

1 **Title:**

2 Identifying allosteric hotspots with dynamics: application to inter- and intra-species
3 conservation

4
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47 **ABSTRACT**

48 The rapidly growing volume of data being produced by next-generation sequencing
49 initiatives is enabling more in-depth analyses of conservation than previously possible.
50 Deep sequencing is uncovering disease loci and regions under selective constraint,
51 despite the fact that intuitive biophysical reasons for such constraint are sometimes
52 absent. Allostery may often provide the missing explanatory link. We use models of
53 protein conformational change to identify allosteric residues by finding essential surface
54 cavities and information flow bottlenecks, and we develop a software tool
55 (stress.molmovdb.org) that enables users to perform this analysis on their own proteins of
56 interest. Though fundamentally 3D-structural in nature, our analysis is computationally
57 fast, thereby allowing us to run it across the PDB and to evaluate general properties of
58 predicted allosteric residues. We find that these tend to be conserved over diverse
59 evolutionary time scales. Finally, we highlight examples of allosteric residues that help
60 explain poorly understood disease-associated variants.

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68 INTRODUCTION

69 The ability to sequence large numbers of human genomes is providing a much
70 deeper view into protein evolution than previously possible. When trying to understand
71 the evolutionary pressures on a given protein, structural biologists now have at their
72 disposal an unprecedented breadth of data regarding patterns of conservation, both across
73 species and amongst humans. As such, there are greater opportunities to take an
74 integrated view of the context in which a protein and its residues function. This view
75 necessarily includes structural constraints such as residue packing, protein-protein
76 interactions, and stability. However, deep sequencing is unearthing a class of conserved
77 residues on which no obvious structural constraints appear to be acting. The missing link
78 in understanding these regions may be provided by studying the protein's dynamic
79 behavior through the lens of the distinct functional and conformational states within an
80 ensemble.

81 The underlying energetic landscape responsible for the relative distributions of
82 alternative conformations is dynamic in nature: allosteric signals or other external
83 changes may reconfigure and reshape the landscape, thereby shifting the relative
84 populations of states within an ensemble (Tsai *et al.*, 1999). Landscape theory thus
85 provides the conceptual underpinnings necessary to describe how proteins change
86 behavior and shape under changing conditions. A primary driving force behind the
87 evolution of these landscapes is the need to efficiently regulate activity in response to
88 changing cellular contexts, thereby making allostery and conformational change essential
89 components of protein evolution.

90 Given the importance of allosteric regulation, as well as its role in imparting
91 efficient functionality, several methods have been devised for the identification of likely
92 allosteric residues. Conservation itself has been used, either in the context of conserved
93 residues (Panjkovich and Daura, 2012), networks of co-evolving residues (Halabi *et al.*,
94 2009; Lee *et al.*, 2008; Lockless *et al.*, 1999; Reynolds *et al.*, 2011; Shulman *et al.*, 2004;
95 Süel *et al.*, 2003), or local conservation in structure (Panjkovich and Daura, 2010). In
96 related studies, both conservation and geometric-based searches for allosteric sites have
97 been successfully applied to several systems (Capra *et al.*, 2009).

98 The concept of ‘protein quakes’ has been introduced to explain local
99 conformational changes that are essential for global conformation transitions of
100 functional importance (Ansari *et al.*, 1985; Miyashita *et al.*, 2003). These local changes
101 cause strain within the protein that is relieved by subsequent relaxations (which are also
102 termed functionally important motions) that terminate when the protein reaches the
103 second equilibrium state. Such local perturbations often end with large conformational
104 changes at the focal points of allosteric regulation, and these motions may be identified in
105 a number of ways, including modified normal modes analysis (Miyashita *et al.*, 2003) or
106 time-resolved X-ray scattering (Arnlund *et al.*, 2014).

107 In addition to conservation and geometry, protein dynamics have also been used
108 to predict allosteric residues. Normal modes analysis has been used to examine the extent
109 to which bound ligands interfere with low-frequency motions, thereby identifying
110 potentially important residues at the surface (Ming and Wall, 2005; Mitternacht and
111 Berezovsky, 2011; Panjkovich and Daura, 2012). Normal modes have also been used by
112 the Bahar group to identify important subunits that act in a coherent manner for specific

113 proteins (Chennubhotla and Bahar, 2006; Yang and Bahar, 2005). Rodgers *et al.* have
114 applied normal modes to identify key residues in CRP/FNR transcription factors
115 (Rodgers *et al.*, 2013).

