial step in addressing the biological/genetic causes of cancer health disparities in kidney cancer.

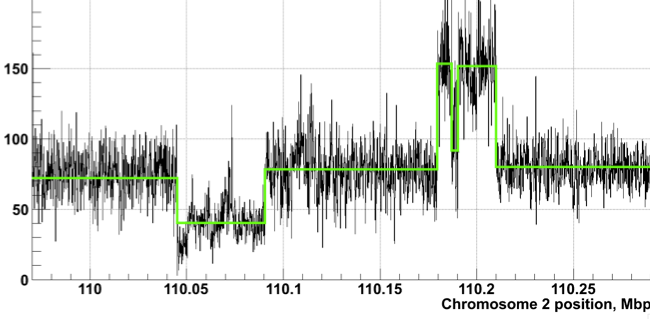
**C. APPROACH**

**Aim 1: To perform whole genome sequencing (WGS) of African-Americans with ccRCC to complete a missing aspect of the cancer genome atlas (TCGA).**

**C-1-a Rationale:** In recent years various TCGA efforts have furthered our understanding of the genomic basis of the various forms of kidney cancer. These studies have led to the understanding that some of the diversity within kidney cancer results from different cells of origin giving rise to distinct types of cancer within the same organ. Additionally, differences in somatic alterations (driver mutations and copy number variations) are important in determining a cancer’s molecular profile. In the TCGA, cases were submitted from various high volume tertiary centers to the Bio-specimen Core Resource (BCR) for accessioning and specimen processing. However, specimens were not submitted in a coordinated fashion to ensure a study population of similar profile to that encountered nationally. Not surprising, there was clearly a limited number of African-Americans with clear-cell kidney cancer included in the TCGA analysis. Despite African-Americans accounting for approximately 1 in 7 cases of ccRCC, only a cursory analysis was performed in this population, including 14/427 (3.3%) samples that underwent whole exome sequencing (Table 2) and 1/40 (2.5%) (Table 2) that underwent whole genome sequencing. A failure to include a larger population of African-Americans with clear cell RCC limits our ability to explore genomic bases for racial disparities. With a higher incidence of pRCC in African-Americans, the papillary kidney cancer TCGA cohort was able to include a larger number of African-Americans. However, despite available data, there has not been a thorough analysis of somatic driver alterations or germline risk variants more prevalent in African-American kidney cancer. We propose to complete TCGA analysis of the top two subtypes of kidney cancer, papillary and clear cell, by analyzing an additional cohort of African-Americans with ccRCC. By performing whole genome sequencing on this additional cohort of samples, we will have an adequate number of cases to allow balanced comparisons between African-American and Caucasian clear cell and papillary kidney cancers.

**Table 2: Racial and histologic distribution of available whole exome and whole genome data available from TCGA datasets**

**C-1-b Sample acquisition, comorbidity/demographics matching, and DNA extraction:** All patients undergoing scheduled kidney cancer surgery at Yale New Haven Hospital are offered enrollment into an IRB-approved Genitourinary Biospecimen repository (P.I. Shuch, HIC# 0805003787). Within 30 minutes of removal, fresh tumor tissue is snap frozen in liquid nitrogen by the pathology team. Additionally whole blood is procured to serve as a genomic control. In the past 2 years, over 300 subjects with kidney cancer have been prospectively enrolled. All fresh bio-specimens are stored at -80˚C and are available for immediate analysis. For the purpose of completion of the TCGA dataset, we will utilize a 15 African-American subjects with ccRCC from 2013-2016. TCGA kidney cancer projects have captured patient age, sex, race, smoking history, and has limited information from a secondary analysis on obesity status. Self-reported racial identity may be imprecise. To account for the influence of comorbidities and demographics, we intend to prospectively genotype candidate individuals for WGS to make sure they follow the same racial distribution as the TCGA. To determine the ideal candidates for WGS we will employ both phylogenetic and data mining clustering methods (See section C-4-d).

****

**C-1-c WGS and variant calling**: Sequencing of the normal and tumor sample will performed using Illumina’s Hiseq 2000 technology. In brief, DNA fragments from each sample will be hybridized using HiSeq Paired-End cluster Kits and will be further amplified using the Illumina cBOT. Paired–end libraries will be generated by utilizing HiSeq (2x101) cycle and imaging will be performed by TruSeq kits.

We have extensive experience in large-scale variant calling and interpretation through active membership in the 1000 Genomes Consortium, especially in the analysis working group and the structural variant (SV) and functional interpretation (FIG) subgroups of the consortium where the majority of the variant calling tools were developed, deployed and interpreted \cite{21293372,20981092,2023128226}. We have already [[set up]] a prototype pipeline for calling germline and somatic variants. We will use the Genome Analysis Toolkit (GATK) \cite{21478889} to call germline SNPs and INDELS. We use parameters consistent with those used in TCGA \cite{26536169}. We will map raw FASTQ files of each sample to the hg19 reference genome using bwa-mem algorithm with default parameters to generate BAM files. These bam files will be further processed to sort and mark duplicates reads before calling variants.

**Figure 3: Read depth based identification of copy number variation by CNVnator.**

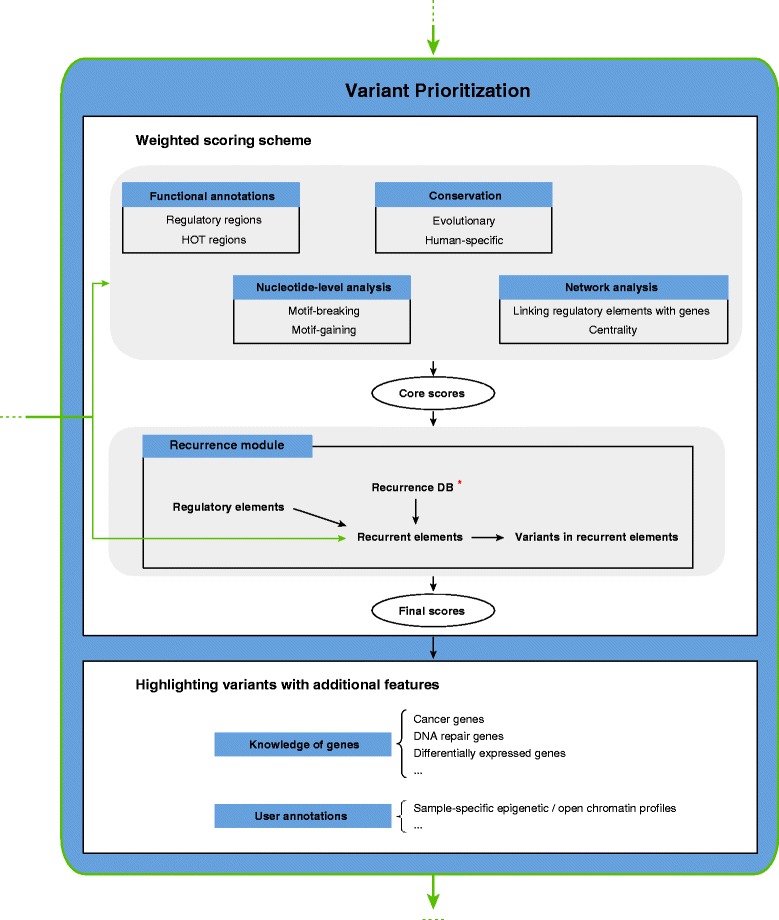
We will follow GATK best practices \cite{21478889} to generate initial raw variant call sets using GATK haplotype caller. We will filter these initial call sets by running GATK variant recalibration tool. The filtering strategy based on variant recalibration method uses a continuous adaptive error model. The adaptive error model takes into account the relationship between annotation of each variant (Quality score, mapping quality, strandedness and allele information) and the probability of it being a true positive instead of a sequencing artifact. Furthermore, we will exclude any filtered variant, which falls in a low mappability region of the genome. In addition, we will utilize MuTect \cite{23396013} and Strelka \cite{22581179} to call somatic SNVs and INDELs, respectively.

Structural variations (SVs) are important contributors to human polymorphism, have great functional impact and are often implicated in various diseases including cancer. We have developed a number of SV calling algorithms, including BreakSeq \cite{20037582}, which compares raw reads with a breakpoint library (junction mapping), CNVnator, which measures read depth \cite{21324876}, AGE, which refines local alignment \cite{21233167}, and PEMer, which uses paired ends \cite{19236709}. We have also developed array-based approaches \cite{19037015} and a sequencing-based Bayesian model \cite{21034510}. Furthermore, we have intensively studied the distinct features of SVs originated from different mechanisms. This indicates specific creation processes and potentially divergent functional impacts \cite{24092746, 26028266}. We will perform extensive molecular characterization of germline and somatic SVs in these cancer samples. We will run CNVnator to identify germline and somatic copy number variations in each cancer samples. We will apply CREST \cite{21666668} to generate germline and somatic large structural variations including large deletions, insertion, inversion, intra and inter-chromosomal translocations. Furthermore, we will run our BreakSeq tool to decipher the underlying mechanism of somatic and germline SV formation.

We will reprocess all the TCGA data using our own calling pipeline, along with our new sequenced samples to mitigate any potential processing or batch effects.

**C-1-d Deliverables:** In this aim, we will generate an extensive catalogue of germline and somatic variants including SNPs, INDELs and large SVs for African-American ccRCC cases. This will be done consistent with methodology already used in TCGA. This catalogue can be used conjunction with TCGA kidney cancer genomic variant datasets, to serve as an excellent comparison for the identification of genomic aberrations, associated with racial disparity observed in the emergence of kidney cancer. We plan to make our sequencing data available via dbGAP (see data dissemination plan).

**Aim 2: To assemble a set of coding and non-coding regions associated with kidney cancer -- both somatic and germline alterations.**



**C-2-a Rationale**: In this study, we aim to discover genetic regions associated with RCC. Due to limited numbers of sequenced samples, it is not feasible to test every single region in the genome. Furthermore, it is important to limit our search space to achieve statistical power. Therefore, we will first assemble a catalog of mutations that are relevant to renal cell carcinoma, and prioritize regions with greatest impact. In this way, we will incorporate our best prior knowledge of RCC and cancer genomics into this study.

**C-2-b** **Relevant Preliminary Results**

C-2-b-1 We have developed ways of prioritizing high-functional impact variants in coding regions

We have developed a number of software tools for evaluating the impacts of non-synonymous SNVs within coding regions of the genome. Together, all of these tools (which utilize VAT\cite{22743228}, our software for variant annotation) constitute the complementary components of what will integrated into a unified integrated pipeline for evaluating variants in coding regions throughout the genome (see section C-2-c-3). These tools take as input X-ray crystallographic models of protein structures downloaded form the Protein Data Bank (PDB). One tool within our newly-software suite (termed STRESS) employs models of conformational change to predict allosteric residues by finding essential surface pockets and information-flow bottlenecks\cite{27066750}. This tools is computationally fast, thereby enabling its implementation across the entire repertoire of coding elements for which X-ray are available. STRESS captures conserved regions, and has also been used to rationalize known disease-associated SNVs. In our second approach within this suite, localized structural frustration\cite{25225856} is used to identify SNVs for which local perturbations may severely impact protein functionality without strongly disrupting global stability\cite{PMID-not-yet-available}. We have previously leveraged this method to elucidate the differential impacts of somatic SNVs associated with oncogenes and tumor suppressor genes (TSGs). As part of a third component of this suite, we are now submitting work, which describes our newly-developed ALoFT software, that integrates multiple features in order to predict and quantify the impact of potential LOF variants in protein-coding genes.

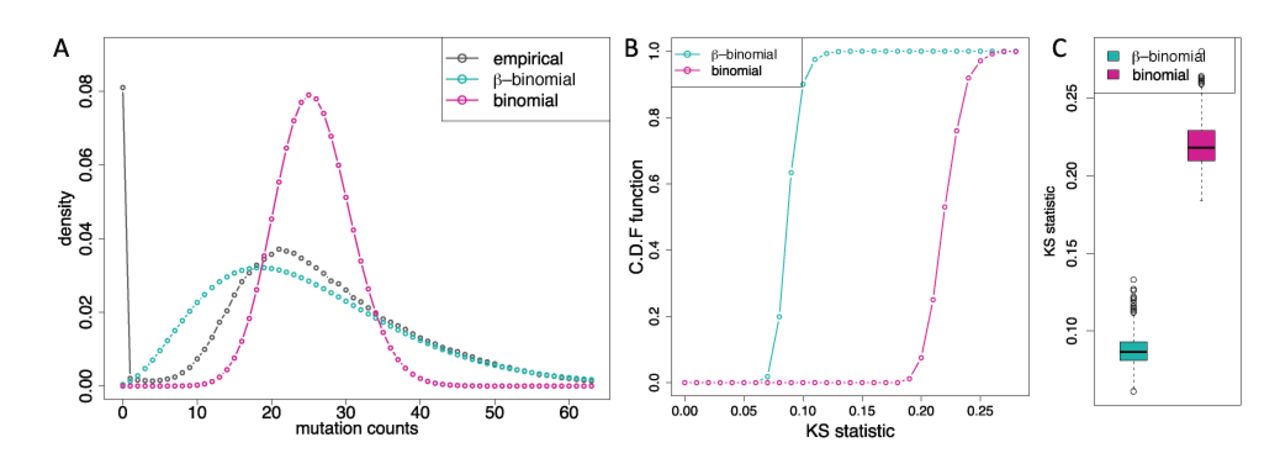
**Figure 4: Workflow for Funseq based variant prioritization**

[[DC2MG: Given that it is not yet published, should we include JC’s intensification tools within preliminary results, or should all of that go into proposed work?]][[%%%%NO]]

C-2-b-2 We have developed ways of prioritizing high-functional impact variants in non-coding regions

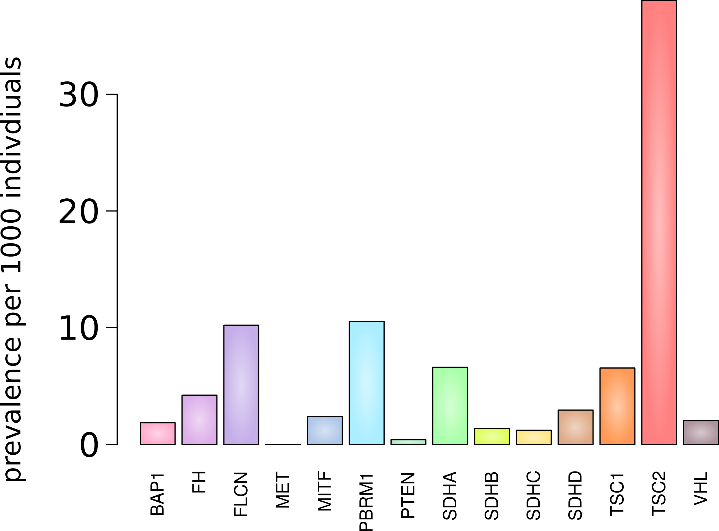
We have completed extensive analysis of patterns of variation in non-coding regions, and their coding targets \cite{21596777, 22950945, 22955619}. We used metrics such as diversity, and fraction of rare variants, to characterize selection on various classes and subclasses of functional annotations \cite{21596777, 26781813}. In addition, we have also defined variants that are disruptive to a TF-binding motif in a regulatory region \cite{22955616}. Further studies showed relationships between selection and protein network topology (for instance, quantifying selection in hubs relative to proteins on the network periphery) \cite{18077332, 23505346}. In recent studies \cite{24092746}, we have integrated and extended these methods to develop a prioritization pipeline called FunSeq \cite{25273974}. It identifies sensitive and ultra-sensitive regions (i.e., those annotations under strong selective pressure, as determined using genomes from many individuals from diverse populations). It identifies deleterious variants in many non-coding functional elements, including TF binding sites, enhancer elements, and regions of open chromatin corresponding to DNase I hypersensitive sites. It also detects their specific disruptiveness to TF binding sites, annotating both loss-of (LOF) and gain-of function (GOF) events. [[[%%% cut]]]Integrating large-scale data from various resources (including ENCODE and The 1000 Genomes Project) with cancer genomics data, FunSeq is able to prioritize the known TERT promoter driver mutations, and it scores somatic recurrent mutations higher than those that are non-recurrent. Using FunSeq, we identified ~100 non-coding candidate drivers in ~90 WGS medulloblastoma, breast, and prostate cancer samples \cite{24092746}. Drawing on this experience, we are currently co-leading the International Cancer Genomics Consortium (ICGC) pan-cancer analysis-working group (PCAWG)-2 (analysis of mutations in regulatory regions) group.

