

1 **Title:**

2 Predicting Allosteric Hotspots Using Dynamics-Based Formalisms with Sequence  
3 Analyses Across Diverse Evolutionary Timescales

4  
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# 43 ABSTRACT

44 The rapidly growing volume of data being produced by next-generation sequencing  
45 initiatives is enabling more in-depth analyses of conservation than previously possible.  
46 Deep sequencing is uncovering disease loci and regions under selective constraint,  
47 despite the fact that intuitive biophysical reasons for such constraint are sometimes  
48 unavailable. Allostery may often provide the missing explanatory link. We use models of  
49 protein conformational change to identify allosteric residues by predicting essential  
50 surface cavities or information flow bottlenecks, and we develop a software tool  
51 (stress.molmovdb.org) that enables users to perform this analysis on their own proteins of  
52 interest. Though fundamentally 3D-structural in nature, this software is computationally  
53 fast, thereby allowing us to run it across the PDB and to evaluate general properties of the  
54 predicted allosteric residues, which tend to be conserved over long and short evolutionary  
55 time scales. We highlight examples in which allosteric residues can help explain poorly  
56 understood disease-associated variants.

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## 89 INTRODUCTION

90           The ability to sequence large numbers of human genomes is providing a much  
91 deeper view into protein evolution. When trying to understand the evolutionary pressures  
92 on a given protein, structural biologists now have at their disposal an unprecedented  
93 breadth of data regarding patterns of conservation, both across species and amongst  
94 humans. As such, there are greater opportunities to take a more integrated view of the  
95 context in which a protein and its residues function. This integrated view necessarily  
96 includes structural constraints such as residue packing, protein-protein interactions, and  
97 stability. However, deep sequencing is unearthing a class of conserved residues on which  
98 no obvious structural constraints appear to be acting. The missing link in understanding  
99 these regions may often be provided by considering the protein's dynamic behavior and  
100 distinct functional states within an ensemble.

101           The underlying energetic landscape responsible for the relative distributions of  
102 alternative conformations is dynamic in nature: allosteric signals or other external  
103 changes may reconfigure and reshape the landscape, thereby shifting the relative  
104 populations of states within an ensemble (Tsai et al., 1999). Landscape theory thus  
105 provides the conceptual underpinnings necessary to describe how proteins change  
106 behavior and shape under changing conditions. A primary driving force behind the  
107 evolution of these landscapes is the need to efficiently regulate activity in response to  
108 changing cellular contexts, thereby making allostery and conformational change essential  
109 components of protein evolution.

110           Given the importance of allosteric regulation, as well as the role of allostery in  
111 imparting efficient functionality, several methods have been devised for the identification  
112 of likely allosteric residues. Conservation itself has been used, either in the context of  
113 conserved residues (Panjkovich and Daura, 2012), networks of co-evolving residues  
114 (Halabi et al., 2009; Lee et al., 2008; Lockless et al., 1999; Reynolds et al., 2011;  
115 Shulman et al., 2004; Süel et al., 2003), or local conservation in structure (Panjkovich  
116 and Daura, 2010). In related studies, both conservation and geometric-based searches for  
117 allosteric sites have been successfully applied to several systems (Capra et al., 2009). A  
118 number of methods employing support vector machines have also been described (Huang  
119 and Schroeder, 2006; Huang et al., 2013). Normal modes analysis, coupled with ligands  
120 of varying size, have been used to examine the extent to which bound ligands interfere  
121 with low-frequency motions, thereby identifying potentially important residues at the  
122 surface (Ming and Wall, 2005; Mitternacht and Berezovsky, 2011; Panjkovich and  
123 Daura, 2012).

124           The concept of ‘protein quakes’ has been introduced to explain local regions of  
125 proteins that are essential for conformation transitions (Miyashita et al., 2003). A protein  
126 may relieve the strain of a high-energy configuration by local structural changes. Such  
127 local changes often occur at the focal points of allosteric regulation, and these regions  
128 may be identified in a number of ways, including modified normal modes analysis  
129 (Miyashita et al., 2003) or time-resolved X-ray scattering (Arnlund et al., 2014).

130           Normal modes have also been used by the Bahar group to identify important  
131 subunits that act in a coherent manner for specific proteins (Chennubhotla and Bahar,  
132 2006; Yang and Bahar, 2005). Rodgers *et al* have applied normal modes to identify key

133 residues in CRP/FNR transcription factors (Rodgers et al., 2013). Molecular dynamics  
134 (MD) and network analyses have been used to identify interior residues that may function  
135 as allosteric bottlenecks (Csermely et al., 2013; Gasper et al., 2012; Rousseau and  
136 Schymkowitz, 2005; Sethi et al., 2009; Vanwart et al., 2012). [Along similar lines, Ghosh  
137 et al. have taken a novel approach of combining MD and network principles to  
138 characterize allosterically important inter-domain communication in methionyl tRNA  
139 synthetase \(Ghosh et al., 2008\).](#) In conjunction with NMR, Rivalta *et al* use MD and  
140 network analysis to identify important regions in imidazole glycerol phosphate synthase  
141 (Rivalta et al., 2012).