116 With the objective of identifying allosteric residues within the interior, molecular
117 dynamics (MD) simulations and network analyses have been used to identify residues
118 that may function as internal allosteric bottlenecks (Csermely *et al.*, 2013; Gasper *et al.*,
119 2012; Rousseau and Schymkowitz, 2005; Sethi *et al.*, 2009; Vanwart *et al.*, 2012). Ghosh
120 *et al.* (2008) have taken a novel approach of combining MD and network principles to
121 characterize allosterically important communication between domains in methionyl
122 tRNA synthetase. In conjunction with NMR, Rivalta *et al.* have use MD and network
123 analysis to identify important regions in imidazole glycerol phosphate synthase (Rivalta
124 *et al.*, 2012).

125 Though having provided valuable insights, many of these approaches have been
126 limited in terms of scale (the numbers of proteins which may feasibly be investigated),
127 computational demands, or the class of residues to which the method is tailored (surface
128 or interior). Here, we use models of protein conformational change to identify both
129 surface and interior residues that may act as essential allosteric hotspots in a
130 computationally tractable manner, thereby enabling high-throughput analysis. This
131 framework directly incorporates information regarding 3D protein structure and
132 dynamics, and it can be applied on a PDB-wide scale to proteins that exhibit
133 conformational change. Throughout the PDB (Berman *et al.*, 2000), the residues
134 identified tend to be conserved both across species and amongst humans, and they may
135 help to elucidate many of the otherwise poorly understood regions in proteins. In a

136 similar vein, several of our identified sites correspond to human disease loci for which no
137 clear mechanism for pathogenesis had previously been proposed. Finally, we make the
138 software associated with this framework (termed STRESS, for STRucturally-identified
139 ESSential residues) publically available through a tool to enable users to submit their
140 own structures for analysis.

141

142 **RESULTS**

143 **Identifying Potential Allosteric Residues**

144 Allosteric residues at the surface generally play a regulatory role that is
145 fundamentally distinct from that of allosteric residues within the protein interior. While
146 surface residues often constitute the sources or sinks of allosteric signals, interior residues
147 act to transmit such signals. We use models of protein conformational change to identify
148 both classes of residues (Figure 1). Throughout, we term these potential allosteric
149 residues at the surface and interior “surface-critical” and “interior-critical” residues,
150 respectively.

151 In order to gauge the effectiveness of our approach, we identified and analyzed
152 critical residues within a set of 12 well-studied canonical systems (see Figure S1, as well
153 as Table S1 for rationale). We then apply this protocol on a large scale across hundreds of
154 proteins for which crystal structures of alternative conformations are available.

155

156 **Identifying Surface-Critical Residues**

157 Allosteric ligands often act by binding to surface cavities and modulating protein
158 conformational dynamics. The surface-critical residues, some of which may act as latent
159 ligand binding sites and active sites, are first identified by finding cavities using Monte
160 Carlo simulations to probe the surface with a flexible ligand (Figure 1A, top-left). The
161 degree to which cavity occlusion by the ligand disrupts large-scale conformational
162 change is used to assign a score to each cavity – sites at which ligand occlusion strongly
163 interferes with conformational change earn high scores (Figure 1A, top-right), whereas
164 shallow pockets (Figure 1A, bottom-left) or sites at which large-scale motions are largely
165 unaffected (Figure 1A, bottom-right) earn lower scores. Further details are provided in SI
166 Methods section 3.1-a.

167 This approach is a modified version of the binding leverage framework
168 introduced by Mitternacht and Berezovsky (Mitternacht and Berezovsky, 2011). The
169 main modifications implemented here include the use of heavy atoms in the protein
170 during the Monte Carlo search, in addition to an automated means of thresholding the list
171 of ranked scores. These modifications were implemented to provide a more selective set
172 of sites; without them, a very large fraction of the protein surface would be occupied by
173 critical sites (Figure S2C). Within our dataset of proteins exhibiting alternative
174 conformations, we find that this modified approach results in an average of ~2 distinct
175 sites per domain (Figure S2A; see Figure S2B for the distribution for distinct sites within
176 entire complexes).

177 Within the canonical set of 12 proteins, we positively identify an average of 56%
178 of the sites known to be directly involved in ligand or substrate binding (see Table 1,
179 Figure S1, and SI Methods section 3.1-a-iv). Some of the sites identified do not directly

180 overlap with known binding regions, but we often find that these “false positives”
181 nevertheless exhibit some degree of overlap with binding sites (Table S2). In addition,
182 those surface-critical sites that do not match known binding sites may nevertheless
183 correspond to latent allosteric regions: even if no known biological function is assigned
184 to such regions, their occlusion may nevertheless disrupt hitherto unfound large-scale
185 motions.