We have also used allelic variability to prioritize regions of the genome. That is, we prioritize regions that differ in functional genomic response, for example, allele-specific expression and binding between the maternal and paternal alleles. Our variant analysis work includes AlleleSeq \cite{21811232}, a computational pipeline to identify allele-specific events, and AlleleDB, our database connecting single nucleotide variants with allele-specific binding and expression [[ $$$ add PMID ]]

C-2-b-2 We have developed tools for somatic and germline burden tests: We have worked on statistical methods for analysis of non-coding regulatory regions. LARVA (Large-scale Analysis of Recurrent Variants in noncoding Annotations) identifies significant mutation enrichments in noncoding elements, by comparing observed mutation counts with expected counts under a whole genome background mutation model \cite{26304545}. LARVA includes corrections for biases in mutation rate owing to DNA replication timing. LARVA can also be targeted exclusively to coding regions to prioritize genes. We used this tool in a pan-cancer analysis of 760 cancer whole genomes’ variants spanning a number of cancer data portals and some published datasets. Our analyses demonstrated that LARVA can recapitulate previously established coding and noncoding cancer drivers, including the TERT and TP53 promoters \cite{26304545}. Furthermore, we have developed MOAT (Mutations Overburdening Annotations Tool), an alternative, empirical mutation burden approach that evaluates mutation enrichments based upon permutations of the input data (submitted). Both annotation-based and variant-based permutation is supported.

**Figure 5: Comparison of β-binomial distribution fit (turquoise) and binomial distribution fit (pink) to observed cancer somatic mutation counts. The β-binomial distribution betters models the empirical distirbution’s (black) overdispersion.**

C-2-b-3 We have identified regions associated with kidney cancer through our involvement in the papillary TCGA team: Related to Yale’s expertise in the clinical management and genetics of kidney cancer, we were invited to participate in TCGA kidney cancer projects. Our role in the TCGA KICH (chromophobe RCC) included coordination of the Cancer Cell manuscript. Our team performed the analysis of the whole genome sequencing for the TCGA KIRP (pRCC), now published in New England Journal of Medicine \cite{26536169}. In a recent work, we leverage our expertise of non-coding region in the first whole genome analysis of pRCC (under revision). Our work finds significant genomic alterations beyond the traditional, known drivers of pRCC. We hypothesize these alterations may active the known tumorigenesis pathways (for example, MET in type 1 pRCC) in a non-canonical role. We also discover genomic markers in MET and NEAT1 predict prognosis. Last, we investigate the mutation signatures and landscape in pRCC and pin down several meaningful aetiological factors explaining inter-patient genomic variation in pRCC. This provided further experience with available RCC genomic datasets. Finally, our team has participated in two ongoing pan-RCC manuscripts serving a central role assessing evaluating the cluster of clusters’ (cluster of cluster assignments -- COCA) immunologic profile from gene and miRNA expression datasets. Together with other published results on RCC \cite{25790038, 25401301, 23792563, 23797736, 25826081}, we already have the ability assembled an extensive list of impactful regions on the genome that have shown statistical significance in previous studies.



C-2-b-4 We have completed initial exploration of kidney cancer related mutations in publically available, non-cancer data:

In our recent work, we found a germline exonic SNP in MET predicts type 2 pRCC patient outcome (under revision). We then want to estimate the burden of deleterious germline genetic variations in genes associated with RCC in general population. We examined population-scale genomic databases, for high impact variants affecting known kidney cancer associated genes. The 1000 Genome and ExAC databases (TCGA excluded) were used to evaluate deleterious variants in 14 genes with known association to kidney cancer. These genes include VHL, BAP1, MET and PTEN. In both 1000 Genome and ExAC, high impact, deleterious variants in these genes were identified at a rate of 1 in 11 to 12 individuals (Figure ###############). By comparison, ClinVar significant variants with probable deleterious effect were found in 1 individual per 86 and 75 respectively. Overall, these important results set expectations that require confirmation in cancer cohorts, prioritization of identified mutations and regions, and extension to additional genomic regions.

**Figure #########: Prevalence of high impact, deleterious germline mutations in 14 genes with known association to kidney cancer in the ExAC database.**

**C-2-c Research plan**

C-2-c-1 Identify further known genomic alterations contributing to kidney cancer: First, with the regions which we have already identified to be associated with RCC, we will take a comprehensive approach to expand the set of genomic regions that may contribute to RCC. First, we will perform a literature search, identifying previous work documenting association between genomic alteration and RCC. We will gather genetic changes that include single nucleotide variation (SNV), structural variation/copy number variation (SV/CNV), and mutation process signature. We will also annotate regions that are associated with disparity between Caucasian and African-Americans in other forms of cancer, such as prostate cancer \cite{23770567, 27535533}. Prior study has shown that RCC is uniquely characterized by copy number variations (CNV) as an early and major driver event \cite{25790038}. Because repeats are triggering factors for many structural variation events, we will pay particular attention to repeats polymorphisms around known cancer associated genes, and recurrent CNV regions in RCC. Repeats may put certain RCC related genes at predisposition to CNV events.

C-2-c-2 Extend FunSeq to find connected modules of elements: FunSeq connects non-coding elements to target genes. It exploits locality of promoters, and correlates epigenetic markers on distal regulatory elements with gene expression. Here will extend this capability to develop modules of elements. Genetic modules extend high impact regions by linking them with other genomic elements according to physical interaction, epigenetic marker and expression correlation, molecule pathway/network and other evidence. Elements in the same genetic module are expected to play similar roles in ccRCC and pRCC initialization and development. In the end, we will integrate this new feature into FunSeq and use to assemble genetic modules. Genetic modules group potentially impactful elements that share similar or collaborative biological functions, increasing statistical power in our study. Last, genetic modules offer annotation to less known noncoding regions. Our results will be more biologically interpretable because these regions will be linked with genes.

In order to systematically integrate evidence from various sources (which can mostly be represented in graph form), we will use a random walk on multiple graph layers. At each step, we chose to update the state on one graph. The walk stops at certain distance from its starting point (boundary condition). Starting from the gene that we are interested with and simulating this random walk multiple times, we will finally tally the number of visits to each node and pick out “hot” nodes (that are often covered in walks). Those nodes represent the linked nodes with our starting gene. Since random walk will give an empirical distribution of number of visits to the nodes, we will be able to set up our cut-off value for linked nodes in a rigorous manner.

C-2-c-3 Run our coding variant prioritization pipeline on all somatic variants: We will integrate our previously developed tools for variant prioritization (see section C-2-b-1) into a unified software pipeline in order to investigate the impacts of SNVs throughout coding elements of the genome. In particular, we will run our pipeline on all somatic variants that fall within X-ray crystal structures within the PDB. The computational efficiency of each of these software tools will enable somatic variant evaluation within a matter of days or weeks. This workflow will also include an annotation of somatic variants in the context of conserved protein motifs (term this proposed method will be termed “Intensification”), and the entire integrated pipeline will constitute our “Interrogation” workflow. This integrated package will be made available on GitHub (with each component having a dedicated user-friendly web page), and the results of our somatic variant analysis (and in particular, the atlas of high-impact somatic SNVs) will be made available as downloadable data files for downstream analysis to other investigators.

C-2-c-4 Run our updated and extended non-coding pipeline (FunSeq & LARVA) on WGS sequences from TCGA and aim 1: We expect many changes in noncoding regions play a critical role in renal cell cancer. In order to find high impact mutations in noncoding regions, we will run our updated and extended FunSeq, LARVA, and MOAT on variation calls from TCGA whole genome sequenced samples as well as our newly sequenced samples, both cancer and normal. In a first pass run, we have already run FunSeq on 32 whole genome sequenced samples from the TCGA KIRP group and carefully cured the results. We have found several disruptive mutation hot spots in the genome. We also have found excessive somatic mutations in MET intronic and promoter regions, along with several other recurrent mutated regions that merit further investigation.

C-2-c-5 Identify critical regions burdened by **germline** mutations: Above we have focused on key regions associated with kidney cancer from a somatic perspective. In this section we will look at germline. The statistics for germline variants are little different than for somatic ones and thus we have a somewhat different approach. First, using SKAT \cite{} we will try to find regions that are significantly burdened by germline mutations in the kidney cancer cases versus healthy people. As a non-cancer control, we will use both the 1000 Genome Project (2504 individuals) for the whole genome as controls as well as the Exome Aggregation Consortium (ExAC, non-cancer) for the exome \cite{27535533}. To mitigate genetic background as a confounding factor, we will match our samples using both self-reported ethnicities and SNP markers. We will look for regions and genes that are burdened significantly in RCC, compared to the control. Given the size of the datasets, we will be well powered in our tests (comparing numbers discussed later in aims 3 and 4).

We will also mask known SNPs/regions associated with high BMI \cite{4382211}, hypertension \cite{2998712}, cigarette smoking \{20418890} and other known risk factors in previous association studies to avoid finding possible indirect effect to the disease.

**C-2-d Deliverables**: We aim to generate a list of regions in genome that have greatest potential for impact on the development of RCC. We will construct a list of genetic modules that are assembled from high impact regions. We will make these regions available from our project website and as tables in published papers (see data dissemination plan). We will also make all the software tools available and our workflow transparent on public repositories (e.g. github) to ensure reproducibility. In Aim 3, we will directly test those elements on our samples.

**Aim 3: To** **identify genomic alterations differing most between African-Americans and Caucasians with kidney cancer**

## C-3-a Rationale: We will take genomic regions and modules developed in Aim 2, and test for evidence of racial disparity. Our intention is to investigate differences in occurrence of common SNPs, and differential burdening of rare germline or somatic mutations between African-American and Caucasians. Our specific goal is to score and prioritize these genomic regions. The 550 regions with the highest score will be selected for validation in Aim 4. using a larger cohort.