142         Though having provided valuable insights, many of these approaches may be  
143 limited in terms of scale (the numbers of proteins which may feasibly be investigated),  
144 computational demands, or the class of residues to which the method is tailored (surface  
145 or interior). Using models of protein conformational change, we identify both surface and  
146 interior residues that may act as essential allosteric regions in a computationally tractable  
147 manner, thereby enabling high-throughput analysis. This framework directly incorporates  
148 information regarding protein structure and dynamics, and it is applied to proteins  
149 throughout the PDB (Berman et al., 2000) that exhibit conformational change. The  
150 relatively greater conservation of the residues identified (both across species and amongst  
151 humans) may help to elucidate many of the otherwise poorly understood regions in  
152 proteins. In a similar vein, several of our identified sites correspond to human disease loci  
153 for which no clear mechanism for pathogenesis had previously been proposed. Finally,  
154 our framework (termed STRESS, for STRucturally-identified ESSential residues) is made  
155 available through a tool to enable users to submit their own structures for analysis.

156

157

# RESULTS

158

## Identifying Potential Allosteric Residues

159

Allosteric residues at the surface generally play a regulatory role that is

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fundamentally distinct from that of allosteric residues within the protein interior. While

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surface residues may often constitute the sources or sinks of allosteric signals, interior

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residues act to transmit such signals. We use models of protein conformational change in

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an attempt to identify both classes of residues (Figure 1). Throughout, we term these

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potential allosteric residues at the surface and interior “surface-critical” and “interior-

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critical” residues, respectively. Critical residues are first identified in a set of 12 well-

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studied canonical systems (see Figure S1, as well as Table S1 for rationale), and they are

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then identified on a large scale across hundreds of distinct proteins.

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### Identifying Surface-Critical Residues

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Allosteric ligands often act by binding to surface cavities and modulating protein

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conformational dynamics. The surface-critical residues, some of which may act as latent

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ligand binding sites and active sites, are first identified by finding cavities using Monte

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Carlo simulations to probe the surface with a flexible ligand (Figure 1A, top-left). The

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degree to which cavity occlusion by the ligand disrupts large-scale conformational

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change is used to assign a score to each cavity – sites at which ligand occlusion strongly

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interfere with conformational change earn high scores (Figure 1A, top-right), whereas

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shallow pockets (Figure 1A, bottom-left) or sites at which large-scale motions are largely

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182 unaffected (Figure 1A, bottom-right) earn lower scores. Further details are [provided](#) in SI  
183 Methods [section 3.1-a](#).

184 This approach is a modified version of the binding leverage framework  
185 introduced by Mitternacht and Berezovsky (Mitternacht and Berezovsky, 2011). The  
186 main modifications include the use of heavy atoms in the protein during the Monte Carlo  
187 search, in addition to an automated means of thresholding the list of ranked scores. These  
188 modifications were implemented to provide a more selective set of sites. Without them,  
189 an exceedingly large fraction of the protein surface would be captured (Figure [2C](#)). We  
190 find that this modified approach results in an average of ~2 distinct sites per domain  
191 (Figure [2A](#)). The distribution for distinct sites within entire complexes is given in Figure  
192 [2B](#).

193 Within the canonical set of 12 proteins, we positively identify an average of [56%](#)  
194 of the sites known to be directly involved in ligand or substrate binding (see [Table 1](#),  
195 Figure S1, and [SI Methods section 3.1-a-iv](#)). Some of the sites identified do not directly  
196 overlap with known binding regions, but we often find that these “false positives”  
197 nevertheless exhibit some degree of overlap with binding sites (Table [S2](#)). In addition,  
198 those surface-critical sites that do not match known binding sites may nevertheless  
199 correspond to latent allosteric regions: even if no known biological function is assigned  
200 to such regions, their occlusion may nevertheless disrupt large-scale motions.

## 201 **Dynamical Network Analysis to Identify Interior-Critical Residues**

203 The binding leverage framework described above is intended to capture hotspot  
204 regions at the protein surface, but the Monte Carlo search employed is *a priori* excluded  
205 from the protein interior. Allosteric residues often act within the protein interior by

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218 functioning as essential ‘bottlenecks’ within the communication pathways between distal  
219 regions. An allosteric signal transmitted from one region to another may conceivably take  
220 various alternative routes, but many of these routes can share a common set of residues.  
221 The removal of such a common set of residues can result in the loss of many or all of the  
222 available routes for allosteric signal transmission, thereby making these residues essential  
223 information flow bottlenecks.