186

187 **Dynamical Network Analysis to Identify Interior-Critical Residues**

188 The binding leverage framework described above is intended to capture hotspot
189 regions at the protein surface, but the Monte Carlo search employed is *a priori* excluded
190 from the protein interior. Allosteric residues often act within the protein interior by
191 functioning as essential information flow ‘bottlenecks’ within the communication
192 pathways between distant regions.

193 To identify such bottleneck residues, the protein is first modeled as a network,
194 wherein residues represent nodes and edges represent contacts between residues (in much
195 the same way that the protein is modeled as a network in constructing anisotropic
196 network models, see below). In this regard, the problem of identifying interior-critical
197 residues is reduced to a problem of identifying nodes that participate in network
198 bottlenecks (see Figure 1B and SI Methods section 3.1-b for details). Briefly, the network
199 edges are first weighted by the degree of strength in the correlated motions of contacting
200 residues: a strong correlation in the motion between contacting residues implies that
201 knowing how one residue moves better enables one to predict the motion of the other,
202 thereby suggesting a strong information flow between the two residues. The weights are

203 used to assign ‘effective distances’ between connecting nodes, with strong correlations
204 resulting in shorter effective node-node distances.

205 Using the motion-weighted network, “communities” of nodes are identified using
206 the Girvan-Newman formalism (Girvan *et al.*, 2002). This formalism entails calculating
207 the betweenness of each edge, where the betweenness of a given edge is defined as the
208 number of shortest paths between all pairs of residues that pass through that edge (each
209 path length is the sum of that path’s effective node-node distances assigned in the
210 weighting scheme above). Each community identified is a group of nodes such that each
211 node within the community is highly inter-connected, but loosely connected to other
212 nodes outside the community. Communities are thus densely inter-connected regions
213 within proteins. As tangible examples, the community partitions and the resultant critical
214 residues for the canonical set are given in Figure 2.

215 Those residues that are involved in the highest-betweenness edges between pairs
216 of interacting communities are identified as the interior-critical residues. These residues
217 are essential for information flow between communities, as their removal would result in
218 substantially longer paths between the residues of one community to those of another.

219

220 **Software Tool: STRESS (STRucturally-identified ESSential residues)**

221 We have made the implementations for finding surface- and interior-critical
222 residues available through a new software tool, STRESS, which may be accessed at
223 stress.molmovdb.org (Figure 3A). Users may submit a PDB file or a PDB ID
224 corresponding to a structure to be analyzed, and the output provided constitutes the set of
225 identified critical residues.

226 Running times are minimized by using a scalable server architecture that runs on
227 the Amazon cloud (Figure 3D). A light front-end server handles incoming user requests,
228 and more powerful back-end servers, which perform the calculations, are automatically
229 and dynamically scalable, thereby ensuring that they can handle varying levels of demand
230 both efficiently and economically. In addition, the algorithmic implementation of our
231 software is highly efficient, thereby obviating the need for long wait times. Relative to a
232 naïve global Monte Carlo search implementation, local searches supported with hashing
233 and additional algorithmic optimizations for computational efficiency reduce running
234 times considerably (Figures 3B and 3C). A typical protein of ~500 residues takes only
235 about 30 minutes on a 2.6GHz CPU.

236

237 **High-Throughput Identification of Alternative** 238 **Conformations**

239 We use a generalized approach to systematically identify instances of alternative
240 conformations throughout the PDB. We first perform multiple structure alignments
241 (MSAs) across sequence-identical structures that are pre-filtered to ensure structural
242 quality. We then use the resultant pairwise RMSD values to infer distinct conformational
243 states (Figure S3; see also SI Methods section 3.2).

244 The distributions of the resultant numbers of conformations for domains and
245 chains are given in Figures S3D and S3E, respectively, and an overview is given in
246 Figure S3F. We note that the alternative conformations identified arise in an extremely
247 diverse set of biological contexts, including conformational transitions that accompany

248 ligand binding, protein-protein or protein-nucleic acid interactions, post-translational
249 modifications, changes in oxidation or oligomerization states, etc. The dataset of
250 alternative conformations identified is provided as a resource in File S1 (see also Figure
251 S3G).