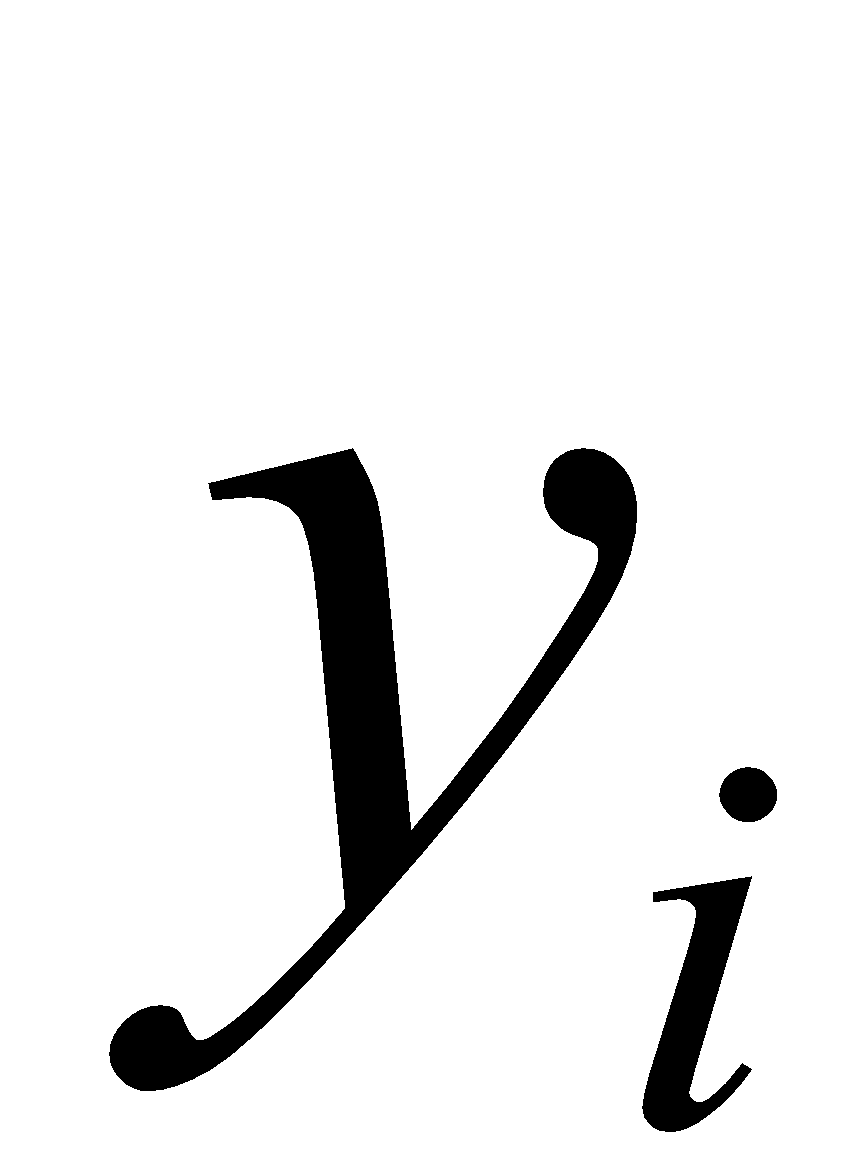
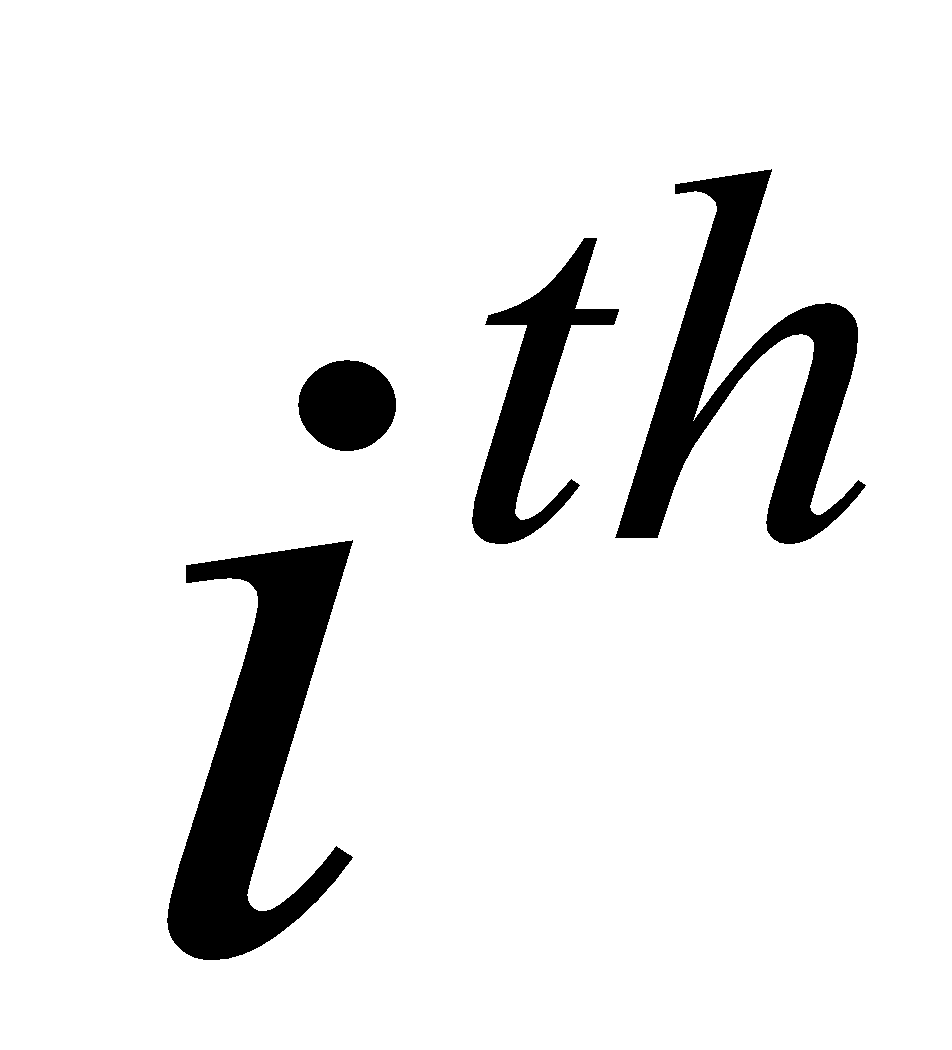
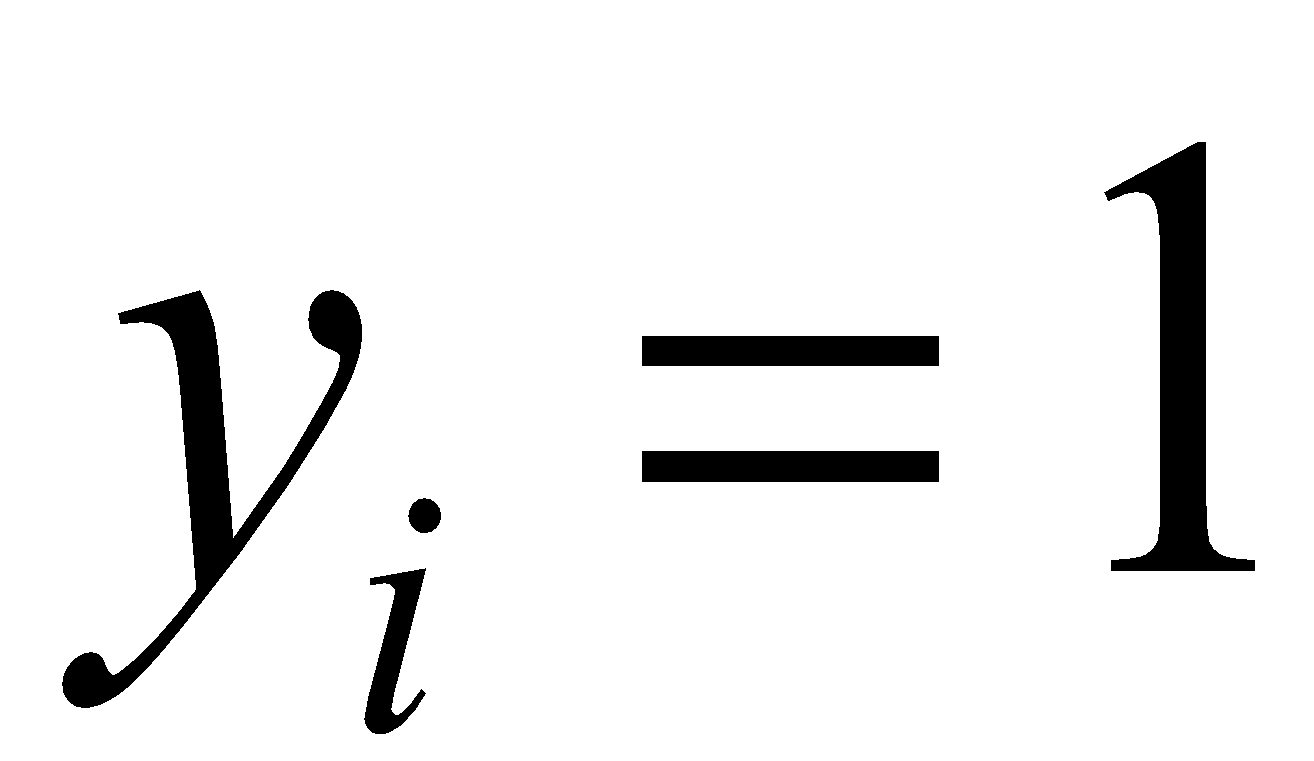
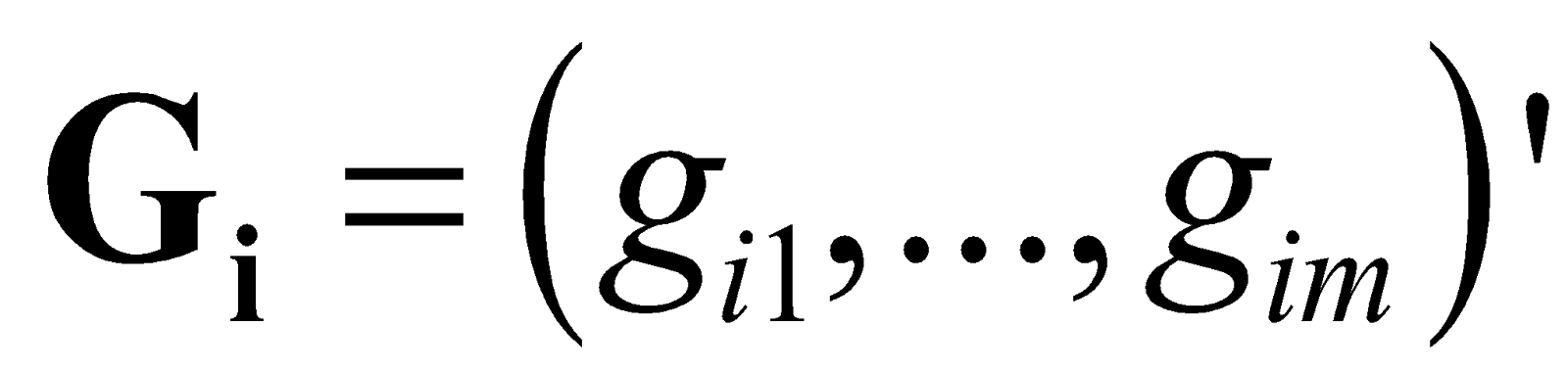
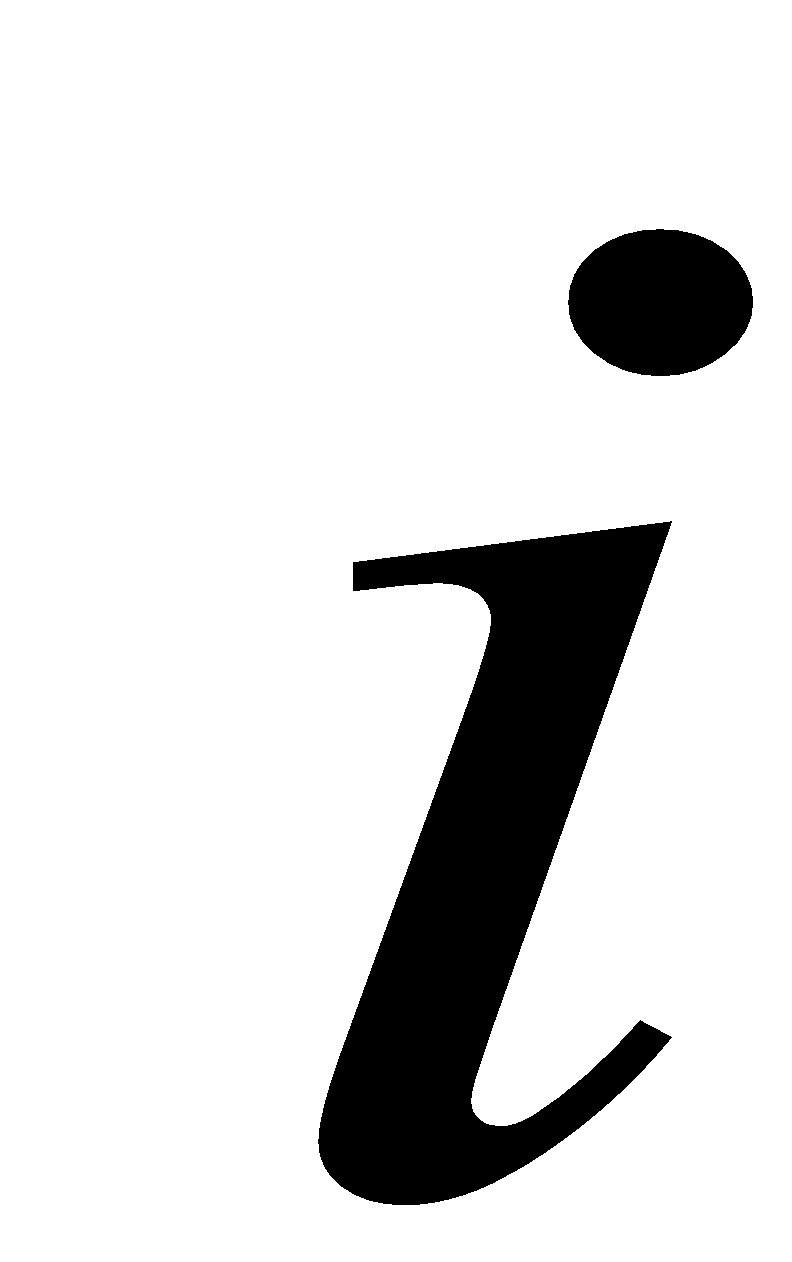
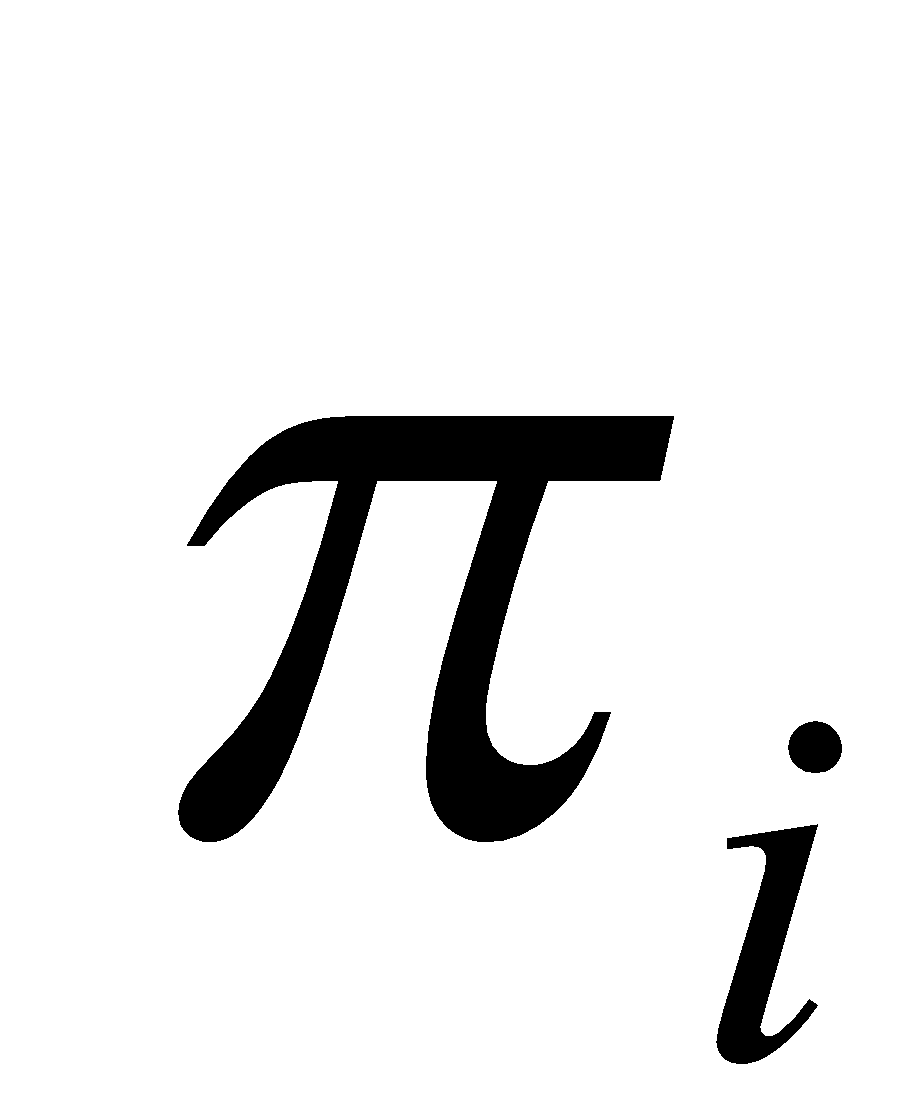
|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Derived allele frequency** | | **AFR** | **EUR** | **Overall** |
| **Potentially deleterious mutations** | ***ExAC*** | 5.4% | 4.3% | 3.9% |
| ***1000G*** | 4.7% | 3.6% | 4.5% |
| **Potentially LoF mutations** | ***ExAC*** | 0.5% | 0.6% | 0.7% |
| ***1000G*** | 1.1% | 0.4% | 0.5% |
| **ClinVAR** | ***ExAC*** | 0.6% | 0.9% | 0.7% |
| ***1000G*** | 0.3% | 1.2% | 0.6% |

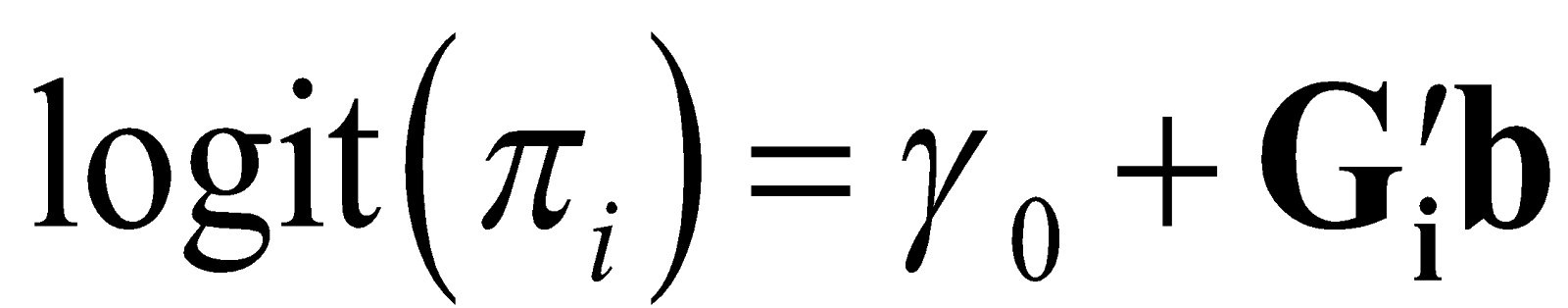
**C-3-b Relevant preliminary results:** As initial exploration of the origins of racial disparity in RCC, we evaluated 14 genes with known association to RCC, for difference in frequency of high impact germline variants between Caucasians and African Americans. This analysis was based on the 1000 genome dataset and the ExAC consortium’s database of exomic variants in non-cancer patients \cite{27535533}. African Americans displayed a 8% (1000 Genomes) to 20% (ExAc) higher rate of deleterious germline variants in RCC associated genes than Caucasians. Deleterious variants in the VHL gene occur in 1/635 Caucasian individuals, and 1/85 individuals of African descent. Moreover, variant burden show an unequivocally different distribution in African populations compared to European populations. Additionally, despite exhibiting a ~150% higher allele frequency in the high impact variants, populations of African descent have an about 75% lower allele frequency of variants with ClinVar significance (Fig ####). The disparity indicates remarkable understudy of genetic susceptibility in patients with African descent. This preliminary data suggests a genetic predisposition to kidney cancer in African American Individuals which has been previously largely overlooked.