224 To identify bottlenecks, the protein is first modeled as a network, wherein  
225 residues represent nodes and edges represent contacts between residues (in much the  
226 same way that the protein is modeled as a network in constructing anisotropic network  
227 models, see below). In this regard, the problem of identifying interior-critical residues is  
228 reduced to a problem of identifying nodes that participate in network bottlenecks (see  
229 | Figure 1B and SI Methods [section 3.1-b](#) for details). Briefly, the network edges are first  
230 | weighted by the correlated motions of contacting residues: a strong correlation in the  
231 motion between contacting residues implies that knowing how one residue moves better  
232 enables one to predict the motion of the other, thereby suggesting a strong information  
233 flow between the two residues. The weights are used to assign ‘effective distances’  
234 between connecting nodes, with strong correlations resulting in shorter effective node-  
235 node distances.

236 Using the motion-weighted network, “communities” of nodes are identified using  
237 the Girvan-Newman formalism (Girvan et al., 2002). A community is a group of nodes  
238 such that each node within the community is highly inter-connected, but loosely  
239 connected to other nodes outside the community. Communities are thus densely inter-



240 connected regions within proteins. As tangible examples, the community partitions and  
241 the resultant critical residues for the canonical set are given in Figures [S2](#).

242 Finally, the betweenness of each edge is calculated. The betweenness of an edge  
243 is defined as the number of shortest paths between all pairs of residues that pass through  
244 that edge, with each path representing the sum of effective node-node distances assigned  
245 in the weighting scheme above. Those residues that are involved in the highest-  
246 betweenness edges between pairs of interacting communities are identified as the  
247 interior-critical residues. These residues are essential for information flow between  
248 communities, as their removal would result in substantially longer paths between the  
249 residues of one community to those of another.

250

#### 251 **Software Tool: STRESS (STRucturally-identified ESSential residues)**

252 The implementations for finding both surface- and interior-critical residues have  
253 been made available to the scientific community through a new software tool, STRESS,  
254 which may be accessed at [stress.molmovdb.org](http://stress.molmovdb.org) (Figure [3A](#)). Users may specify a PDB to  
255 be analyzed, and the output provided constitutes the set of identified critical residues.

256 Obviating the need for long wait times, the algorithmic implementation of our  
257 software is highly efficient ([Figures 3B and 3C](#)). A typical protein of ~500 residues takes  
258 only about 30 minutes on a 2.6GHz CPU. Running times are also minimized by using a  
259 scalable server architecture that runs on the Amazon cloud (Figure [3D](#)). A light front-end  
260 server handles incoming user requests, and more powerful back-end servers, which  
261 perform the calculations, are automatically and dynamically scalable, thereby ensuring  
262 that they can handle varying levels of demand both efficiently and economically.

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## 267 High-Throughput Identification of Alternative 268 Conformations

269 Pronounced conformational change is an essential assumption within our  
270 framework for identifying potential allosteric residues. We use a generalized approach to  
271 systematically identify instances of alternative conformations within the PDB. We first  
272 perform multiple structure alignments (MSAs) across sequence-identical proteins that are  
273 pre-filtered to ensure structural quality. We then use the resultant pairwise RMSD values  
274 to infer distinct conformational states (Figure S3; see also SI Methods section 3.2).

275 The distributions of the resultant numbers of conformations for domains and  
276 chains are given in Figures S3D and S3E, respectively, and an overview is given in  
277 Figure S3F. We note that the alternative conformations identified arise in an extremely  
278 diverse set of biological contexts, including conformational transitions that accompany  
279 ligand binding, protein-protein or protein-nucleic acid interactions, post-translational  
280 modifications, changes in oxidation or oligomerization states, etc. The dataset of  
281 alternative conformations identified is provided as a resource in File S1 (see also Figure  
282 S3G).

## 284 Evaluating Conservation of Critical Residues

### 285 Using Various Metrics and Sources of Data

286 The large number of dynamic proteins culled throughout the PDB, coupled with  
287 the high algorithmic efficiency of our critical residue search implementation, provide a

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298 means of evaluating general properties of these residues on a large scale. In particular, we  
299 measure their conservation, as evaluated both over long (inter-species) and short (intra-  
300 human) evolutionary timescales. Using a variety of conservation metrics and sources of  
301 data, we find that both surface-critical (Figures [4A-D](#)) and interior-critical (Figures [4E-H](#))  
302 are consistently more conserved than non-critical residues. We emphasize that the  
303 signatures of conservation identified not only provide a means of rationalizing many of  
304 the otherwise poorly understood regions of proteins, but they also reinforce the functional  
305 importance of the residues believed to be allosteric.