252

253 **Evaluating Conservation of Critical Residues**

254 **Using Various Metrics and Sources of Data**

255 The large dataset of dynamic proteins culled throughout the PDB, coupled with
256 the high algorithmic efficiency of our critical residue search implementation, provide a
257 means of identifying and evaluating general properties of a large pool of critical residues.
258 In particular, we use a variety of conservation metrics and data sources to measure the
259 inter- and intra-species conservation of the residues within this pool. As discussed below,
260 we find that both surface- (Figures 4A-D) and interior-critical residues (Figures 4E-H)
261 are consistently more conserved than non-critical residues. We emphasize that the
262 signatures of conservation identified not only provide a means of rationalizing many of
263 the otherwise poorly understood regions of proteins, but they also reinforce the functional
264 importance of the residues predicted to be allosteric.

265

266 **Conservation Across Species**

267 When evaluating conservation across species, we find that both surface- and
268 interior-critical residues tend to be significantly more conserved than non-critical residues
269 with the same degree of burial (Figures 4B and 4F, respectively; note that negative
270 conservation scores designate stronger conservation – see SI Methods section 3.3-a).

271

272 **Leveraging Next-Generation Sequencing to Measure Conservation Amongst**
273 **Humans**

274 In addition to measuring inter-species conservation, we have also used fully
275 sequenced human genomes and exomes to investigate conservation among human
276 populations, as many constraints may be species-specific and active in more recent
277 evolutionary history. Commonly used metrics for quantifying intra-species conservation
278 include minor allele frequency (MAF) and derived allele frequency (DAF). Low MAF or
279 DAF values are interpreted as signatures of deleteriousness, as purifying selection is
280 prone to reduce the frequencies of harmful variants (see SI Methods section 3.3-b).

281 Non-synonymous single-nucleotide variants (SNVs) from the 1000 Genomes
282 dataset (McVean *et al.*, 2012) that intersect surface-critical residues tend to occur at
283 lower DAF values than do SNVs that intersect non-critical residues (Figure 4C). Though
284 this difference is not observed to be significant, the significance improves when
285 examining the shift in DAF distributions, as evaluated with a KS test ($p=0.159$, Figure
286 S4A), and we point out only a limited number of proteins (thirty-two) for which these
287 1000 Genomes SNVs intersect with surface-critical sites. Furthermore, the long tail
288 extending to lower DAF values for surface-critical residues may suggest that only a
289 subset of the residues in our prioritized binding sites is essential. In contrast to surface-
290 critical residues, however, interior-critical residues intersect 1000 Genomes SNVs with
291 significantly lower DAF values than do non-critical residues (Figure 4G; see also Figure
292 S4B).

293 **[[DC2MG(dec18): The paragraph added below was introduced after you**
294 **suggested that we discuss the stats issue in the most recent annotated PDF. Another**

295 option might be to put this text in the Discussion instead of the Results, but I don't feel
296 too strongly either way]] When analyzing human polymorphism data, a variety of
297 statistical measures relating SNVs to selective constraint may be calculated, and the
298 results obtained (along with their associated significance levels) are highly dependent on
299 sample size. 1000 Genomes datasets are attractive partially because of their status as a
300 well-established "blue chip" set of variants in human populations. However, given the
301 relatively limited number of proteins that intersect with 1000 Genomes SNVs, we also
302 analyzed the larger dataset provided by the Exome Aggregation Consortium (ExAC)
303 (Exome Aggregation Consortium, 2015). Though this dataset has been released much
304 more recently (and is consequently not yet as well established as 1000 Genomes), ExAC
305 provides sequence data from more than 60,000 individuals, and samples are sequenced at
306 much higher coverage, thereby ensuring better data quality. This larger dataset enables us
307 to more easily examine trends in the data as they relate to critical and non-critical
308 residues.

309 Using MAF as a conservation metric, we performed a similar analysis using this
310 data. MAF distributions for surface- and non-critical residues in the same set of proteins
311 are given in Figure 4D. Although the mean value of the MAF distribution for surface-
312 critical residues is slightly higher than that of non-critical residues, the median for
313 surface-critical residues is substantially lower than that for non-critical residues,
314 demonstrating that the majority of proteins are such that MAF values are lower in
315 surface- than in non-critical residues. In addition, the overall shifts of these distributions
316 also point to a trend of lower MAF values in surface-critical residues (Figure S5A, KS
317 test $p=9.49e-2$).