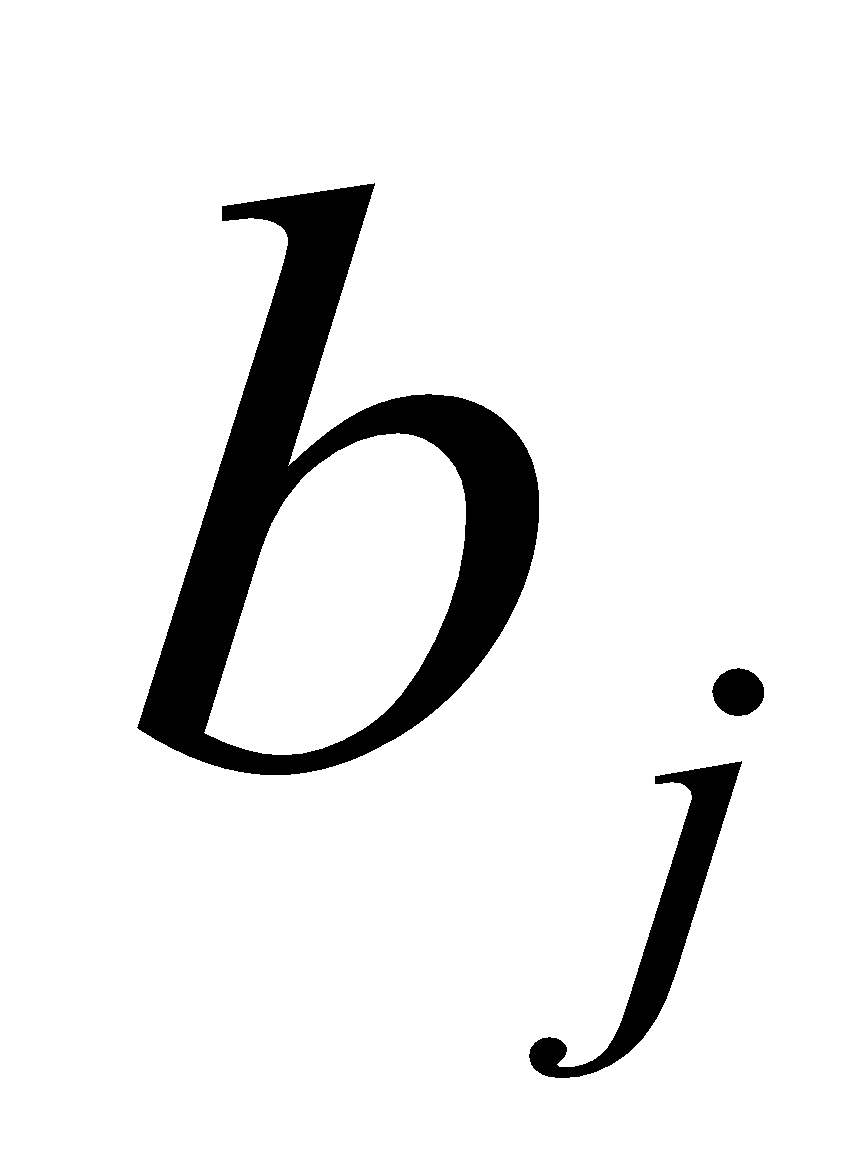
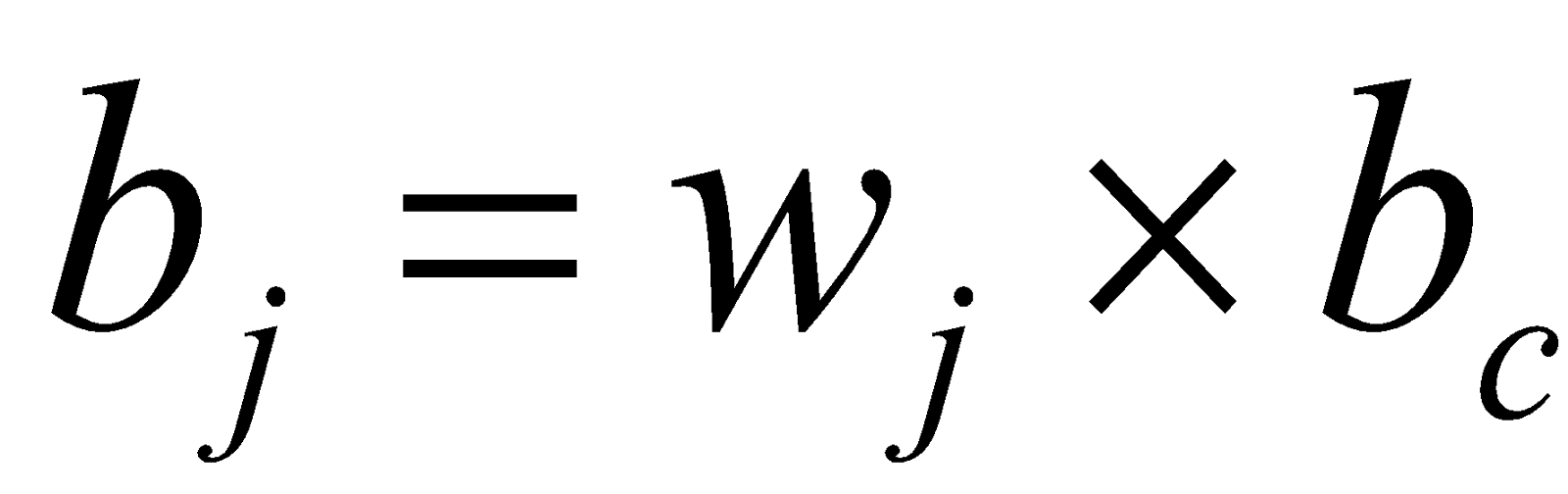
**Table #####: Rate of deleterious mutations in 14 genes with known kidney cancer association, in both African Americans and Caucasians.**

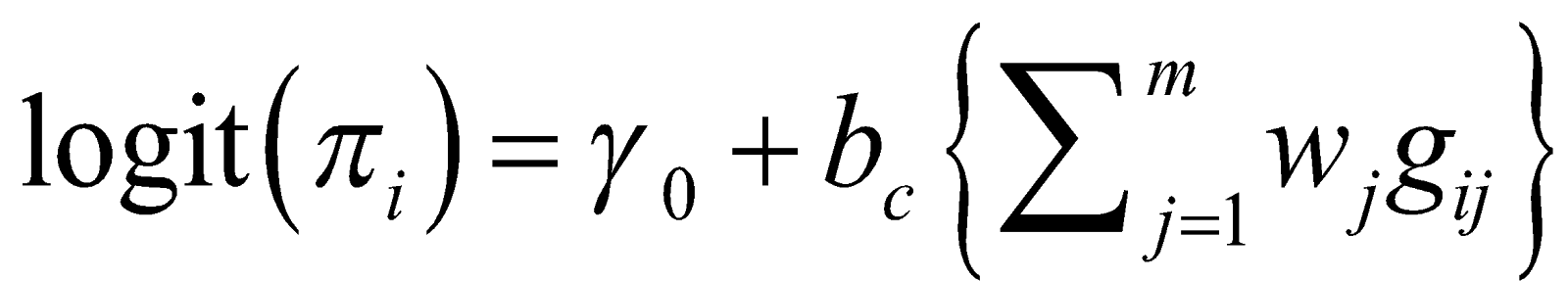
**C-3-c Compare germline mutations in coding regions between Caucasian and African-Americans in prioritized regions using WES Data**

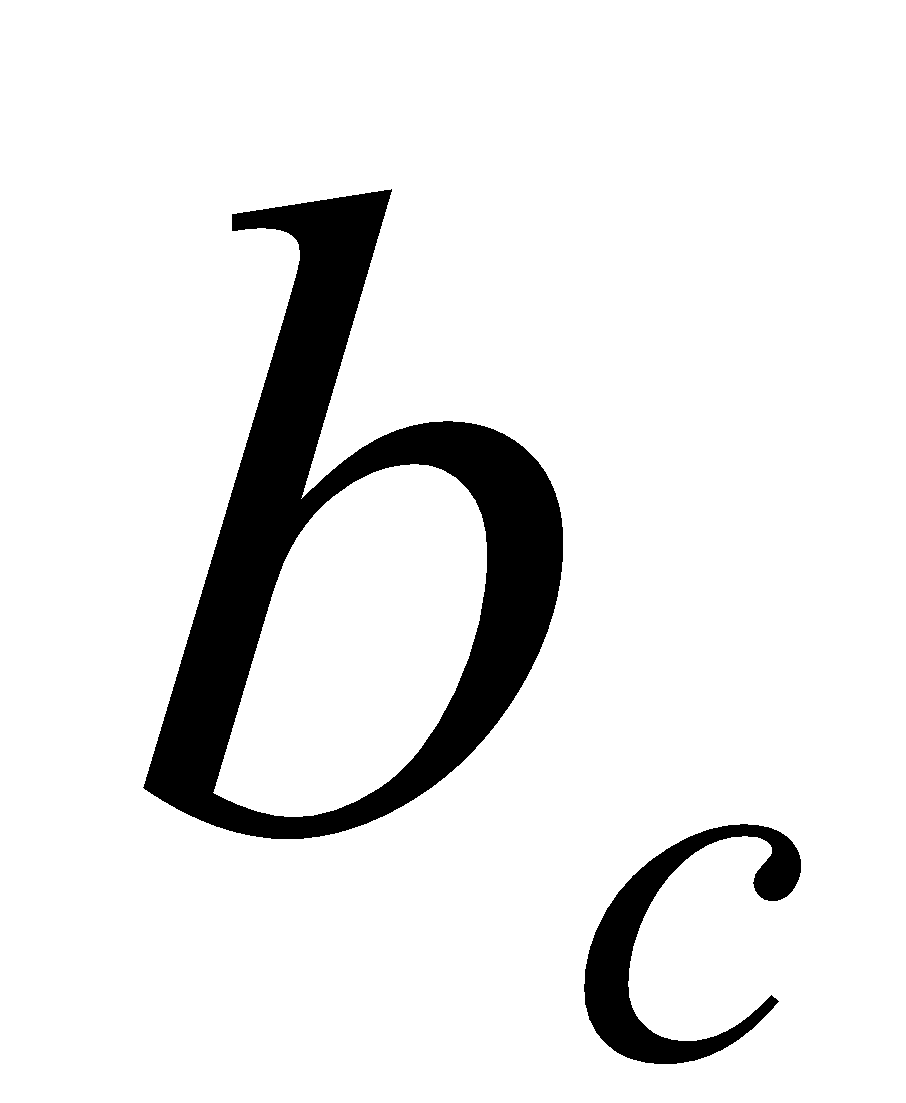
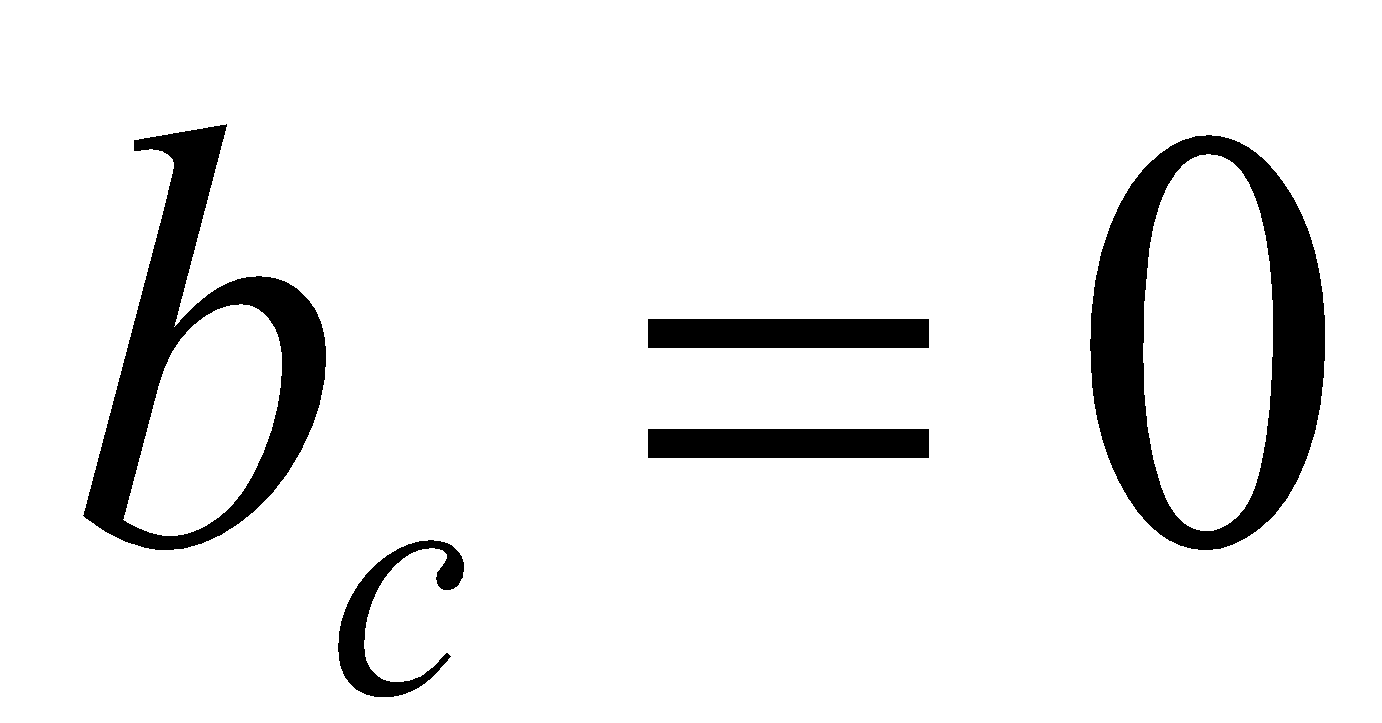
C-3-c-1 Variant level analysis: For coding region analysis, we will utilize the full 556 samples with whole exome data analysis from TCGA. For common variants analysis at a single locus, Fisher’s exact test can be used to evaluate the racial disparity between Caucasian and African-American subjects with RCC. Here, we prioritize common variants according to their associations with RCC disparity in race. For a common SNP identified in African Americans and Caucasians with RCC, we record minor allele frequencies and major allele frequencies in African Americans and Caucasians with RCC, respectively. For these counts of the focal SNP, the Fisher-exact test is used to determine whether the SNP tends to be associated with the African Americans with RCC. The p-values of the tests for all the common variants are used to prioritize them for further study and validation. Moreover, the power of the Fisher exact test can readily be estimated in this context. For instance, for an ordinary SNP with allele frequency 7% in the total samples, when its frequency in the African American subjects is 12%, the power of the test can reach 0.4 with a p-value < 5e-5. This indicates that these SNPs can be detected with statistical significance from 1000 candidates, even when the most conservative Bonferroni correction is used.

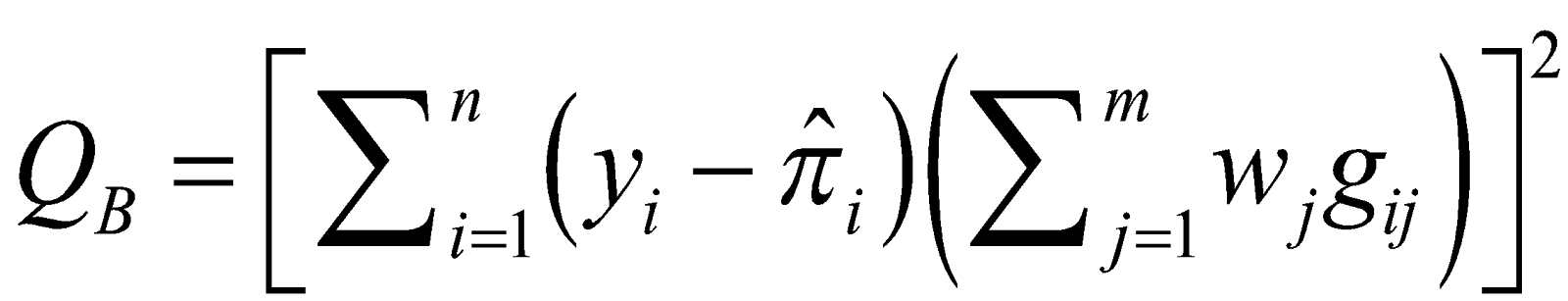
C-3-c-2 Region based analysis: Beyond investigating the association between single common variants and race, we will focus on the evaluating the cumulative effects of a set of rare variants in certain genomic regions, such as genes, using both burden and non burden test. Burden test are often applied on regions where most of the variants in the same region are causal and their effect on the phenotype are on the same direction [[eg LOFs disabling a tumor suppressor ]] We assume that in total there are n patients with whole exome sequencing data available. Also for a target region, for example, a gene, there are m variants. Let  denote the population information of the  patient.  for African-Americans and 0 otherwise. Let  represent the genotype of patient  . Then a logistic regression model can be set up to evaluate the association as in (1). Suppose that  describes the mean of the population status, then