306

### 307 **Conservation Across Species**

308 When evaluating conservation across species, we find that both surface- and  
309 interior-critical residues tend to be significantly more conserved than non-critical residues  
310 with the same degree of burial (Figures [4B](#) and [4F](#), respectively). Surface-critical residue  
311 sets have a mean conservation score (i.e., ConSurf score, see SI Methods [section 3.3-a](#)) of  
312 -0.131, whereas non-critical residue sets with the same degree of burial have a mean  
313 score of +0.059 ( $p < 2.2e-16$ ; negative conservation scores designate stronger  
314 conservation). Interior-critical residues exhibit a similar trend: the mean conservation  
315 score for interior-critical residues and non-critical residues with the same degree of burial  
316 is -0.179 and -0.102, respectively ( $p=3.67e-11$ ).

### 317 **Measures of Conservation Amongst Humans from Next-Generation Sequencing**

318 We may also use sequenced human genomes and exomes to investigate  
319 conservation, as many constraints may be human-specific and active in more recent  
320 evolutionary history. In this context, commonly used metrics for evaluating conservation  
321 include minor allele frequency (MAF) and derived allele frequency (DAF). Low MAF or

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326 DAF values are interpreted as signatures of deleteriousness, as purifying selection is  
327 prone to reduce the frequencies of harmful variants (see SI Methods [section 3.3-b](#)).

328 We find that 1000 Genomes (McVean et al., 2012) single-nucleotide variants  
329 (SNVs) that hit surface-critical residues tend to occur at lower DAF values (Figure [4C](#)).

330 Though not significant, the significance improves when examining the shift in DAF  
331 distributions, as evaluated with a KS test ( $p=0.159$ , Figure [S4A](#)), and we point out the  
332 limited number of proteins (thirty-two) in which 1000 Genomes SNVs hit these critical

333 sites. Furthermore, the long tail extending to lower DAF values for surface-critical  
334 residues may suggest that only a subset of the residues in our prioritized binding sites is

335 essential. However 1000 Genomes SNVs tend [to](#) hit interior-critical residues with  
336 significantly lower DAF values than non-critical residues (Figure [4G](#); see also Figure  
337 [S4B](#)).

338 Given the relatively small number of proteins to be hit by 1000 Genomes SNVs,  
339 we also analyzed data provided by the Exome Aggregation Consortium (ExAC,  
340 Cambridge MA 2015). ExAC provides sequence data for many more individuals, and the  
341 ExAC sequencing itself is performed at much higher coverage. Thus, using MAF as a  
342 conservation metric, we performed a similar analysis using this data. MAF distributions  
343 for surface- and non-critical residues in the same set of proteins are given in Figure [4D](#).

344 Although the mean value of the MAF distribution for surface-critical residues is slightly  
345 higher than that of non-critical residues, the median for surface-critical residues is  
346 substantially lower than that for non-critical residues, demonstrating that the majority of  
347 proteins are such that MAF values are lower in surface- than in non-critical residues. In

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354 addition, the overall shifts of these distributions also point to a trend of lower MAF  
355 values in surface-critical residues (Figure [S5A](#), KS test  $p=9.49e-2$ ).

356 Interior-critical residues exhibit significantly lower MAF values than do non-  
357 critical residues in the same set of proteins. MAF distributions for interior- and non-  
358 critical residues are given in Figure [4H](#) (see also Figure [S5B](#)).

359 In addition to overall allele frequency distributions, one may also evaluate the  
360 *fraction* of rare alleles as a metric for measuring selective pressure. This fraction is  
361 defined as the ratio of the number of low-DAF or low-MAF (i.e., rare) non-synonymous  
362 SNVs to all non-synonymous SNVs in a given protein annotation (such as all surface-  
363 critical residues of the protein, for example; see SI Methods [section 3.3-b](#)). A higher  
364 fraction is interpreted as a proxy for greater conservation (Khurana et al., 2013). Using  
365 variable DAF (MAF) cutoffs to define rarity for 1000 Genomes (ExAC) SNVs, both  
366 surface- and interior-critical residues are shown to harbor a higher fraction of rare alleles  
367 than do non-critical residues, further suggesting a greater degree of evolutionary  
368 constraint in critical residues (See [Figure 5](#)).