318 Interior-critical residues exhibit significantly lower MAF values than do non-
319 critical residues in the same set of proteins. MAF distributions for interior- and non-
320 critical residues are given in Figure 4H (see also Figure S5B).

321 In addition to analyzing overall allele frequency distributions, we also evaluate
322 the *fraction* of rare alleles as a metric for measuring selective pressure. This fraction is
323 defined as the ratio of the number of rare (i.e., low-DAF or low-MAF) non-synonymous
324 SNVs to the number of all non-synonymous SNVs in a given protein annotation (such as
325 all surface-critical residues of the protein, for example; see SI Methods section 3.3-b). A
326 higher fraction is interpreted as a proxy for greater conservation (Khurana *et al.*, 2013;
327 Sethi *et al.*, 2015). Using variable DAF (MAF) cutoffs to define rarity for 1000 Genomes
328 (ExAC) SNVs, both surface- and interior-critical residues are shown to harbor a higher
329 fraction of rare alleles than do non-critical residues, further suggesting a greater degree of
330 evolutionary constraint on critical residues (See Figure 5).

331

332 **Comparisons Between Different Models of Protein Motions**

333 The identification of surface- and interior-critical residues entails using sets of
334 vectors (on each protein residue) to describe conformational change. Notably, our
335 framework enables one to determine these vectors in multiple ways. Conformational
336 changes may be modeled using vectors connecting residues in crystal structures from
337 alternative conformations. We term this approach “ACT”, for “absolute conformational
338 transitions” (see SI Methods section 3.2-c). The crystal structures of such paired
339 conformations may be obtained using the framework discussed above. The protein
340 motions may also be inferred from anisotropic network models (ANMs) (Atilgan *et al.*,
341 2001). ANMs entail modeling interacting residues as nodes linked by flexible springs, in

342 a manner similar to elastic network models (Fuglebakk *et al.*, 2015; Tirion, 1996) or
343 normal modes analysis (Figure 1B). ANMs are not only simple and straightforward to
344 apply on a database scale, but unlike using alternative crystal structures, the motion
345 vectors inferred may be generated using a single structure.

346 We find that modeling conformational change using vectors from either ACTs or
347 ANMs gives the same general trends in terms of the disparities in conservation between
348 critical and non-critical residues. Our framework is thus general with respect to how the
349 motion vectors are obtained (see Figure 6 and SI Methods section 3.2-c for further
350 details).

351

352 **Critical Residues in the Context of Human Disease Variants**

353 Directly related to conservation is confidence with which an SNV is believed to
354 be disease-associated. SIFT (Ng and Henikoff, 2001) and PolyPhen (Adzhubei *et al.*,
355 2010) are two tools for predicting SNV deleteriousness. ExAC SNVs that intersect
356 critical residues exhibit significantly higher PolyPhen scores relative to non-critical
357 residues, suggesting the potentially higher disease susceptibility at critical residues
358 (Figure S6). Significant disparities were not observed in SIFT scores (Figure S7).

359 Using HGMD (Stenson *et al.*, 2014) and ClinVar (Landrum *et al.*, 2014), we
360 identify proteins with critical residues that coincide with disease-associated SNVs (Figure
361 7A and File S2). Several critical residues coincide with known disease loci for which the
362 mechanism of pathogenicity is otherwise unclear (File S3). The fibroblast growth factor
363 receptor (FGFR) is a case-in-point (Figure 7). SNVs in FGFR have been linked to
364 craniofacial defects. Dotted lines in Figure 7B highlight poorly understood disease SNVs
365 that coincide with critical residues. In addition, we identify Y328 as a surface-critical

366 residue, which coincides with a disease-associated SNV from HGMD, despite the lack of
367 confident predictions of deleteriousness by several widely used tools for predicting
368 disease-associated SNVs, including PolyPhen (Adzhubei *et al.*, 2010), SIFT (Ng and
369 Henikoff, 2001), and SNPs&GO (Calabrese *et al.*, 2009). Together, these results suggest
370 that the incorporation of surface- and interior-critical residues introduces a valuable layer
371 of annotation to the protein sequence, and may help to explain otherwise poorly
372 understood disease-associated SNVs.

373

374 **DISCUSSION & CONCLUSIONS**

375 The same principles of energy landscape theory that dictate protein folding are
376 integral to how proteins explore different conformations once they adopt their fully
377 folded states. These landscapes are shaped not only by the protein sequence itself, but
378 also by extrinsic conditions. Such external factors often regulate protein activity by
379 introducing allosteric-induced changes, which ultimately reflect changes in the shapes
380 and population distributions of the energetic landscape. In this regard, allostery provides
381 an ideal platform from which to study protein behavior in the context of their energetic
382 landscapes. To investigate allosteric regulation, and to simultaneously add an extra layer
383 of annotation to conservation patterns, an integrated framework to identify potential
384 allosteric residues is essential. We introduce a framework to select such residues, using
385 knowledge of conformational change.