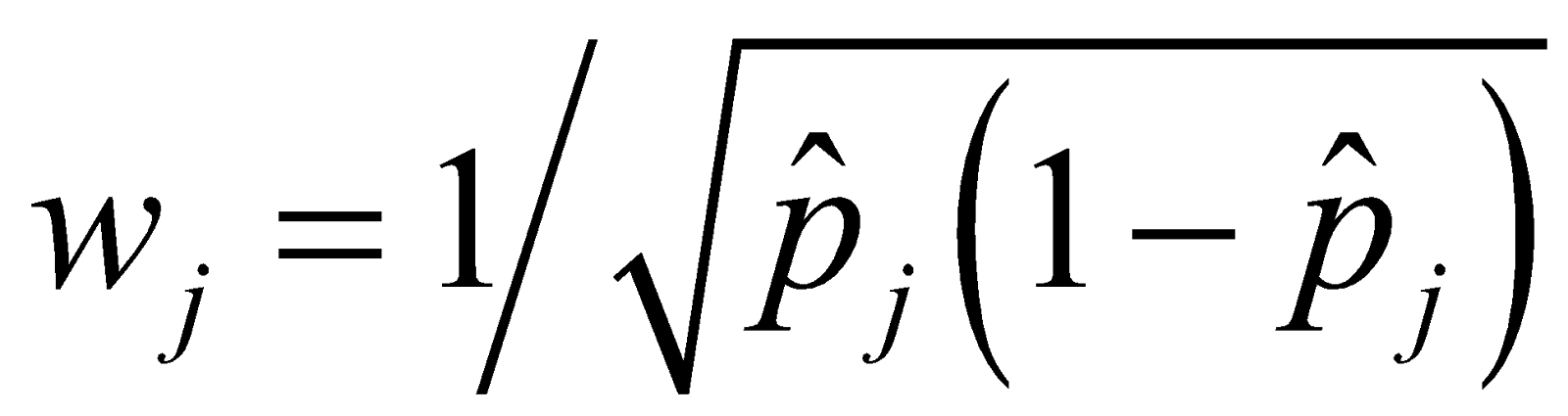
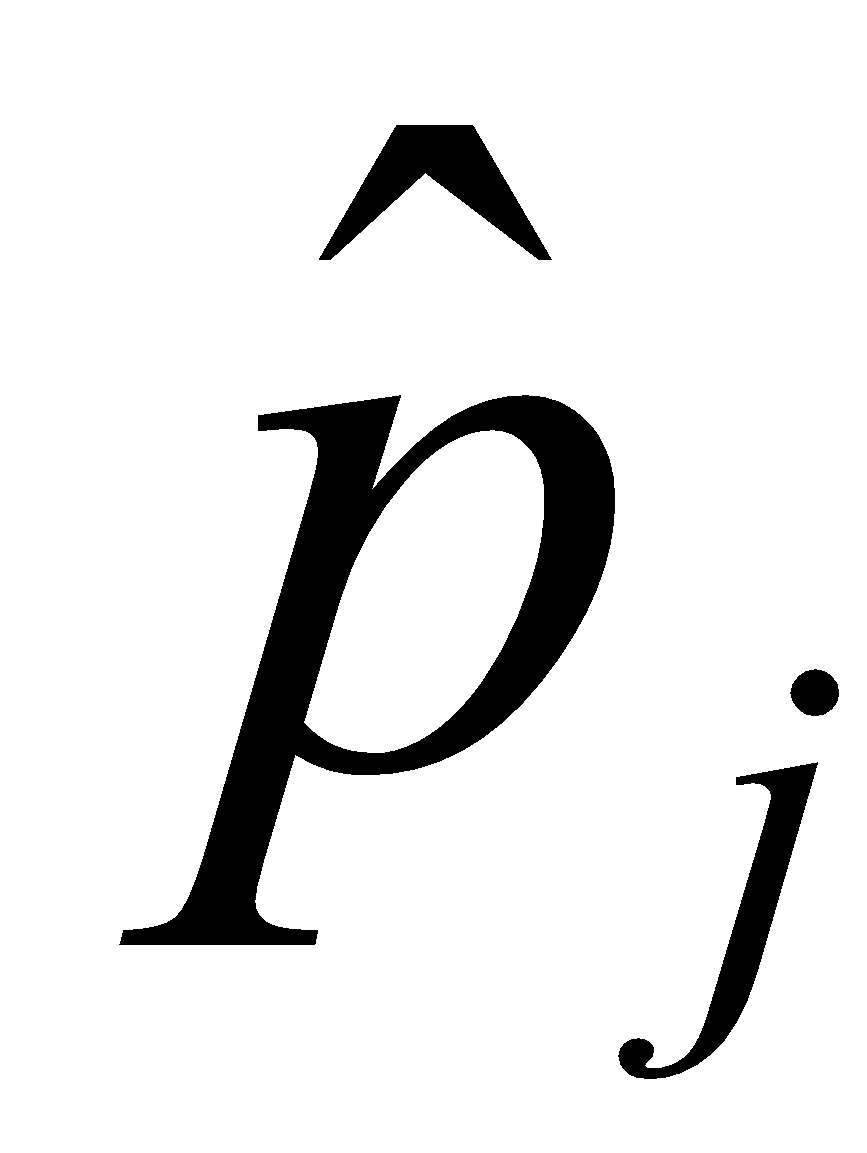
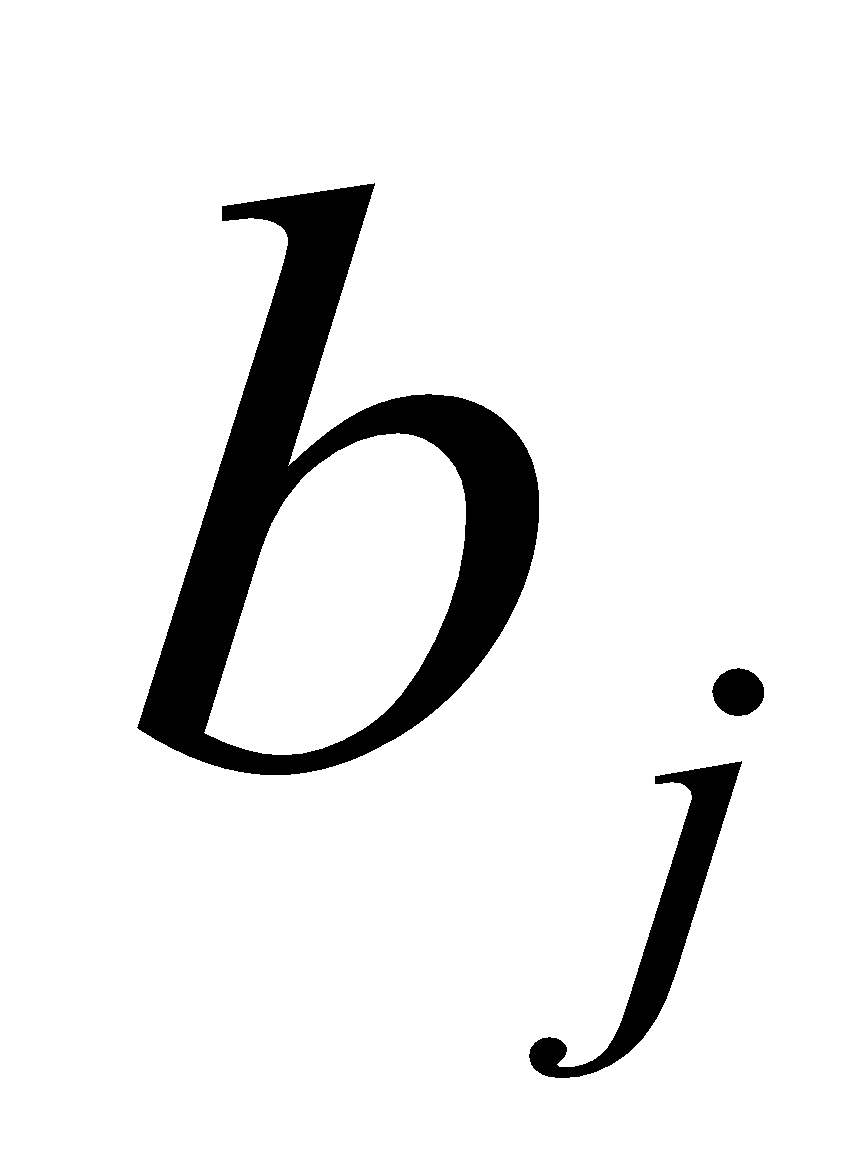
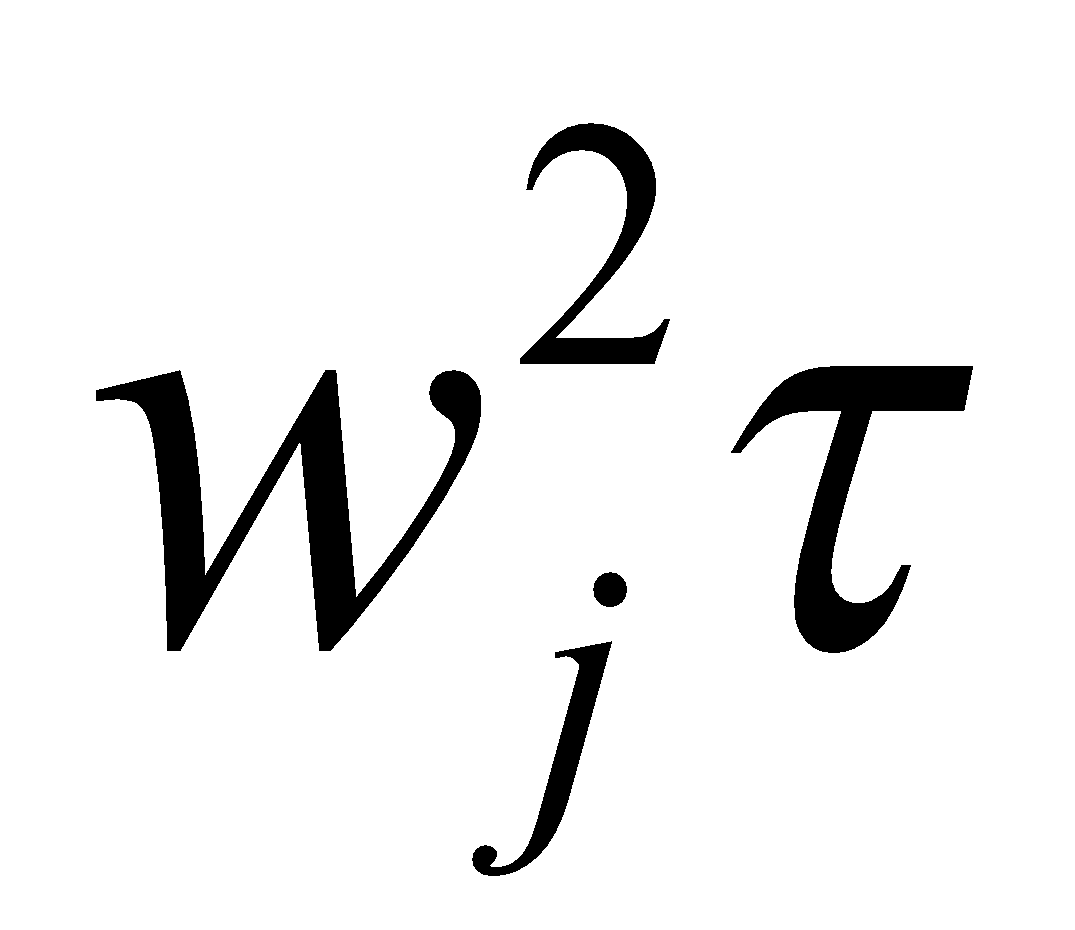
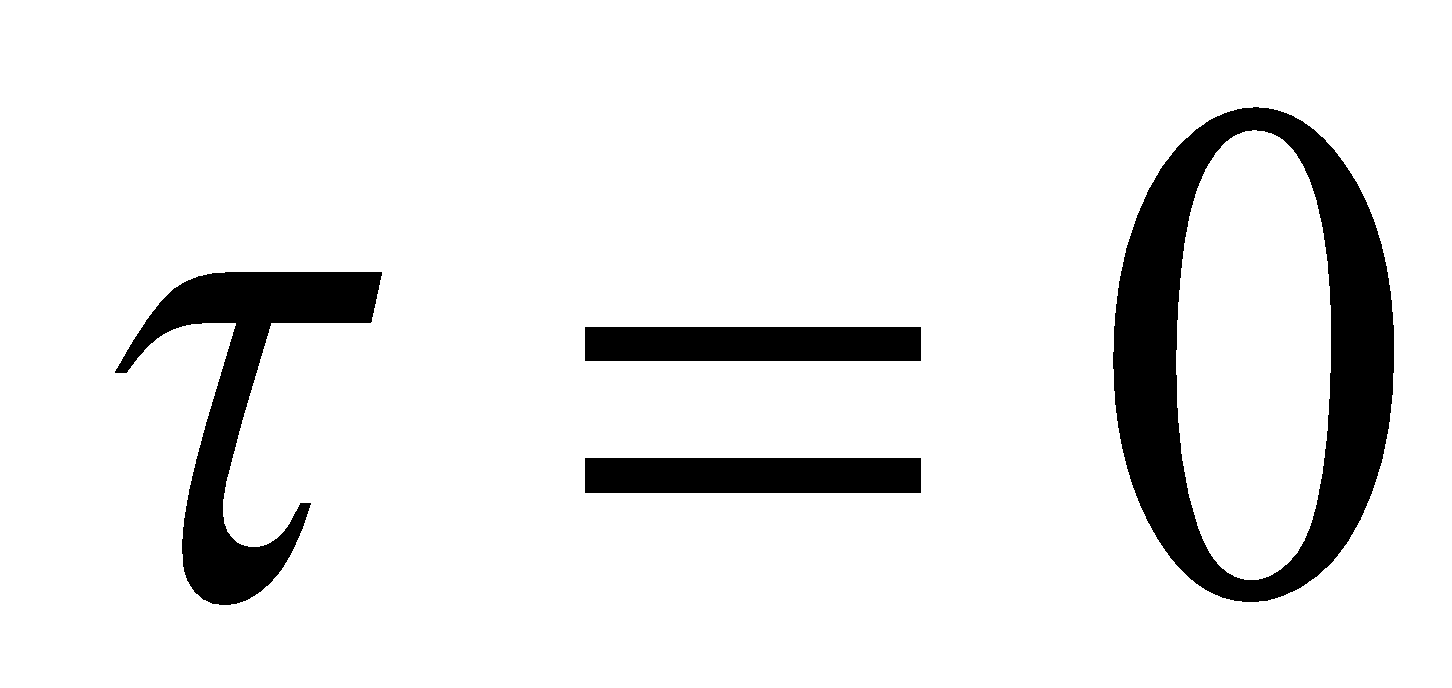
 (1)

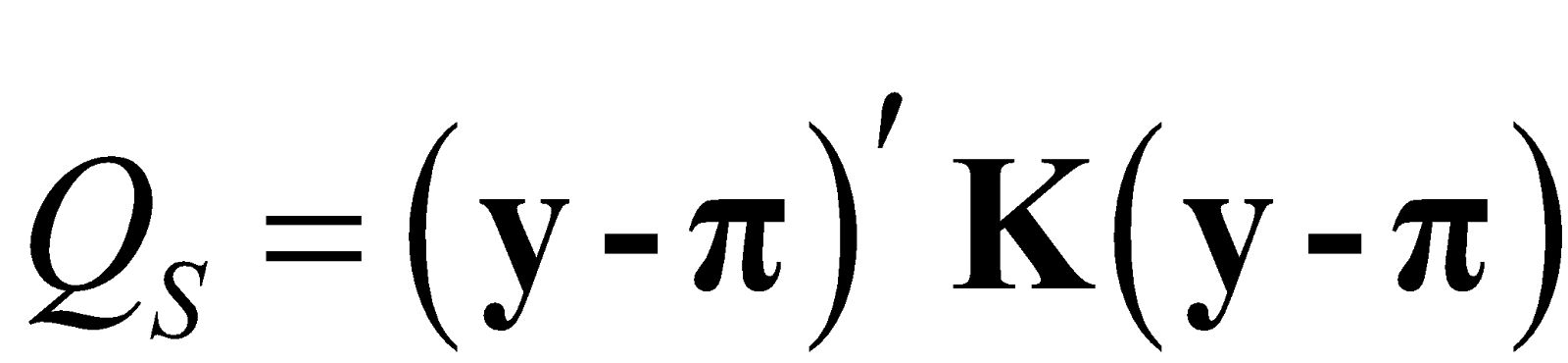
For the burden test, we could treat the coefficient  for each patient as a weighted coefficient like  . Then equation (1) can be rewritten to