### 370 Comparisons Between Different Models of Protein Motions

371 Conformational changes may be modeled using vectors connecting pairs of  
372 corresponding residues in crystal structures from alternative conformations (we term this  
373 approach “ACT”, for “absolute conformational transitions”; see SI Methods [section 3.2-](#)  
374 [c](#)). The crystal structures of such paired conformations may be obtained using the  
375 framework discussed above. The protein motions may also be inferred from anisotropic  
376 network models (ANMs) (Atilgan et al., 2001). ANMs entail modeling interacting  
377 residues as nodes linked by flexible springs, in a manner similar to elastic network

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Genomes and ExAC data, respectively

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385 models (Fuglebakk et al., 2015; Tirion, 1996) or normal modes analysis (Figure 1B).  
386 ANMs are not only simple and straightforward to apply on a database scale, but unlike  
387 using alternative crystal structures, the motion vectors inferred may be generated using a  
388 single structure, and we thus use ANMs as our primary means of inferring motions.

389 Using vectors from either ACTs or ANMs give the same general results in terms  
390 of the disparities in conservation between critical and non-critical residues. This method  
391 is thus general with respect to how motion vectors are defined (see Figure 6 and SI  
392 [Methods section 3.2-c](#) for further details).

### 394 **Critical Residues in the Context of Human Disease Variants**

395 Directly related to conservation is the concept of SNV deleteriousness: changes in  
396 amino acid composition at specific loci may be more or less likely to result in disease.  
397 SIFT (Ng and Henikoff, 2001) and PolyPhen (Adzhubei et al., 2010) are two tools for  
398 predicting such effects, and we evaluated these predictions for critical and non-critical  
399 residues hit by SNVs in ExAC. SNVs hitting critical residues exhibit significantly higher  
400 PolyPhen scores relative to non-critical residues, suggesting the potentially higher disease  
401 susceptibility at critical residues (Figure S6), though such significant disparities were not  
402 observed in SIFT scores (Figure S7).

403 Using HGMD (Stenson et al., 2014) and ClinVar (Landrum et al., 2014), we  
404 identify proteins with critical residues that coincide with disease-associated SNVs (Figure  
405 [7A](#) and File S2). Several critical residues coincide with known disease loci for which the  
406 mechanism of pathogenicity is otherwise unclear (File S3). The fibroblast growth factor  
407 receptor (FGFR) is a case-in-point (Figure 7). SNVs in FGFR have been linked to  
408 craniofacial defects. Dotted lines in Figure 7B highlight poorly understood disease SNVs

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419 that coincide with critical residues. In addition, we identify Y328 as a surface-critical  
420 residue, which coincides with a disease-associated SNV from HGDM, despite the lack of  
421 confident predictions of deleteriousness by several widely used tools for predicting  
422 disease-associated SNVs, including PolyPhen (Adzhubei et al., 2010), SIFT (Ng and  
423 Henikoff, 2001), and SNPs&GO (Calabrese et al., 2009). Together, these results suggest  
424 that the incorporation of surface- and interior-critical residues introduces a valuable layer  
425 of annotation to the protein sequence, and may help to explain otherwise poorly  
426 understood disease-associated SNVs.

427

## 428 **DISCUSSION & CONCLUSIONS**

429 The same principles of energy landscape theory that dictate protein folding are  
430 integral to how proteins explore different conformations once they adopt their folded  
431 states. These landscapes are shaped not only by the protein sequence itself, but also by  
432 extrinsic conditions. Such external factors often regulate protein activity by introducing  
433 allosteric-induced changes, which ultimately reflect changes in the shapes and population  
434 distributions of the energetic landscape. In this regard, allostery provides an ideal  
435 platform from which to study protein behavior in the context of their energetic  
436 landscapes. To investigate allosteric regulation, and to simultaneously add an extra layer  
437 of annotation in the context of conservation patterns, an integrated framework to identify  
438 potential allosteric residues is essential. We introduce a framework to select such  
439 residues, using knowledge of conformational change.

440           When applied to many proteins with distinct conformational changes in the PDB,  
441 we investigate the conservation of potential allosteric residues in both inter-species and  
442 intra-human genomes contexts, and find that these residues tend to exhibit greater  
443 conservation in both cases. In addition, we identify several disease-associated variants for  
444 which plausible mechanisms had previously been unavailable, but for which allosteric  
445 mechanisms provide a plausible rationale.

446           Unlike the characterization of many other structural features, such as secondary  
447 structure assignment, residue burial, protein-protein interaction interfaces, disorder, and  
448 even stability, allostery inherently manifests in the context of dynamic behavior. It is only  
449 by considering protein motions and changes in these motions can a fuller understanding  
450 of allosteric regulation be realized. As such, MD and NMR are some of the most  
451 common means of studying allostery and dynamic behavior (Kornev and Taylor, 2015).  
452 However, these methods have limitations when studying large and diverse protein  
453 datasets. MD is computationally expensive and impractical when studying large numbers  
454 of proteins. NMR structure determination is extremely labor-intensive and better suited to  
455 certain classes of structures or dynamics. In addition, NMR structures constitute a  
456 relatively small fraction of structures currently available.