386 When applied to many proteins with distinct conformational changes in the PDB,
387 we investigate the conservation of potential allosteric residues in both inter-species and
388 intra-human genomes contexts, and find that these residues tend to exhibit greater

389 conservation in both cases. In addition, we identify several disease-associated variants for
390 which plausible mechanisms had been unknown, but for which allosteric mechanisms
391 provide a reasonable rationale.

392 Unlike the characterization of many other structural features, such as secondary
393 structure assignment, residue burial, protein-protein interaction interfaces, disorder, and
394 even stability, allostery inherently manifests through dynamic behavior. It is only by
395 considering protein motions and changes in these motions can a fuller understanding of
396 allosteric regulation be realized. As such, MD and NMR are some of the most common
397 means of studying allostery and dynamic behavior (Kornev and Taylor, 2015). However,
398 these methods have limitations when studying large and diverse protein datasets. MD is
399 computationally expensive and impractical when studying large numbers of proteins.
400 NMR structure determination is extremely labor-intensive and better suited to certain
401 classes of structures or dynamics. In addition, NMR structures constitute a relatively
402 small fraction of structures currently available.

403 Despite these limitations in MD and NMR, allosteric mechanisms and signaling
404 pathways may be conserved across many different but related proteins within the same
405 family, suggesting that such computationally- or labor-intensive approaches for all
406 proteins may not be entirely essential. Flock *et al.* have carefully demonstrated that the
407 allosteric mechanisms responsible for regulating G proteins through GPCRs tend to be
408 conserved (Flock *et al.*, 2015). Investigations into representative families have also been
409 enlightening in other contexts. In one of the early studies employing network analysis,
410 del Sol *et al.* conduct a detailed study of several allosteric protein families (including
411 GPCRs) to demonstrate that residues important for maintaining the integrity of short

412 paths within residue contact networks are essential to enabling signal transmission
413 between distant sites (del Sol *et al.*, 2006). Another notable result in the same work is that
414 these key residues (which match experimental results) may become redistributed when
415 the protein undergoes conformational change, thereby changing optimal communication
416 routes as a means of conferring different regulatory properties.

417 There are several notable implications of our dynamics-based analysis across a
418 database of proteins. Relative to sequence data, allostery and dynamic behavior are far
419 more difficult to evaluate on a large scale. The framework described here enables one to
420 evaluate dynamic behavior in a systemized and efficient way across many proteins, while
421 simultaneously capturing residues on both the surface and within the interior. That this
422 pipeline can be applied in a high-throughput manner enables the investigation of system-
423 wide phenomena, such as the roles of potential allosteric hotspots in protein-protein
424 interaction networks.

425 It is only by analyzing a large dataset of proteins can one investigate general
426 trends in predicted allosteric residues. In addition, the implementation detailed here
427 enables one to match structural features with the high-throughput data generated through
428 deep sequencing initiatives, which are providing an unprecedented window into
429 conservation patterns, many of which may be human-specific.

430 We anticipate that, within the next decade, deep sequencing will enable structural
431 biologists to study evolutionary conservation using sequenced human exomes just as
432 routinely as cross-species alignments. Furthermore, intra-species metrics for conservation
433 provide added value in that the confounding factors of cross-species comparisons are
434 removed: different species evolve in various evolutionary contexts and at different rates,

435 and it can be difficult to decouple these different effects from one another. Cross-species
436 metrics of protein conservation entail comparisons between proteins that may be very
437 different in structure and function. Sequence-variable regions across species may not be
438 conserved, but nevertheless impart essential functionality. Intra-species comparisons,
439 however, can often provide a more direct and sensitive evaluation of constraint.

440 In particular, selective constraints within human populations are particularly
441 relevant to understanding human disease. Formalisms for analyzing large structural and
442 sequence datasets will become increasingly important in the context of human health. We
443 anticipate that the framework and formalisms detailed here, along with the accompanying
444 web tool we have introduced, will help to further motivate future studies along these
445 directions.