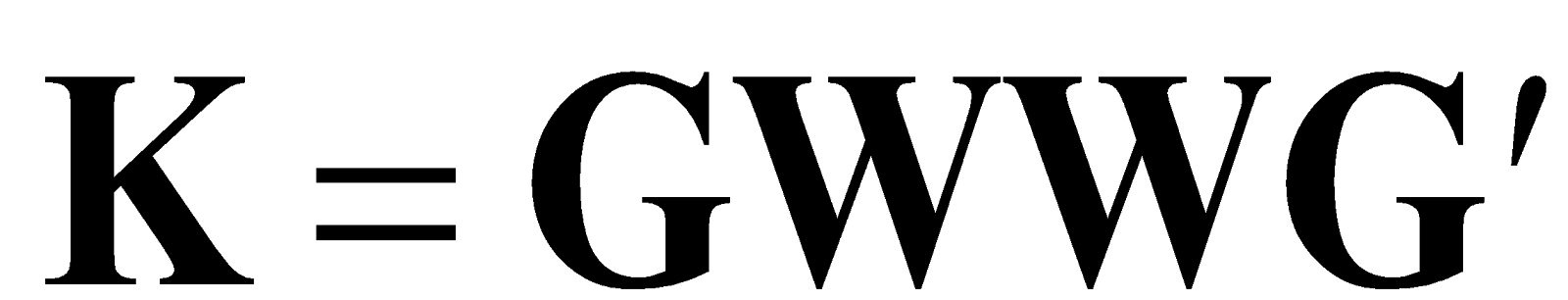
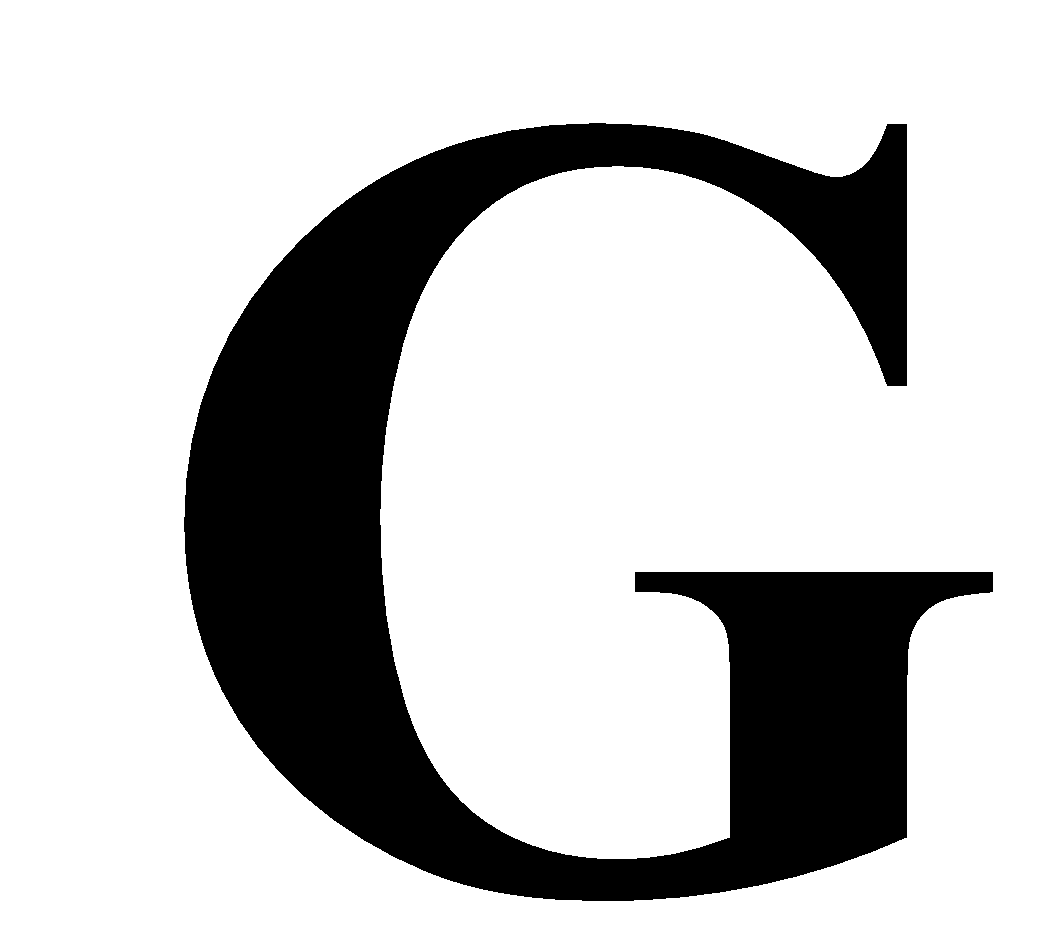
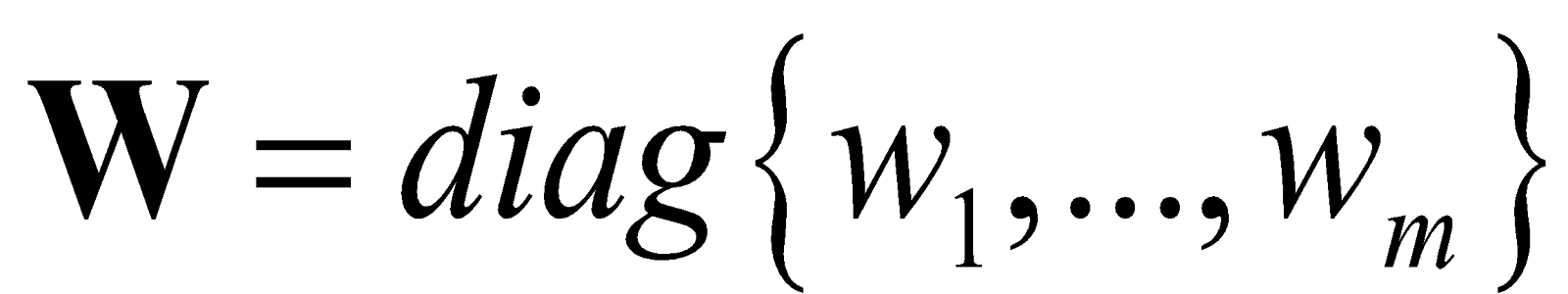
 (2)

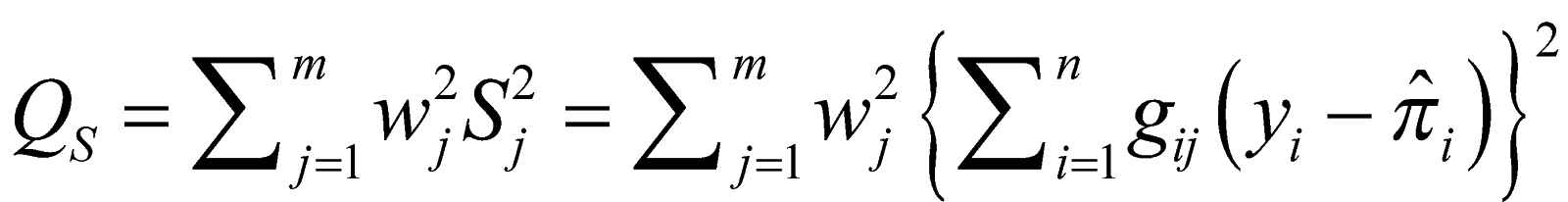
Then under the null hypothesis that there is no association of variants in this region with race, the coefficient  should be zero. So the test statistic for H0:  should be

 (3)

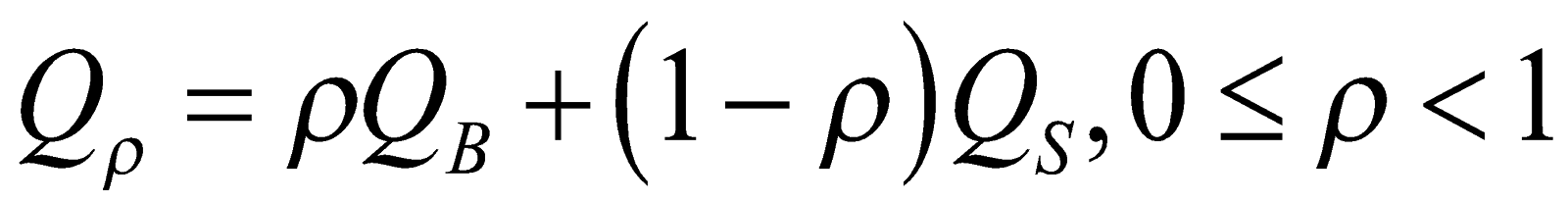
The allele frequency can be used to assign the weight for each variant. For example, , where  is the minor allele frequency. However, in some cases, where the target region has many non-causal variants or the effect of such variants is quite heterogenous, burden tests, such as equation (3), may lose statistical power. Here, sequence kernel association test (SKAT) can be used. Instead of assuming a weighted coefficient effect in the burden test,  s are treated as independent random variables with 0 mean and variance  . Then the null hypothesis can be changed to H0:  . Then the test statistic under equation (1) can be written into:

 (4)

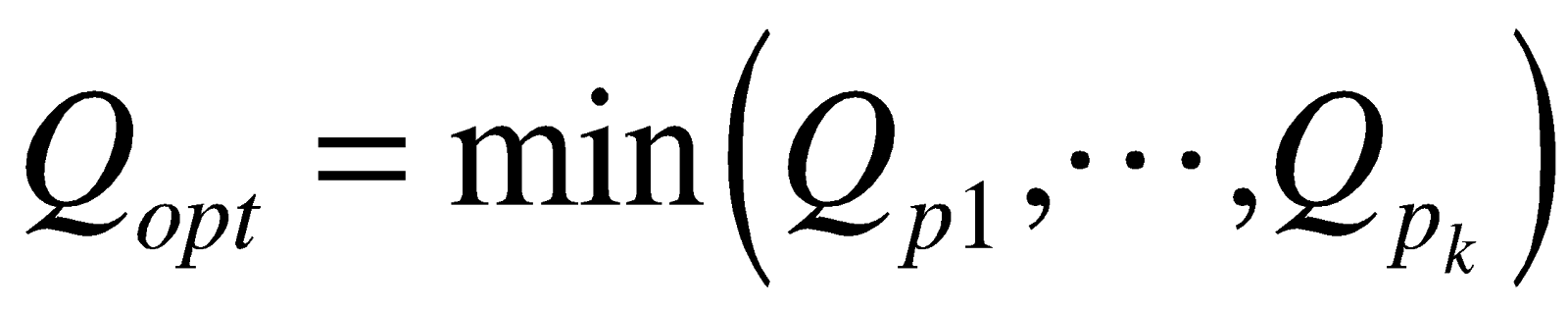
In (4),  is the kernel matrix, and  is the genotype information vector.  is the weight matrix which can choose based on allele frequency or external information, such as conservation score. The test statistic in (4) can be rewritten into

 (5)

In the coding variant analysis, because for most genes, we do not know which of the two cases each gene falls into, a unified test can be used as the following

 (6)

Since the best route in (6) is unknown, the best test statistic can be used as the following:

 (7)

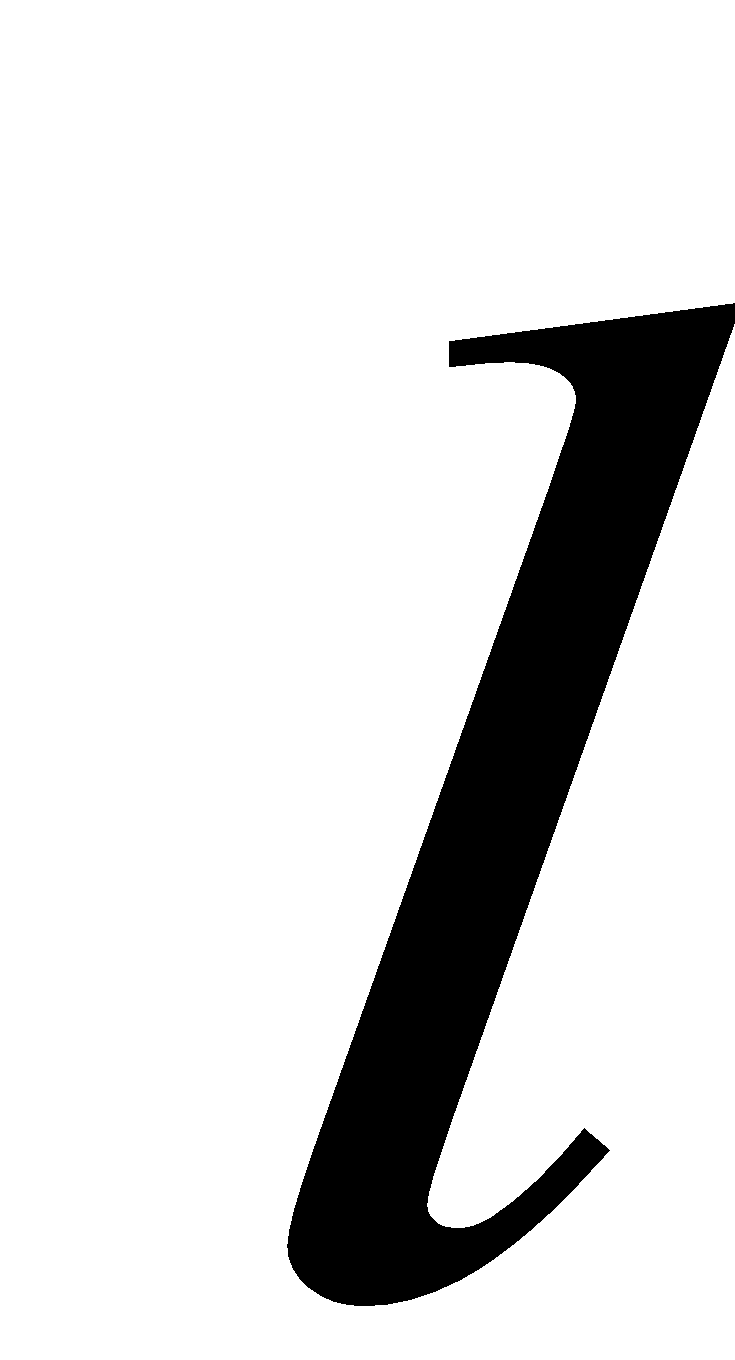
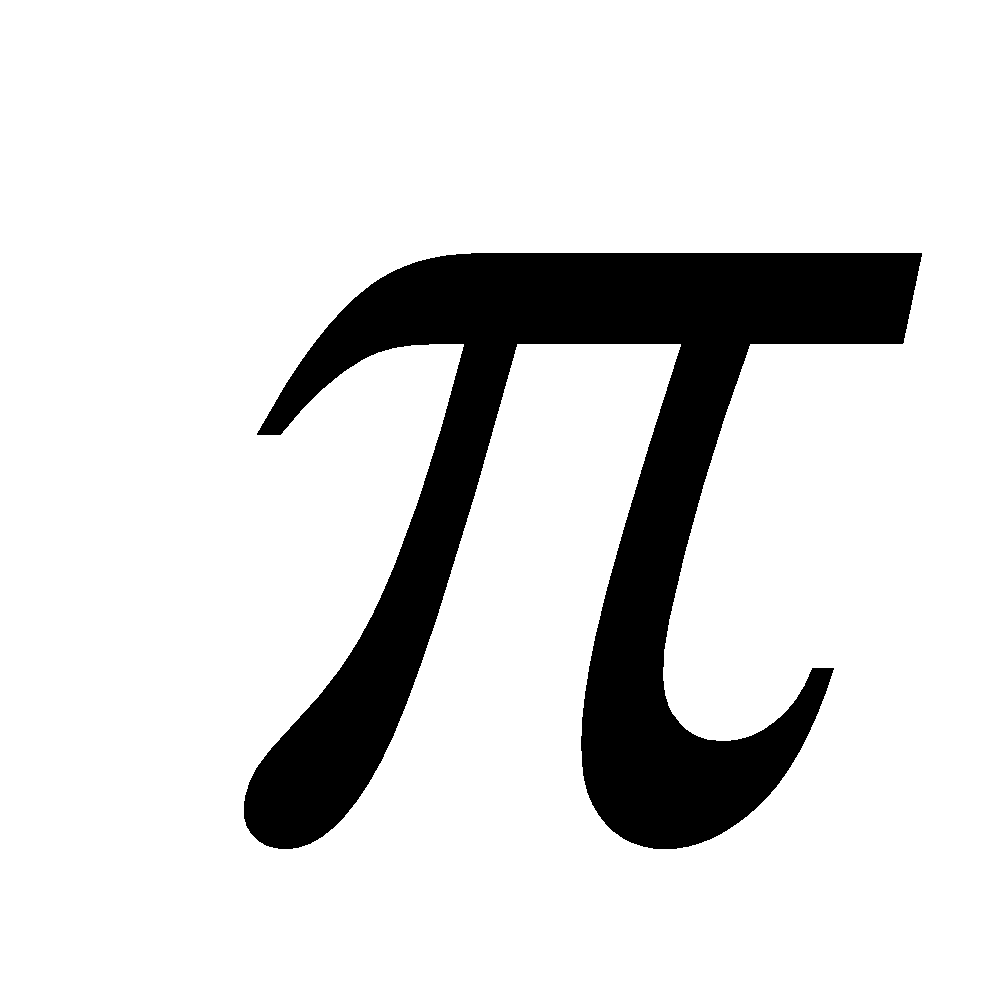
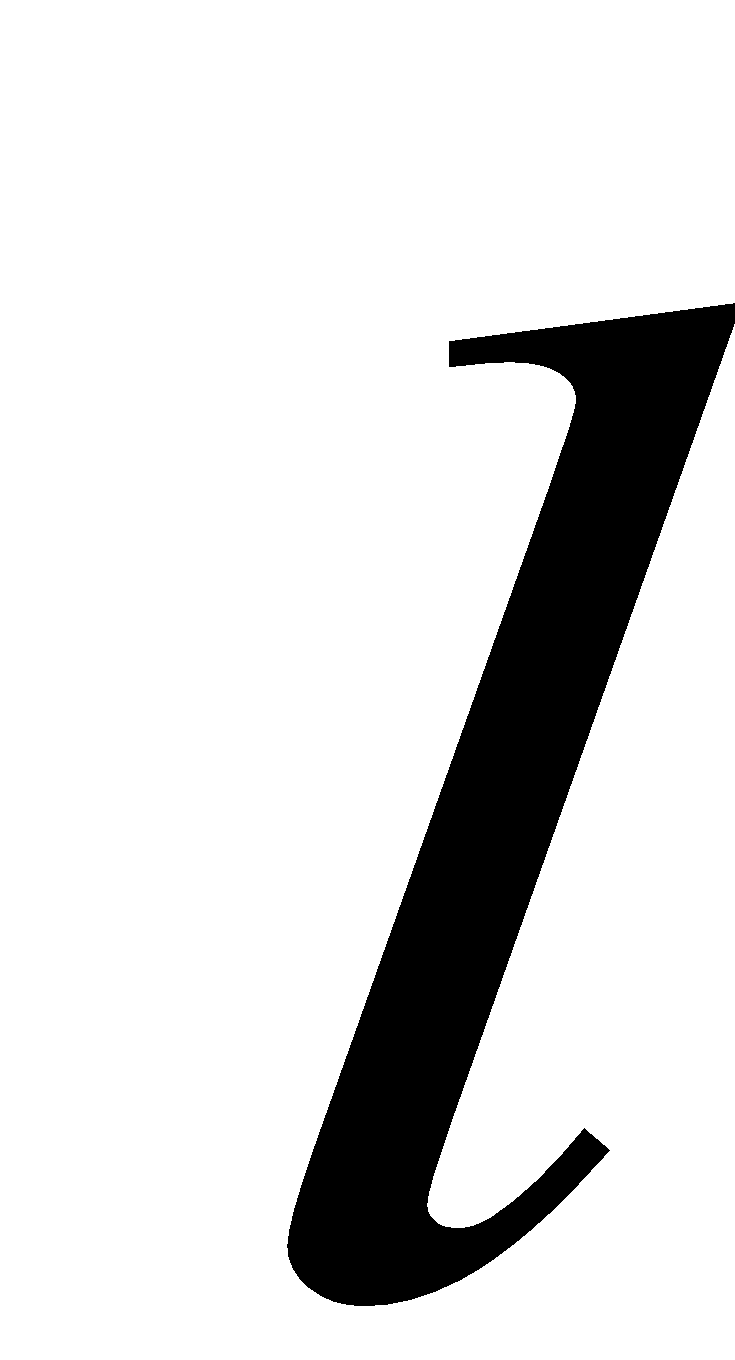
C-3-c-3 Power analysis using SKAT for per region based analysis: In the above, we are planning to use aggregated burden tests (i.e. SKAT) to look for differential burdening between populations and use this to rank the regions. While we are not striving for absolute statistical significance in the differential burdening, we do think that the sample size is enough to get an appreciable signal for ranking. Here, we discuss the power aspects of the burden tests in detail and substantiate. SKAT analysis has been developed for rare genomic mutations and remains robust even for common variants. We will utilize SKAT to identify genomic regions with significant variant disparity in kidney cancer between Caucasian and African-American populations. To estimate the sample size that we need to use in order to obtain statistical power, we used the SKAT package from R project, running several population models with different parameters (Figure 6). In the proposed study, we will focus on genomic modules linked with kidney cancer; therefore we expect a greater number of effective mutations.