457           Despite these limitations in MD and NMR, allosteric mechanisms and signaling  
458 pathways may be conserved across many different but related proteins, suggesting that  
459 such computationally- or labor-intensive approaches for all proteins may not be entirely  
460 essential. Flock et al. have carefully demonstrated that the allosteric mechanisms  
461 responsible for regulating G proteins through GPCRs tend to be conserved (Flock et al.,  
462 2015). If allosteric mechanisms are similarly shared within other protein families, a



463 detailed analysis with methods such as MD or NMR on one member of a family may help  
464 to elucidate the allosteric behavior for other members. Nevertheless, the degree to which  
465 these mechanisms are indeed conserved within other groups of proteins is currently  
466 unclear, so homology-based predictions of allosteric mechanisms are still not readily  
467 available.

468 Investigations into representative families have also been enlightening in other  
469 contexts. In one of the early studies employing network analysis, del Sol et al. conduct a  
470 detailed study of several allosteric protein families (including GPCRs) to demonstrate  
471 that residues important for maintaining the integrity of short paths within residue contact  
472 networks are essential to enabling signal transmission between distant sites (del Sol et al,  
473 2006). Notably, many of the key sites identified correspond to residues that had been  
474 experimentally determined to be important for allostery. Another notable result in the  
475 same work is that these key residues may become redistributed when the protein  
476 undergoes conformational change, thereby changing optimal communication routes in  
477 different conformations as a means of conferring different regulatory properties.

478 There are several notable implications of our database-scale analysis. Relative to  
479 sequence data, allostery and dynamic behavior are far more difficult to evaluate on a  
480 large scale. The framework described here enables one to evaluate dynamic behavior in a  
481 systemized and efficient way across many proteins, while simultaneously capturing  
482 residues on both the surface and within the interior. That this pipeline can be applied in a  
483 high-throughput manner enables the investigation of system-wide phenomena, such as  
484 the roles of potential allosteric hotspots in protein-protein interaction networks.  
485 Knowledge of such sites across many proteins may also be used to identify the best

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487 proteins and protein regions for which drugs should be engineered, as well as instances in  
488 which specific sequence variants are likely to have the greatest impact.

489         We emphasize that it is only by applying this framework over a database of many  
490 proteins can one search for significant disparities in conservation between sites believed  
491 to be important in allostery and the rest of the protein. Such general trends may not be  
492 apparent when studying a small number or specific classes of proteins. To our  
493 knowledge, this is the first study in which the conservation of potential allosteric sites has  
494 been measured across a large database of proteins.

495         The ability to leverage our framework in a high-throughput manner also better  
496 enables one to match structural features with the high-throughput data generated through  
497 deep sequencing. Full human genomes and exomes are being sequenced at an increasing  
498 pace, thereby providing an unprecedented window into conservation patterns that can be  
499 human-specific or active over short evolutionary timescales. These patterns increasingly  
500 serve as detailed signatures of selective constraints which may not only be missing in  
501 cross-species comparisons, but are also sometimes difficult to rationalize using static  
502 representations of protein structures alone.

503         We anticipate that, within the next decade, deep sequencing will enable structural  
504 biologists to study evolutionary conservation using sequenced human exomes just as  
505 routinely as cross-species alignments. Furthermore, intra-species metrics for conservation  
506 provide added value in that the confounding factors of cross-species comparisons are  
507 removed: different organisms evolve in different cellular and evolutionary contexts, and  
508 it can be difficult to decouple these different effects from one another. Cross-species  
509 metrics of protein conservation entail comparisons between proteins that may be very

510 different in structure and function. Sequence-variable regions across species may not be  
511 conserved, but nevertheless impart essential functionality. Intra-species comparisons,  
512 however, can often provide a more direct and sensitive evaluation of constraint. In  
513 addition, intra-species selective constraints are particularly relevant in the context of  
514 human disease. Finally, we anticipate that our newly developed software tool will prove  
515 to be of great value in enabling investigators to study allostery in diverse contexts.  
516

## 517 METHODS

518 An overview of the framework for finding surface- and interior-critical residues is  
519 given in Figure 1. Figure [S3](#) provides a schematic of our pipeline for identifying  
520 alternative conformations throughout the PDB. Cross-species conservation scores were  
521 analyzed in those PDBs for which full ConSurf files are available through the ConSurf  
522 server. 1000 Genomes SNVs were taken from the Phase 3 release, and ExAC SNVs were  
523 downloaded in May 2015. Further details on all [protocols](#) are provided in SI Methods.