446

447 **METHODS**

448 An overview of the framework for finding surface- and interior-critical residues is
449 given in Figure 1. Figure S3 provides a schematic of our pipeline for identifying
450 alternative conformations throughout the PDB. Cross-species conservation scores were
451 analyzed in those PDBs for which full ConSurf files are available through the ConSurf
452 server. 1000 Genomes SNVs were taken from the Phase 3 release, and ExAC SNVs were
453 downloaded in May 2015. Further details on all protocols are provided in SI Methods.

454

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462

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585
586

587 CAPTIONS

588 **Figure 1. Schematic overviews of methods for finding surface- and interior-critical**
589 **residues.** (A) A simulated ligand probes the protein surface in a series of Monte Carlo
590 simulations (top-left). The cavities identified may be such that occlusion by the ligand
591 strongly interferes with conformational change (top-right; such a site is likely to be
592 identified as surface-critical, in red), or they may have little effect on conformational
593 change, as in the case of shallow pockets (bottom-left) or pockets in which large-scale

594 motions do not drastically affect pocket volume (bottom-right). (B) Interior-critical
595 residues are identified by weighting residue-residue contacts (edges) on the basis of
596 correlated motions, and then identifying communities within the weighted network.
597 Residues involved in the highest-betweenness interactions between communities (in red)
598 are selected as interior-critical residues.

599

600 **Figure 2. Community partitioning for canonical systems.** Different network
601 communities are colored differently, and communities were identified using the
602 dynamical network-based analysis with the GN formalism discussed in the main text and
603 in SI Methods section 3.1-b. Residues shown as spheres are interior-critical residues, and
604 they are colored based on community membership, and black lines connecting pairs of
605 critical residues represent the highest-betweenness edges between the corresponding
606 communities.

607

608 **Figure 3. STRESS web server front page, running times, and server architecture.**

609 (A) The server enables users to either provide PDB IDs or to upload their own PDB files
610 for proteins of interest. Users may opt to identify surface-critical residues, interior-critical
611 residues, or both. (B) Running times are shown for systems of various sizes. Shown in
612 red are the running times without optimizing for speed, and green shows running times
613 with algorithmic optimization. (C) The same data is represented as a log-log plot. The
614 slopes of these two approaches demonstrate that our algorithm reduces the computational
615 complexity by an order of magnitude. Our speed-optimized algorithm scales at $O(n^{1.3})$,
616 where n is the number of residues. (D) A thin front-end server handles incoming user

617 requests, and more powerful back-end servers perform the heavier algorithmic
618 calculations. The back-end servers are dynamically scalable, making them capable of
619 handling wide fluctuations in user demand. Amazon's Simple Queue Service is used to
620 coordinate between user requests at the front end and the back-end compute nodes: when
621 the front-end server receives a request, it adds the job to the queue, and back-end servers
622 pull that job from the queue when ready. Source code is available through Github
623 (github.com/gersteinlab/STRESS).

624

625 **Figure 4. Multiple metrics and datasets reveal that critical residues tend to be**
626 **conserved.** Surface- and interior-critical residues (red) in phosphofructokinase (PDB
627 3PFK) are given in (A) and (E), respectively. Distributions of cross-species conservation
628 scores, 1000 Genomes SNV DAF averages, and ExAC SNV MAF averages for surface-
629 and non-critical residue sets are given in (B), (C), and (D), respectively. The same
630 distributions corresponding to interior- and non-critical residue sets are given in (F), (G),
631 and (H), respectively. In (B), mean inter-species conservation scores for surface-critical
632 sets are -0.131, whereas non-critical residue sets with the same degree of burial have a
633 mean score of +0.059 ($p < 2.2e-16$). In (F), mean inter-species conservation scores for
634 interior-critical sets are -0.179, whereas non-critical residue sets with the same degree of
635 burial have a mean score of -0.102 ($p=3.67e-11$). In (C), means for surface- and non-
636 critical sets are $9.10e-4$ and $8.34e-4$, respectively ($p=0.309$); corresponding means in (D)
637 are $4.09e-04$ and $2.26e-04$, respectively ($p=1.49e-3$). In (G), means for interior- and non-
638 critical sets are $2.82e-4$ and $3.12e-3$, respectively ($p=1.80e-05$); corresponding means in
639 (H) are $3.08e-05$ and $3.27e-04$, respectively ($p=7.98e-09$). N = 421, 32, 84, 517, 31, and

640 90 structures for panels B, C, D, F, G, and H, respectively. P-values are based on
641 Wilcoxon-rank sum tests. See SI Methods for further details.