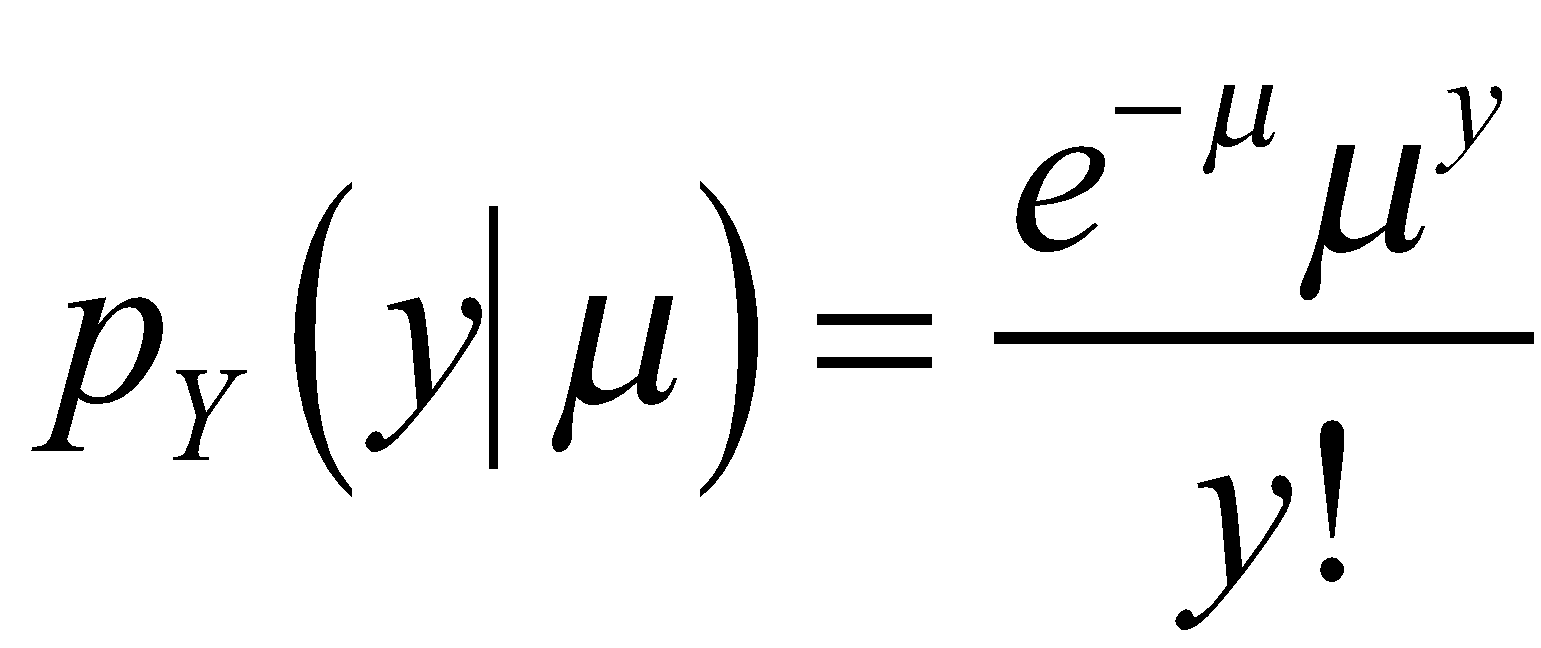
**Figure 6: Using the default haplotype information in the SKAT haplotypes dataset, we randomly selected subregions of size=5k and ran 100 simulations. In A, we show the statistical power obtained across the different models of maximum Odds Ratio. In B) we show the required sample size for each of these models in order to obtain significant statistical power (α=0.01, β=0.2)**

**C-3-d Compare germline mutations in noncoding regions between Caucasian and African-Americans in prioritized regions using WGS Data**

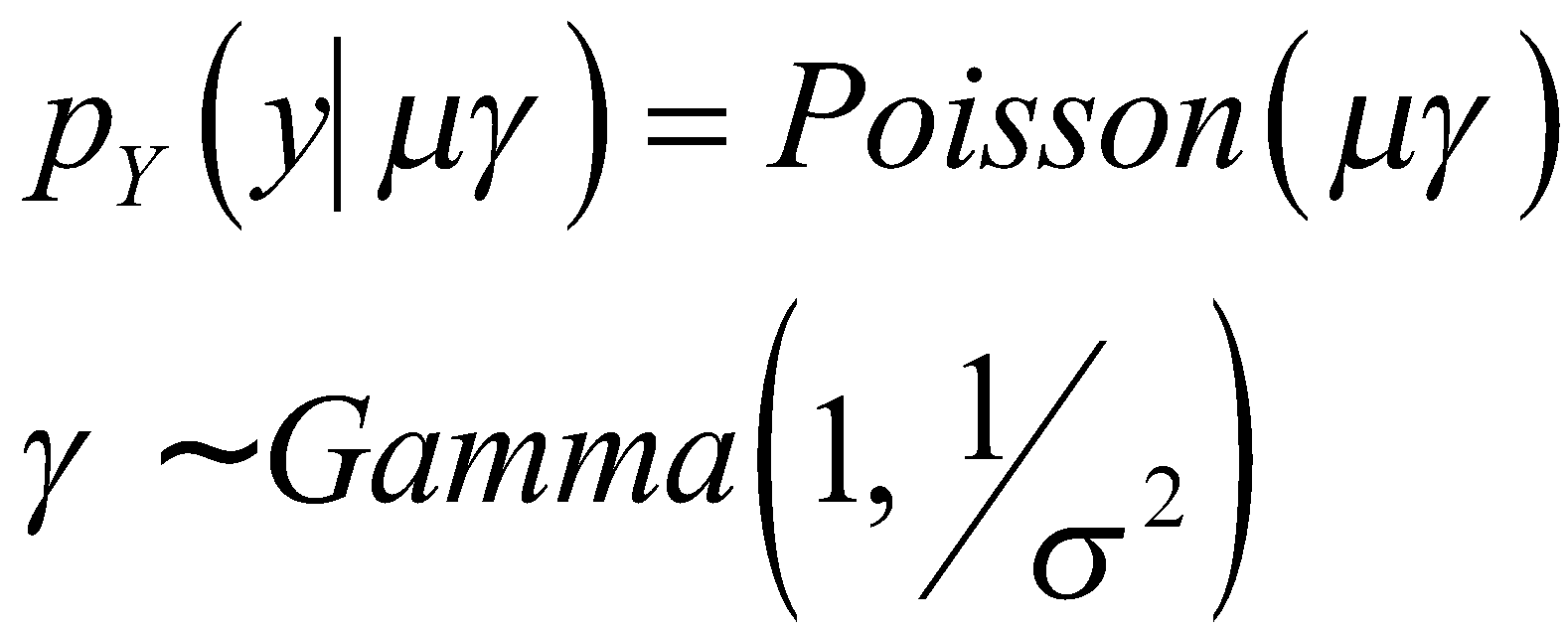
C-3-d-1 Pooled variant test for limited target regions: For the noncoding region analysis, since we only have limited power with 32 WGS samples in both populations, target regions instead of the whole genome wide analysis will be carried out on only a small set of regions. From our experience with TCGA KIRP, we already prioritized MET intronic and promoter regions, along with several other recurrent mutated regions that merit further investigation. We will only focus on these selected regions to use the unified test mentioned above (in section C-3-b).

C-3-d-2 Non-parametric test for FunSeq score distribution difference: We suspect that the casual regions may not only be under differential mutational burden between races, but may also be overly affected by high-impact mutations. Thus, for the prioritized regions given above, we plan to calculate all the FunSeq scores on both African-American and Caucasian populations. Subsequently, by ranking and pairing the scores between the two population groups we intend to use the Wilcoxon signed-rank test to evaluate the significance of the mutational impact on each region. This test is a non-parametric version of the paired t-test and is used when we cannot assume that the populations follow a normal distribution. As the population size increases, a Z-score can then be calculated.

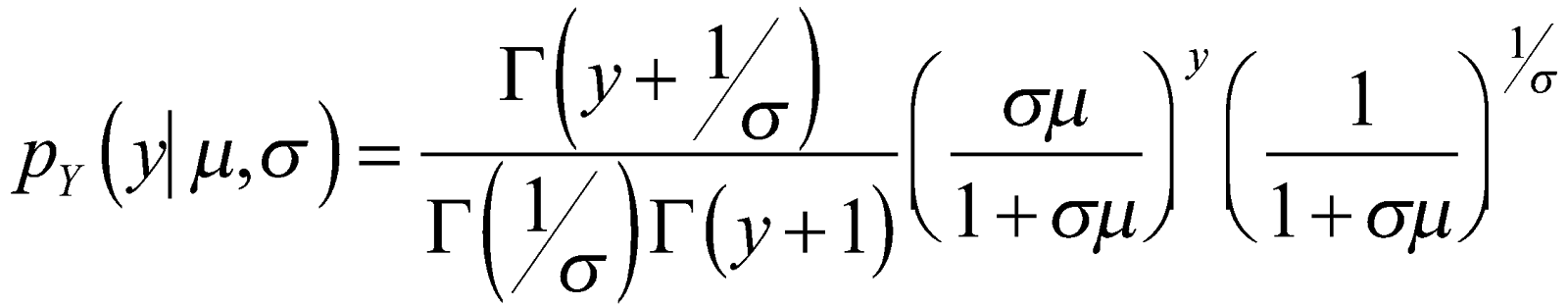
**C-3-e Compare somatic mutations between Caucasian and African-Americans in the prioritized regions:** Previously, we developed an integrative framework LARVA to discover the highly recurrent regions in cancer genomes as candidates for drivers \cite{26304545}. It is known that various genomic features affect background mutation rate in most cancer types, which results in numerous false positives in somatic mutation recurrence analysis \cite{23770567}. Hence, we have extended LARVA into a new system, NIMBus (a Negative Binomial Regression based Integrative Method for Mutation Burden Analysis), which incorporates corrections for additional covariates that influence the somatic mutation rate in different genomic regions, including sequence content, replication timing, expression level, histone modification marks, and chromatin status. Specifically, in a region with length  , suppose the mutation rate is known as  , then the number of mutations y within  given μ should follow a Poisson distribution as the following:

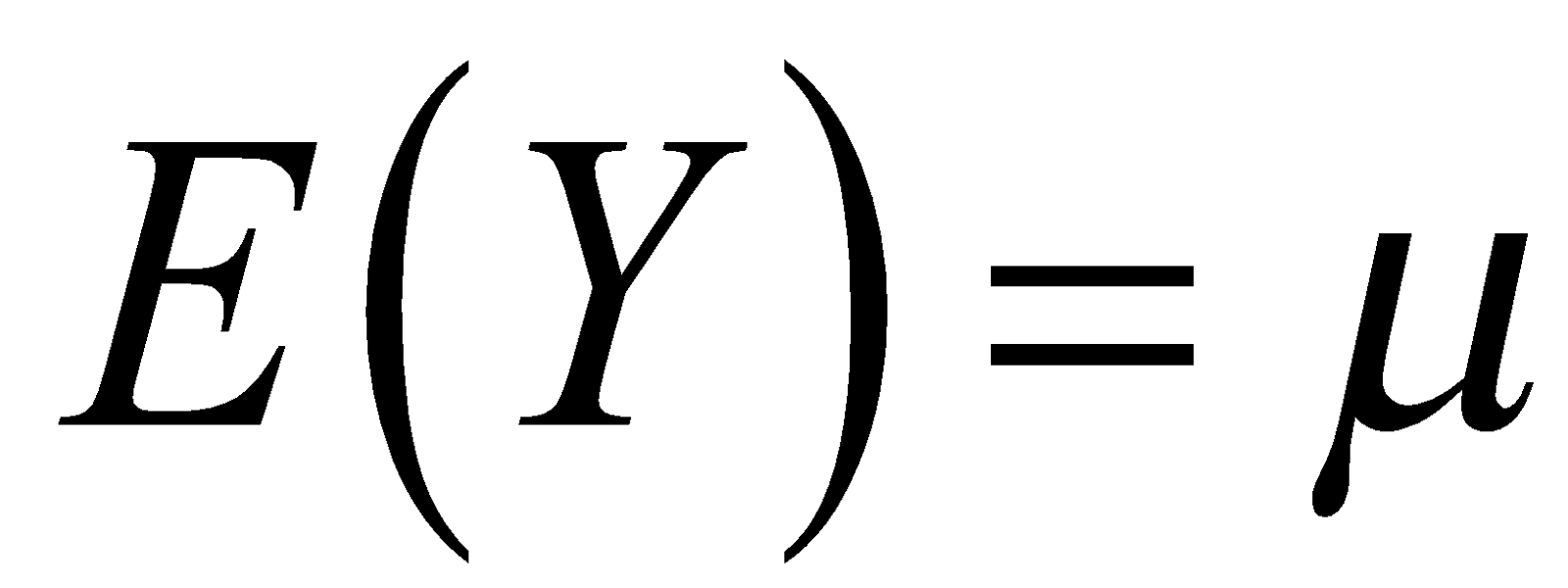
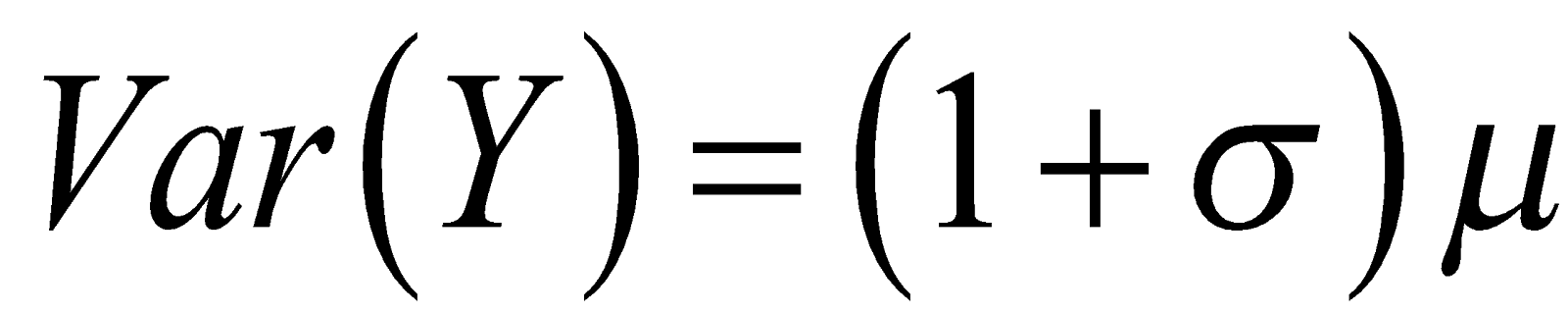
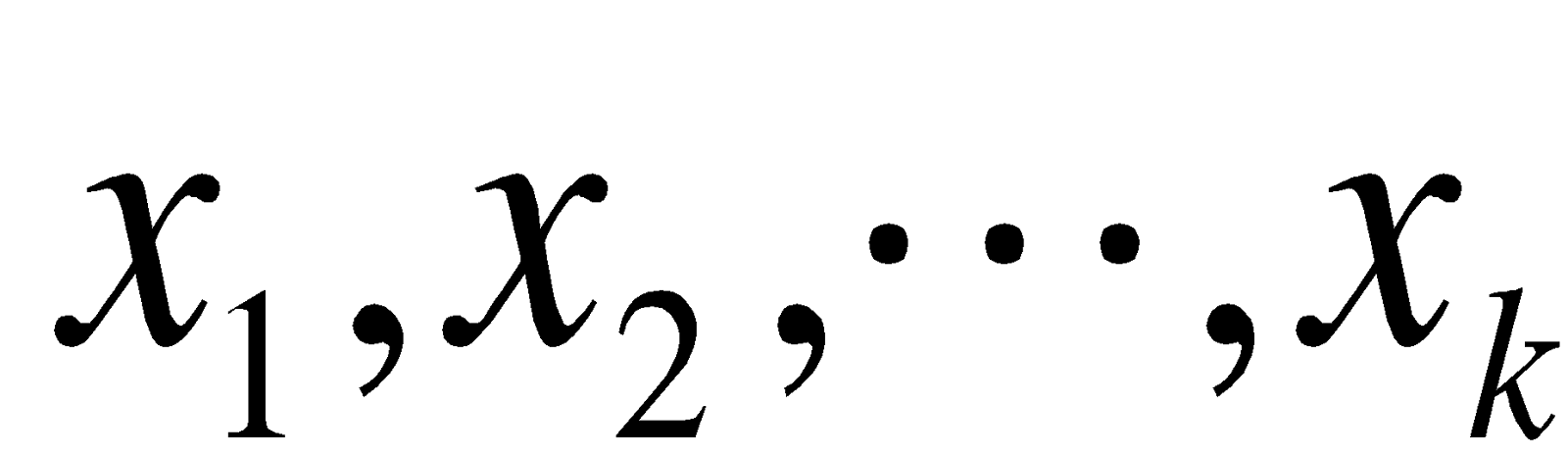
 (8)