524

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533 groups that provided exome variant data for comparison. A full list of contributing groups  
534 can be found at <http://exac.broadinstitute.org/about>

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658  
659

## 660 CAPTIONS

661 **Figure 1. Schematic overviews of methods for finding surface- and interior-critical**  
662 **residues.** (A) A simulated ligand probes the protein surface in a series of Monte Carlo  
663 simulations (top-left). The cavities identified may be such that occlusion by the ligand  
664 strongly interferes with conformational change (top-right; such a site is likely to be  
665 identified as surface-critical, in red), or they may have little effect on conformational  
666 change, as in the case of shallow pockets (bottom-left) or pockets in which large-scale  
667 motions do not drastically affect pocket volume (bottom-right). (B) Interior-critical  
668 residues are identified by weighting residue-residue contacts (edges) on the basis of  
669 correlated motions, and then identifying communities within the weighted network.

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672 Residues involved in the highest-betweenness interactions between communities (in red)  
673 are selected as interior-critical residues.

674

675 **Figure 2. Summary statistics for surface-critical sites.** The distributions of the  
676 numbers of surface-critical sites per domain and per complex are given in (A) and (B),  
677 respectively. Panel (C) gives the distributions of the number of surface-critical sites per  
678 complex without thresholding. Complexes are taken from the the PDB biological  
679 assembly files. Without applying thresholds to the list of ranked surface-critical sites, the  
680 protein is often covered with an excess of identified critical sites.

681

682 **Figure 3: STRESS web server front page, running times, and server architecture.**

683 (A) The server enables users to either provide PDB IDs or to upload their own PDB files  
684 for proteins of interest. Users may opt to identify surface-critical residues, interior-critical  
685 residues, or both. (B) Running times are shown for systems of various sizes. Shown in  
686 red are the running times without optimizing for speed. Performing local searching  
687 supported with hashing and implementing additional algorithmic optimizations for  
688 computational efficiency reduce running times considerably (in green), relative to a more  
689 naïve approach without optimization (in red). (C) The same data is represented as a log-  
690 log plot. The slopes of these two approaches demonstrate that our algorithm reduces the  
691 computational complexity by an order of magnitude. Our speed-optimized algorithm  
692 scales at  $O(n^{1.3})$ , where  $n$  is the number of residues. (D) A thin front-end server handles  
693 incoming user requests, and more powerful back-end servers perform the heavier  
694 algorithmic calculations. The back-end servers are dynamically scalable, making them

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708 [capable of handling wide fluctuations in user demand. Amazon's Simple Queue Service](#)  
709 [is used to coordinate between user requests at the front end and the back-end compute](#)  
710 [nodes: when the front-end server receives a request, it adds the job to the queue, and](#)  
711 [back-end servers pull that job from the queue when ready. Source code is available](#)  
712 [through Github \(github.com/gersteinlab/STRESS\).](#)

714 **Figure 4. Multiple metrics and datasets reveal that critical residues tend to be**  
715 **conserved.** Surface- and interior-critical residues (red) in phosphofructokinase (PDB  
716 3PFK) are given in (A) and (E), respectively. Distributions of cross-species conservation  
717 scores, 1000 Genomes SNV DAF averages, and ExAC SNV MAF averages for surface-  
718 and non-critical residue sets are given in (B), (C), and (D), respectively. The same  
719 distributions corresponding to interior- and non-critical residue sets are given in (F), (G),  
720 and (H), respectively. In (C), means for surface- and non-critical sets are  $9.10 \times 10^{-4}$  and  
721  $8.34 \times 10^{-4}$ , respectively ( $p=0.309$ ); corresponding means in (D) are  $4.09 \times 10^{-4}$  and  $2.26 \times 10^{-4}$ ,  
722 respectively ( $p=1.49 \times 10^{-3}$ ). In (G), means for interior- and non-critical sets are  $2.82 \times 10^{-4}$  and  
723  $3.12 \times 10^{-3}$ , respectively ( $p=1.80 \times 10^{-5}$ ); corresponding means in (H) are  $3.08 \times 10^{-5}$  and  $3.27 \times$   
724  $10^{-4}$ , respectively ( $p=7.98 \times 10^{-9}$ ). Statistics for panels (B) and (F) are given in the main text.  
725  $N = 421, 32, 84, 517, 31,$  and  $90$  structures for panels B, C, D, F, G, and H, respectively.  
726 P-values are based on Wilcoxon-rank sum tests. See SI Methods for further details.