642

643 **Figure 5. Critical residues are shown to be more conserved, as measured by the**
644 **fraction of rare alleles.** Protein regions with high fractions of *rare* variants are believed
645 to be more sensitive to sequence variants than other regions, thereby explaining why such
646 variants occur infrequently in the population. Panels (A) and (C) show distributions for
647 rare (low DAF) non-synonymous SNVs (taken from the 1000 Genomes dataset) in which
648 the critical residues are defined to be the surface-critical (A) and interior-critical (C)
649 residues. Panels (B) and (D) show distributions for rare (low MAF) non-synonymous
650 SNVs (taken from the ExAC dataset) in which the critical residues are defined to be the
651 surface-critical (B) and interior-critical (D) residues. For varying thresholds to define
652 rarity, there are more structures in which the fraction of rare variants is higher in critical
653 residues than in non-critical residues. Cases in which the fraction is equal in both
654 categories are not shown. We consider all structures such that at least one critical and at
655 least one non-critical residue intersect a non-synonymous SNV. Panels (A), (B), (C), and
656 (D) represent data from 31, 90, 32, and 84 structures, respectively.

657

658 **Figure 6. Modeling protein conformational change through a direct use of crystal**
659 **structures from alternative conformations using absolute conformational transitions**
660 **(ACT).** (A) Distributions (155 structures) of the mean conservation scores on surface-
661 critical (red) and non-critical residues with the same degree of burial (blue). (B)
662 Distributions (159 structures) of the mean conservation scores for interior-critical (red)

663 and non-critical residues with the same degree of burial (blue). Mean values are given in
664 parentheses. Results for single-chain proteins are shown, and p-values were calculated
665 using a Wilcoxon rank sum test.

666

667 **Figure 7. Potential allosteric residues add a layer of annotation to structures in the**

668 **context of disease-associated SNVs.** The structure shown (*A*) is that of the fibroblast

669 growth-factor receptor (FGFR) in VMD Surf rendering, with HGMD SNVs shown in

670 orange, bound to FGF2, in ribbon rendering (PDB 1IIL). (*B*) A linear representation of

671 structural annotation for FGFR. Dotted lines highlight loci which correspond to HGMD

672 sites that coincide with critical residues, but for which other annotations fail to coincide.

673 Deeply-buried residues are defined to be those that exhibit a relative solvent-exposed

674 surface area of 5% or less, and binding site residues are defined as those for which at

675 least one heavy atom falls within 4.5 Angstroms of any heavy atom in the binding partner

676 (heparin-binding growth factor 2). The loci of PTM sites were taken from UniProt

677 (accession P21802).

678

679 **Table 1. Statistics on the surfaces of *apo* structures within the canonical set of**

680 **proteins**

681 For each *apo* structure within the canonical set of proteins, statistics relating surface-

682 critical sites to known ligand-binding sites are reported. The surface of a given structure

683 is defined to be the set of all residues that have a relative solvent accessibility of at least

684 50%, where relative solvent accessibility is evaluated using all heavy atoms in both the

685 main-chain and side-chain of a given residue. Mean values are given in the bottom row.

686 NACCESS is used to calculate relative solvent accessibility (Hubbard and Thornton,
687 1993) . *Column 1*: PDB IDs for each structure; *Column 2*: among these surface residues,
688 the fraction that constitute surface-critical residues; *Column 3*: among surface residues,
689 the fraction that constitute known ligand-binding residues (known ligand-binding
690 residues are taken to be those within 4.5 Angstroms of the ligand in the *holo* structure;
691 Table S1); *Column 4*: the Jaccard similarity between the sets of residues represented in
692 columns 2 and 3 (i.e., surface-critical and known-ligand binding residues), where values
693 given in parentheses represent the expected Jaccard similarity, given a null model in
694 which surface-critical and ligand-binding residues are randomly distributed throughout
695 the surface (for each structure, 10,000 simulations are performed to produce random
696 distributions, and the expected values reported here constitute the mean Jaccard similarity
697 among the 10,000 simulations for each structure); *Column 5*: the number of distinct
698 surface-critical sites identified in each structure; *Column 6*: the number of known ligand-
699 binding sites in each structure; *Column 7*: the number of known ligand-binding sites
700 which are positively identified within the set of surface-critical sites, where a positive
701 match occurs if a majority of the residues in a surface-critical site coincide with the
702 known ligand-binding site; *Column 8*: The fraction of ligand-binding sites captured is
703 simply the ratio of the values in column 7 to those in column 6.
704