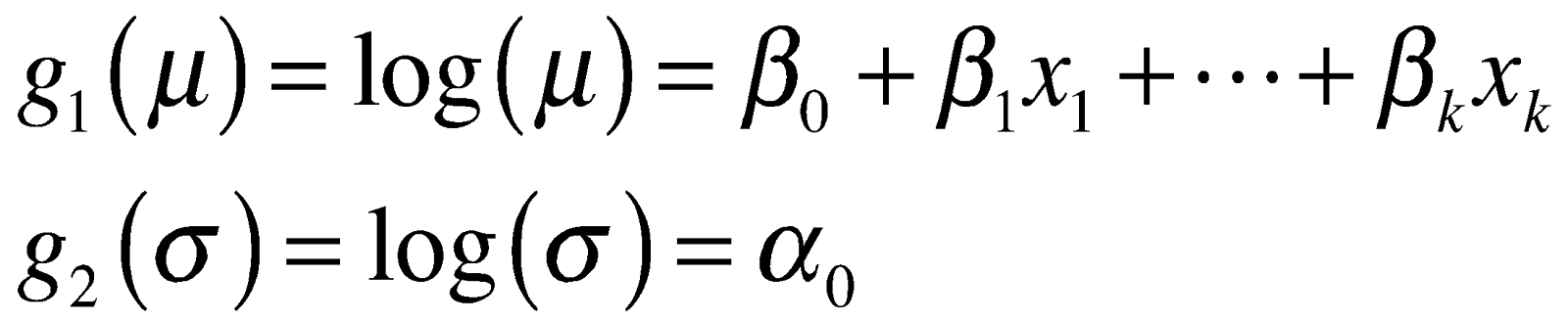
However, we discovered in our previous analysis that there is great cancer type, sample, and regional heterogeneity in the mutation count data \cite{26304545}. Such mutational heterogeneity violates the constant mutation rate assumption and results in over-dispersion. Hence, instead of supposing μ is constant, we set up the following model

 (9)

Then the marginal distribution of Y could be expressed as the type I negative binomial distribution

 (10),

Where , . Let  , are the genomic covariates to be corrected, such as replication timing, GC content, and chromatin status, we could use the following negative binomial regression to estimate the local mutation rate under the covariant set.

 (11)

Consequently, instead of estimating a genome wide mutation rate we are now estimating a coefficient vector for the mean and a constant over-dispersion value. For each region to be estimated, a local mutation rate can be reconstructed by equation (11) for accurate background rate and false positive/negative controls.

We will start off with the prioritized regions from aim 2 to minimize the multiple testing issues. For each region we will use our procedure to identify differential somatic burdening. In particular, we will apply our new method on the 16 African-American and then the 16 Caucasian WGS samples separately. Highly recurrent regions in each will be reported and compared. Those regions that are unique to either population will be prioritized for detailed validation.

**C-3-f Deliverables**: This aim will create a ranking on the list of genes, non-coding regions and variants from Aim 2 to pass to the validation in Aim 4. We will combine the rankings from the sections above by comparing their corresponding p-values. However, we will keep a minimum number of validations of each category. Also, we plan to make our racial disparity rankings of genes and non-coding regions publicly available from the project web server (see data dissemination plan).

**Aim 4: To validate specific regions with either germline or somatic mutations** **suspected of contributing to kidney cancer racial disparity.**

**C-4-a Rationale**: Typically, traditional GWAS studies require thousands of sequenced genomes to associate genetic variants and disease with confidence. Therefore, aim 2 and 3 may not render the necessary statistical support to associate kidney cancer variants with racial disparities. However, in aim II and III, our main intention is to obtain, prioritize and rank ~550 genomic regions for racial disparities associated with cancer. These regions will be further processed and validated in aim 4, by using sequences from Yale’s Genitourinary Biospecimen Repository. We intend to validate 55 regions (100bp each) for 384 individuals.

In particular, we will assemble an independent validation cohort from Yale’s Repository. This will contain both African-Americans and Caucasian with clear cell and papillary RCC to allow comparisons across both histologic type and race. Yale has committed institutional funds to one investigator (MG) which will allow him to subsidize the sequencing. For every 2 samples genotyped with grant funding, institutional funding will permit sequencing of an additional sample. This additional institutional funding, will allow for processing of additional patient samples. Resulting increases in statistical power, will allow for appropriate stratification of patients in the validation cohort according to age, sex, and kidney cancer co-morbities.

While also including reference sequences from HAPMAP and 1000 genomes, we will genotype ancestry informative markers from samples and controls to construct and evaluate genetic clusters in two ways; by using i) maximum likelihood phylogenetic algorithms [cite\ 24509691], and ii) Principal component Analysis [[cite\ 16862161]]. This will allow us to assess the genetic topology of different genotypes in order to quantify the genetic background of the African-American and European populations. Equally important, this will enable us to correlate our disease phenotypes with the genetic background of the admixture population [[cite\ 26040208;23161897]].

[[$$$ LS/WM fix:. We'll use the super R script to find optimal matches. Since we have a patient pool of 30M individuals we should have no problem]] Besides confirming an association with kidney cancer, a large cohort will help us better understanding of how frequently these alterations occur.

**C-4-b Power analysis for the validation cohort**: [[WM - STL & LS $$$ explain that look at extremes - common and rare, first we focus on common]] We calculate our statistical power for finding both common and rare SNPs associated with racial disparity. For the common SNP arm of the power analysis, we focus on 550 common SNPs prioritized by the Fisher exact test proposed in Aim 3. The same test is adopted to detect the SNPs associated with racial disparity of RCC, using the equal number (192) of African American and Caucasian patients with RCC. To analyze the test power, we survey the parameter space of a candidate SNP, i.e. the frequencies of the SNP in total patients (f) and in the African American (fa) and Caucasian (fc) patients. Due to correcting multiple tests with Bonferroni method, only SNPs with p-value < 1.0e-4 are considered to be associated with race disparity of RCC. Using STATMOD package [cite STATMOD package], we find that to be detected with power at 0.8, a candidate SNP requires its f and fa /fc larger than 0.08 and 3.5 respectively. However, note, Bonferroni correction is overly stringent, rendering this power analysis conservative.

For the rare SNP arm of the power analysis, we pool adjacent rare SNPs together. When all the prioritized regions are genes after pooled rare SNP tests, we suppose eventually 10 genes with 5kb length. Using the SKAT R package, we performed a power analysis of 100 simulations and we could still detect regions with an Odds Ratio (OR) equal to 4 with this number of samples (power > 0.8).

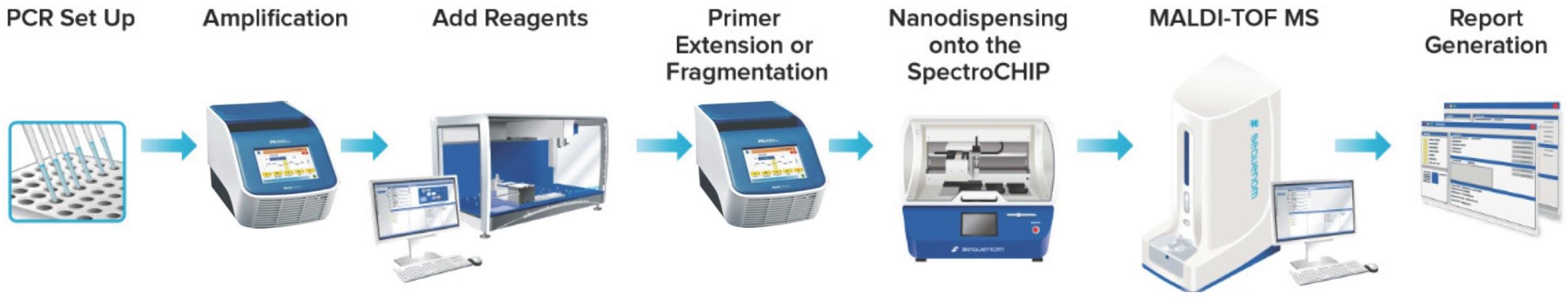
If we are able to match our validation cohort well, we will pair subjects and use a paired test. The paired test is much more powered compared to a pooled Fisher exact test. Therefore, our power analysis above is conservative and serves as the lower bound.

**C-4-c Sample matching, specimen acquisition, and DNA extraction:** For our validation cohort, an equal number (n=96) of Caucasian and African-American clear cell and papillary tumors (total n=384) will be selected as a Validation Cohort. As mentioned above, specific kidney cancer risk factors may influence the risk of RCC. To control for these differences, these cases will be matched using the similar Optimal match algorithm above using variables such as age, sex, smoking status, and obesity. Once cases are selected, we will access archival fresh or formalin-fixed, paraffin-embedded (FFPE) tissue blocks to retrieve tumor and the adjacent normal kidney tissue for a genomic control. Our IRB-approved Genitourinary Bio-specimen protocol provides access to tissue from 1988-2016. If further cases are needed, we have access to specimens and clinical data over the past two decades in Connecticut State through a Connecticut Tumor Registry IRB approved protocol. All tumors will have centrally reviewed by our genitourinary pathologists and classified according to recent International Society of Urologic Pathology (ISUP) criteria \cite{24025519}. For both fresh and FFPE tissue, DNA will be extracted from the tumor and adjacent normal kidney using an automated Maxwell 16® System (Promega, Madison, WI). [[$$$ more on sample match - where is TCGA table?]]

**C-4-d Genotyping kidney cancer non-coding genomic variants:**

Frequently, next generation sequencing results find variants that require validation to confirm significance. Moreover, sometimes people self-reported racial classification is not very precise. Here we will genotype people as an aid to more precisely classifying them racially. We will employ similar methods to various other studies involving novel variants found on either exome or whole genome sequencing \cite{20331776, 24301948, 26124221, 26000043}. These studies utilize the MassArray system (Agena Biosciences, San Diego, CA), a mass spectrometry platform that measures PCR-derived amplicons. The system can be multiplexed to analyze a large number of alterations with high sensitivity but a low cost. DNA from FFPE and fresh tissue can be analyzed on the same chip without difficulty. The MassArray system has been utilized to study genomes in the study of cancers, benign conditions, and even ecologic research. This approach has been used in the screening of large case-control series and independent validation cohorts of affected individuals. For the analysis, 20 ng of DNA from genomic control will be used in Yale’s Validation cohort. The 384-subject cohort will have their germline DNA assessed for non-coding variants identified. The MassArray Assay Design Suite will be used for designing custom PCR primers to detect potential germline variants using the genomic coordinates of interest. For genotyping, up to 40 genomic variants can be multiplexed per well per silicon chip. Mutation calls for each tumor and germline sample will be assessed using the MassArray Typer 3.4 Analyzer. Unlike next generation sequencing, the results can be quickly automated and generated into both a graphical or table interactive format.

**C-4-e Tumor profiling for somatic non-coding mutations:** The MassArray system is frequently used for the rapid detection of known or suspected somatic alterations important in cancer \cite{25634010, 26352110}. Panels exist to detect common alterations in specific cancers and are employed for testing at various clinical laboratories. We will perform somatic mutational profiling using the MassArray System that allows multiplexing for up to 15 somatic variants per 384-well chip. The technology can detect variants with as low as 1% mutant allele frequency using a small DNA quantity. A total of 20 ng of total DNA will be obtained from tumor DNA from the Yale Validation Cohort to determine if racial differences exist in somatic non-A Cyberattack and Medical Devices mutations between African-Americans and Caucasians with kidney cancer. Small insertions/deletions or single nucleotide alterations found from the WGS and secondary TCGA data analysis will be assessed in the validation cohort. For somatic variants, the MassArray Assay Design Suite will be used for designing custom PCR primers. Similar to above, mutation calls will be assessed using the MassArray Typer 3.4 Analyzer.

**D. Potential Pitfalls and Alternative Strategies**

# **Figure 7: Workflow for validation of whole genome sequencing findings using Yale cohort of tissue. DNA from formalin-fixed, paraffin embedded tumor tissue and also genomic controls will be amplified, have primer extension, mass spectroscopy detection, and analysis. Germline and somatic, coding and non-coding variants will be validated with a large Yale patient cohort.**

One potential issue we see with our proposal is that, despite our design, we may not find any regions in the validation cohort that are significantly different between races. We have designed the study so that we believe we will have adequate power to detect racial differences but, of course, we will not know until we get to the validation. If after doing the first third of the validations (~185) we are not finding any regions that are significantly different, we have a number of courses of action: (1) We can remove somatic variants from the validation. Validating somatic variants is more expensive than germline ones. Removing them will allow us to validate a potentially larger number of regions. (2) We can focus only on disparities in coding genes as opposed to non-coding regions. There are many more kidney cancer exome sequences done than WGS (by more than an order of magnitude) and coupling this with the much smaller genomic space being queried should substantially increase the power of our analysis. (3) The validation cohort can be expanded to increase power. Currently the Yale Biospecimen Repository is adding 150 new kidney cancer subjects each year. Additionally our close collaborator in the US Kidney Cancer Study has access to a large cohort of genomic DNA in individuals with kidney cancer (843 Caucasians and 358 African-Americans). Finally if needed, the Yale Kidney Cancer Program recently was granted approval from the Connecticut State Tumor Registry to access records and/or tissue from individuals with a diagnosed with kidney cancer from 1998 to present.

**E. Expected Outcomes and Future Directions**

At the conclusion of this research, our analyses will determine candidate coding and non-coding regions associated with papillary and clear cell kidney cancer. We will identify and validate specific germline and somatic alterations that are disparate between African-Americans and Caucasians with kidney cancer. These findings will be an initial step towards understanding the genomic cause of kidney cancer racial disparity and have implications beyond the scope of this project. Understanding inherited predisposition to kidney cancer may have important screening implications in high-risk individuals such as African-Americans. Additionally, racial disparity in candidate driver alterations has the potential to impact how we view treatment in the age of precision-based cancer therapeutics. The findings from this project have far reaching implications, justifying further research beyond the scope of this proposal.