727  
728 **Figure 5: Critical residues are shown to be more conserved, as measured by the**  
729 **fraction of rare alleles. Protein regions with high fractions of rare variants are believed**  
730 **to be more sensitive to sequence variants than other regions, thereby explaining why such**

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732 variants occur infrequently in the population. Panels (A) and (C) show distributions for  
733 rare (low DAF) non-synonymous SNVs (taken from the 1000 Genomes dataset) in which  
734 the critical residues are defined to be the surface-critical (A) and interior-critical (C)  
735 residues. Panels (B) and (D) show distributions for rare (low MAF) non-synonymous  
736 SNVs (taken from the ExAC dataset) in which the critical residues are defined to be the  
737 surface-critical (B) and interior-critical (D) residues. For varying thresholds to define  
738 rarity, there are more structures in which the fraction of rare variants is higher in critical  
739 residues than in non-critical residues. Cases in which the fraction is equal in both  
740 categories are not shown. We consider all structures such that at least one critical and at  
741 least one non-critical residue are hit by a non-synonymous SNV. Panels (A), (B), (C), and  
742 (D) represent data from 31, 90, 32, and 84 structures, respectively.

743

744 **Figure 6: Modeling protein conformational change through a direct use of crystal**  
745 **structures from alternative conformations using absolute conformational transitions**  
746 **(ACT).** (A) Distributions (155 structures) of the mean conservation scores on surface-  
747 critical (red) and non-critical residues with the same degree of burial (blue). (B)  
748 Distributions (159 structures) of the mean conservation scores for interior-critical (red)  
749 and non-critical residues with the same degree of burial (blue). Mean values are given in  
750 parentheses. Results for single-chain proteins are shown, and p-values were calculated  
751 using a Wilcoxon rank sum test.

752

753 **Figure 7. Potential allosteric residues add a layer of annotation to structures in the**  
754 **context of disease-associated SNVs.** The structure shown (A) is that of the fibroblast

755 growth-factor receptor (FGFR) in VMD Surf rendering, with HGMD SNVs shown in  
756 orange, bound to FGF2, in ribbon rendering (PDB 1IIL). (B) A linear representation of  
757 structural annotation for FGFR. Dotted lines highlight loci which correspond to HGMD  
758 sites that coincide with critical residues, but for which other annotations fail to coincide.  
759 Deeply-buried residues are defined to be those that exhibit a relative solvent-exposed  
760 surface area of 5% or less, and binding site residues are defined as those for which at  
761 least one heavy atom falls within 4.5 Angstroms of any heavy atom in the binding partner  
762 (heparin-binding growth factor 2). The loci of PTM sites were taken from UniProt  
763 (accession P21802).

764

765 **Table 1: Statistics on the surfaces of *apo* structures within the canonical set of**  
766 **proteins**

767 For each *apo* structure within the canonical set of proteins, statistics relating surface-  
768 critical sites to known ligand-binding sites are reported. The surface of a given structure  
769 is defined to be the set of all residues that have a relative solvent accessibility of at least  
770 50%, where relative solvent accessibility is evaluated using all heavy atoms in both the  
771 main-chain and side-chain of a given residue. Mean values are given in the bottom row.  
772 NACCESS is used to calculate relative solvent accessibility (Hubbard and Thornton,  
773 1993) . *Column 1*: PDB IDs for each structure; *Column 2*: among these surface residues,  
774 the fraction that constitute surface-critical residues; *Column 3*: among surface residues,  
775 the fraction that constitute known ligand-binding residues (known ligand-binding  
776 residues are taken to be those within 4.5 Angstroms of the ligand in the *holo* structure;  
777 Table S1); *Column 4*: the Jaccard similarity between the sets of residues represented in

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778 columns 2 and 3 (i.e., surface-critical and known-ligand binding residues), where values  
779 given in parentheses represent the expected Jaccard similarity, given a null model in  
780 which surface-critical and ligand-binding residues are randomly distributed throughout  
781 the surface (for each structure, 10,000 simulations are performed to produce random  
782 distributions, and the expected values reported here constitute the mean Jaccard similarity  
783 among the 10,000 simulations for each structure); *Column 5*: the number of distinct  
784 surface-critical sites identified in each structure; *Column 6*: the number of known ligand-  
785 binding sites in each structure; *Column 7*: the number of known ligand-binding sites  
786 which are positively identified within the set of surface-critical sites, where a positive  
787 match occurs if a majority of the residues in a surface-critical site coincide with the  
788 known ligand-binding site; *Column 8*: The fraction of ligand-binding sites captured is  
789 simply the ratio of the values in column 7 to those in column 6.  
790

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